



CITY OF WESTFIELD, IN
BoardofPublicWorks_Meeting_Agenda_08_26_2015

Wednesday, August 26, 2015 at 01:00 PM

BOARD OR COMMISSION: Board of Public Works and Safety

MEETING DATE: Wednesday, August 26, 2015 at 01:00 PM

MEETING PLACE: Westfield Public Works- Large Conference Room

THE FOLLOWING AGENDA IS SUBJECT TO CHANGE AT THE DISCRETION OF THE BOARD OF PUBLIC WORKS AND SAFETY

AGENDA ITEMS

Meeting Minutes Consideration for Approval

Documents: [Action Item #1: Minutes from 7/29/15 Meeting](#)

Ordinances or Resolutions

Documents: [Action Item #2: Resolution Delegating Certain Secondary Plat Approvals to the Director of WPWD](#)

Quote/Bid Approval

Documents: [Action Item #3: 2015 Fall Resurfacing Project](#) | [Signing Authority to Jeremy Lollar for 2015 Fall Resurfacing Project](#) | [Action Item #4: Wheeler Road Globe Paving Quote](#)

Construction Standards & Specifications Changes

NONE

Change Orders

NONE

Contracts/Leases

NONE

Agreements and/or Memorandum of Understanding (MOU)

Documents: [Action Item #5: Springmill Apartments Impact Fee Waiver](#) | [Action Item #6: Development Agreement - Rough Draft](#) | [Signing Authority - Development Agreement](#)

Consent Agenda

Documents: [Spring Mill Station SE Corner Secondary Plat](#) | [Bond Information](#) | [Acceptance of West Oak Subdivision Replat - Secondary Plat Approval](#)

Department Reports

Fire

Documents: [WFD Report](#)

Police

Documents: [WPD Report](#)

Public Works

Documents: [Public Works Project Report](#)



**City of Westfield Fire Department
Board of Public Works & Safety Report
July 1st – July 31st, 2015**

**Contact: Fire Chief
Joe Lyons
or Nikki Hartman
804-3304**

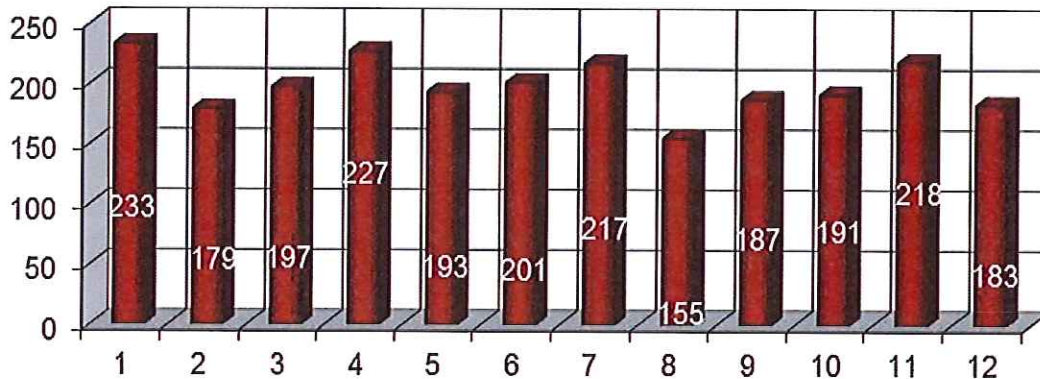


Westfield Fire Department 2015 Incident Statistics By Fire / Medical Groups



	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec		
Total WFD Fire Incidents	97	81	91	93	91	89	101						643	43.2%
Total WFD Medical Incidents	120	100	94	109	152	143	126						844	56.8%
Total WWFD Incidents	217	181	185	202	243	232	227	0	0	0	0	0	1487	100%

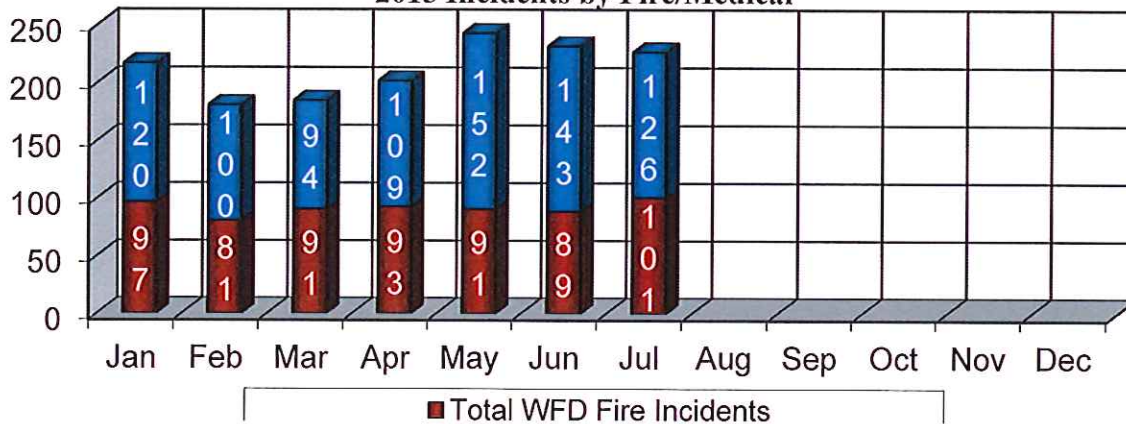
Westfield Fire Department
2014 Total Incidents



Westfield Fire Department
2015 Total Incidents



Westfield Fire Department
2015 Incidents by Fire/Medical



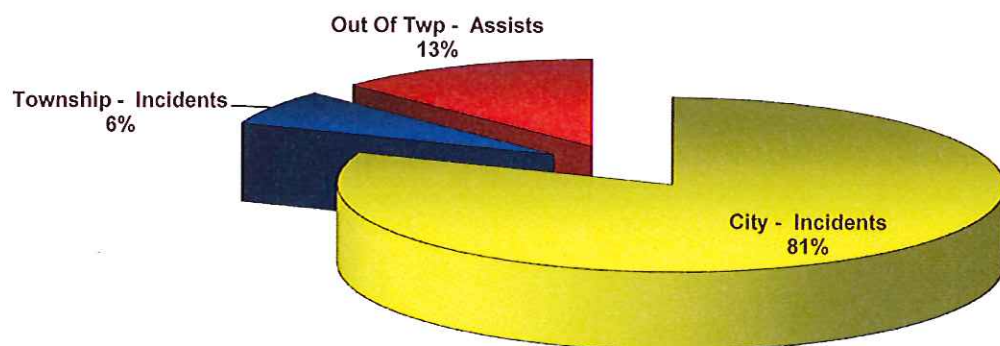


**Westfield Fire Department
2015 Fire/Medical Incidents
By Governmental Area**



2015 Incidents	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Totals	%
City - Incidents	171	142	139	181	197	193	177						1200	80.7%
Township - Incidents	13	11	18	8	16	12	19						97	6.5%
Out Of Twp - Assists	33	28	27	13	30	27	31						189	12.7%

**Westfield Fire Department
2015 Incidents by Governmental Areas**



■ City - Incidents
 ■ Township - Incidents
 ■ Out Of Twp - Assists



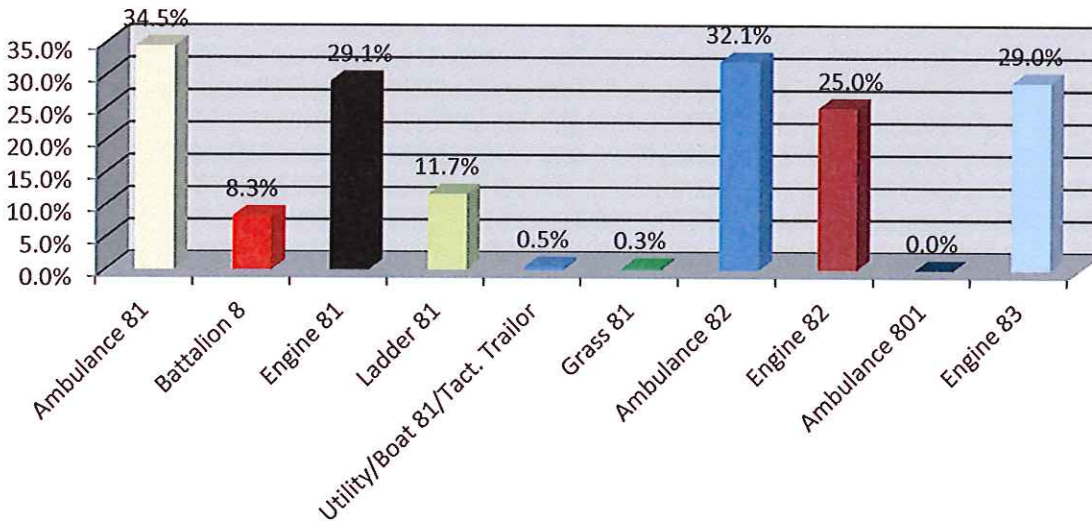
Westfield Fire Department 2015 Total Apparatus Responses By Unit & Station

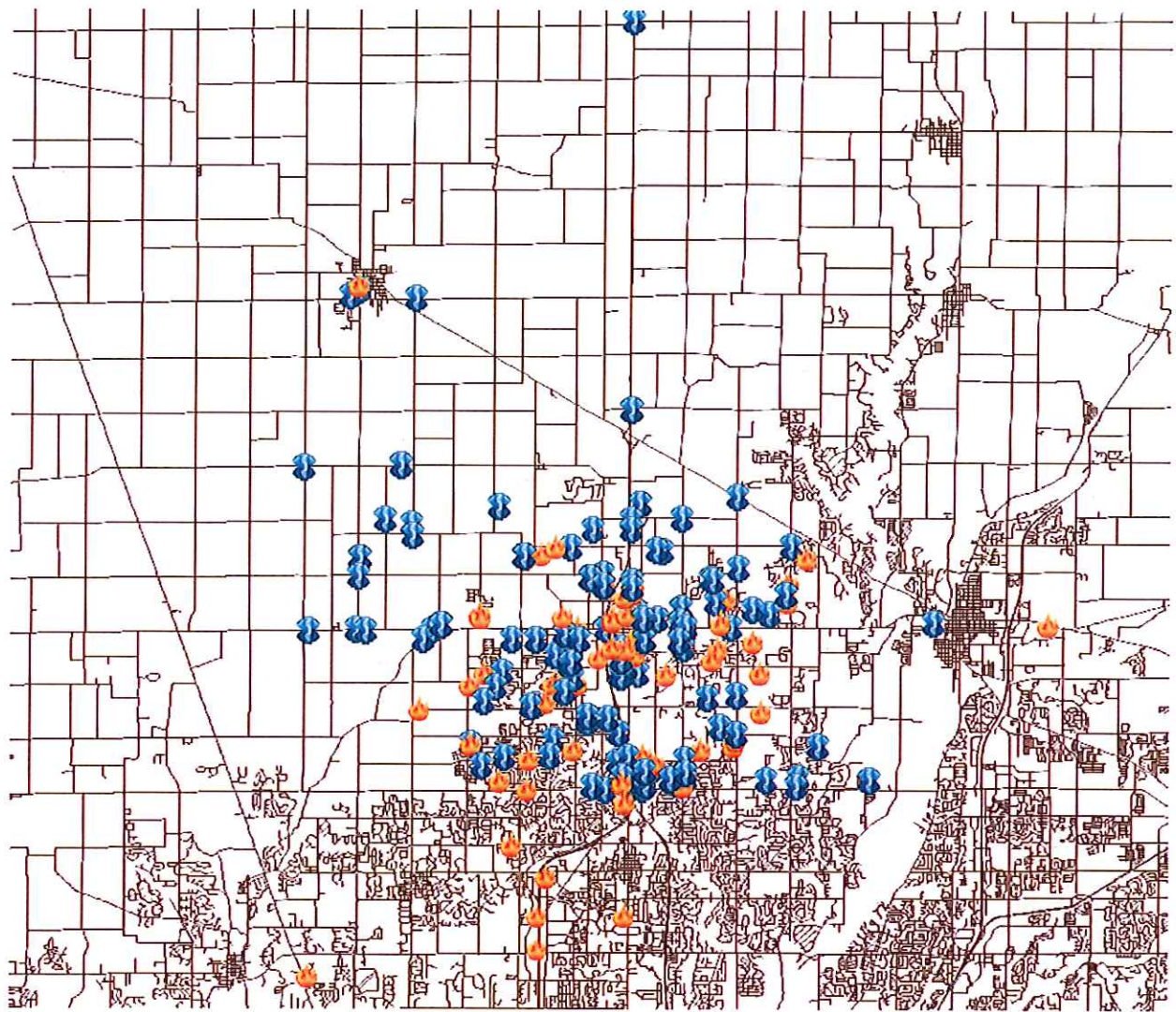


Station 81 Responses	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Totals	%
Ambulance 81	64	63	59	67	91	85	84						513	34.5%
Battalion 8	27	14	15	18	15	16	18						123	8.3%
Engine 81	58	50	63	53	70	61	78						433	29.1%
Ladder 81	31	14	22	24	32	20	31						174	11.7%
Utility/Boat 81/Tact. Trailer	1	-	1	2	2	-	1						7	0.5%
Grass 81		1	2	-	1	1	-						5	0.3%
EMS 80						1	1						2	0.1%

Station 82 Responses	Jan	Feb	March	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Totals	%
Ambulance 82	75	57	54	72	84	71	65						478	32.1%
Engine 82	69	51	37	57	54	55	49						372	25.0%
Ambulance 801	0	-	-	-	-	-	-						0	0.0%
Station 83 Responses													Totals	%
Engine 83	61	50	46	59	76	72	67						431	29.0%

Westfield Fire Department 2015 Total Apparatus Responses





Westfield Fire Department

Kristen Custom Report

Alarm Date Between {07/01/2015} And {07/31/2015}

Incident Type	Count	Pct of Incidents	Total Est Loss	Pct of Losses
1 Fire				
100 Fire, Other	1	0.44%	\$0	0.00%
111 Building fire	1	0.44%	\$50,000	62.50%
130 Mobile property (vehicle) fire, Other	1	0.44%	\$0	0.00%
132 Road freight or transport vehicle fire	1	0.44%	\$30,000	37.50%
142 Brush or brush-and-grass mixture fire	1	0.44%	\$0	0.00%
150 Outside rubbish fire, Other	2	0.88%	\$0	0.00%
151 Outside rubbish, trash or waste fire	3	1.32%	\$0	0.00%
	10	4.40%	\$80,000	100.00%
3 Rescue & Emergency Medical Service Incident				
300 Rescue, EMS incident, other	1	0.44%	\$0	0.00%
311 Medical assist, assist EMS crew	1	0.44%	\$0	0.00%
320 Emergency medical service, other	5	2.20%	\$0	0.00%
321 EMS call, excluding vehicle accident with	114	50.22%	\$0	0.00%
322 Motor vehicle accident with injuries	10	4.40%	\$0	0.00%
323 Motor vehicle/pedestrian accident (MV Ped)	1	0.44%	\$0	0.00%
324 Motor Vehicle Accident with no injuries	3	1.32%	\$0	0.00%
	135	59.47%	\$0	0.00%
4 Hazardous Condition (No Fire)				
411 Gasoline or other flammable liquid spill	1	0.44%	\$0	0.00%
412 Gas leak (natural gas or LPG)	3	1.32%	\$0	0.00%
413 Oil or other combustible liquid spill	1	0.44%	\$0	0.00%
444 Power line down	2	0.88%	\$0	0.00%
	7	3.08%	\$0	0.00%
5 Service Call				
510 Person in distress, Other	1	0.44%	\$0	0.00%
511 Lock-out	1	0.44%	\$0	0.00%
531 Smoke or odor removal	2	0.88%	\$0	0.00%
550 Public service assistance, Other	6	2.64%	\$0	0.00%
551 Assist police or other governmental agency	15	6.60%	\$0	0.00%
554 Assist invalid	2	0.88%	\$0	0.00%
	27	11.89%	\$0	0.00%
6 Good Intent Call				
600 Good intent call, Other	3	1.32%	\$0	0.00%
611 Dispatched & cancelled en route	12	5.28%	\$0	0.00%

Westfield Fire Department

Kristen Custom Report

Alarm Date Between {07/01/2015} And {07/31/2015}

Incident Type	Count	Pct of Incidents	Total Est Loss	Pct of Losses
6 Good Intent Call				
651 Smoke scare, odor of smoke	1	0.44%	\$0	0.00%
	16	7.04%	\$0	0.00%
7 False Alarm & False Call				
700 False alarm or false call, Other	10	4.40%	\$0	0.00%
733 Smoke detector activation due to	4	1.76%	\$0	0.00%
735 Alarm system sounded due to malfunction	3	1.32%	\$0	0.00%
740 Unintentional transmission of alarm, Other	3	1.32%	\$0	0.00%
743 Smoke detector activation, no fire -	1	0.44%	\$0	0.00%
745 Alarm system activation, no fire -	7	3.08%	\$0	0.00%
746 Carbon monoxide detector activation, no CO	1	0.44%	\$0	0.00%
	29	12.77%	\$0	0.00%
8 Severe Weather & Natural Disaster				
814 Lightning strike (no fire)	2	0.88%	\$0	0.00%
	2	0.88%	\$0	0.00%
9 Special Incident Type				
900 Special type of incident, Other	1	0.44%	\$0	0.00%
	1	0.44%	\$0	0.00%
Total Incident Count: 227				
Total Est Loss:			\$80,000	

Westfield Police Department

Monthly Performance Measures Report



Board of Public Works & Safety

July 2015

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WESTFIELD POLICE DEPARTMENT

July 2015

Events by Nature: Calls For Service (Non-Self-Initiated)

Abduction/ Kidnapping	0
Abuse/ Abandonment/Neglect	0
Admin- K-9 Detail	0
Admin- Log Information	0
Admin-Public Relations	2
Admin- Special Detail	1
Admin- Test Calls	0
Admin- Tow Release	3
Admin- Warning Correction	0
Alarm- Bank	1
Alarm- Business	52
Alarm- Residence	88
Animal- Complaints	45
Assault- Battery	3
Assault- Sexual	2
Assist- Fire Incident	2
Assist- Medical Incident	6
Assist- Other Agencies	32
Bomb Found/ Suspicious Pkg	0
Burglary/ Home Invasion	1
Damage/ Vandalism/ Mischief	13
Deceased- All Others	0
Disturbance- Fireworks Cmplt	20
Disturbance- Loud Party	2
Disturbance- Noise Complaint	10
Disturbance	28
Disturbance - Verbal	4
Drugs- Complaint	12
Fraud- Criminal Deception	1
Fraud- Forgery	17
Fraud- Prescription	0
Harassment/ Stalking/ Threat	18
Indecency / Lewdness	0
Intoxicated- Possible DUI	14
Mental/ Emotional	13
Miscellaneous	18
Person-Missing	1

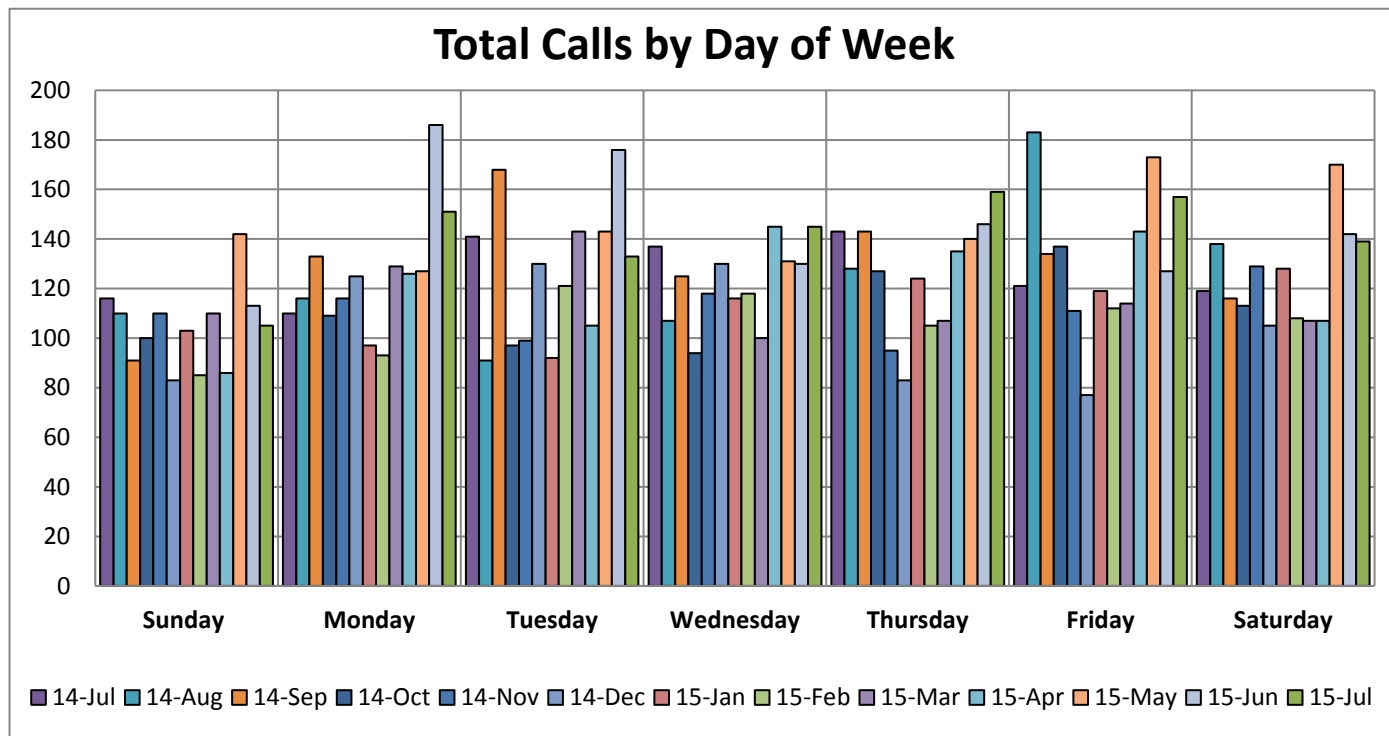
Person- Runaway	1
Robbery-Carjacking	0
Service Call- 9-1-1 Hang Up	19
Service Call- Damage to Property	10
Service Call- Found Property	14
Service Call- Keep the Peace	45
Service Call- Lost Property	7
Service Call- Notification	2
Service Call- Security Check	7
Service Call- Vehicle Lockout	54
Service Call- Vin Check	22
Service Call- Welfare Check	45
Suicidal- Attempted	0
Suicidal- Persons Threatening	1
Supplemental- Case Follow Up	48
Suspicious- Noises	3
Suspicious- Open Door/ Window	2
Suspicious- Person/Prowler	21
Suspicious- Solicitor	14
Suspicious- Vehicle	20
Theft- From a Vehicle	6
Theft- Of a Vehicle	1
Theft- Others	32
Theft- Shoplifting	5
Trespassing/ Unwanted Person	3
Trfc Complaint - Abandoned Veh	6
Trfc Complaint- Driving Issues	43
Trfc Complaint- Parking Issues	8
Trfc Hazard- Debris in Road	11
Trfc Hazard- Disabled Vehicle	27
Trfc Hazard- Trfc Signal Out	6
Trnsprtn Acdnt- MV Hit & Run	8
Trnsprtn Acdnt- MV Prop Damg	58
Trnsprtn Acdnt- MV Prsnl Inj	10
Wanted- Warrant Service	5
Weapons- Person Carrying	1
Weapons- Shot Fired	0

WESTFIELD POLICE DEPARTMENT

July 2015

Total # of Calls by Day of Week (non-self initiated)

DAY	14-Jul	14-Aug	14-Sep	14-Oct	14-Nov	14-Dec	15-Jan	15-Feb	15-Mar	15-Apr	15-May	15-Jun	15-Jul
Sunday	116	110	91	100	110	83	103	85	110	86	142	113	105
Monday	110	116	133	109	116	125	97	93	129	126	127	186	151
Tuesday	141	91	168	97	99	130	92	121	143	105	143	176	133
Wednesday	137	107	125	94	118	130	116	118	100	145	131	130	145
Thursday	143	128	143	127	95	83	124	105	107	135	140	146	159
Friday	121	183	134	137	111	77	119	112	114	143	173	127	157
Saturday	119	138	116	113	129	105	128	108	107	107	170	142	139
TOTAL	887	873	910	777	778	733	779	742	810	847	1026	1020	989



WESTFIELD POLICE DEPARTMENT

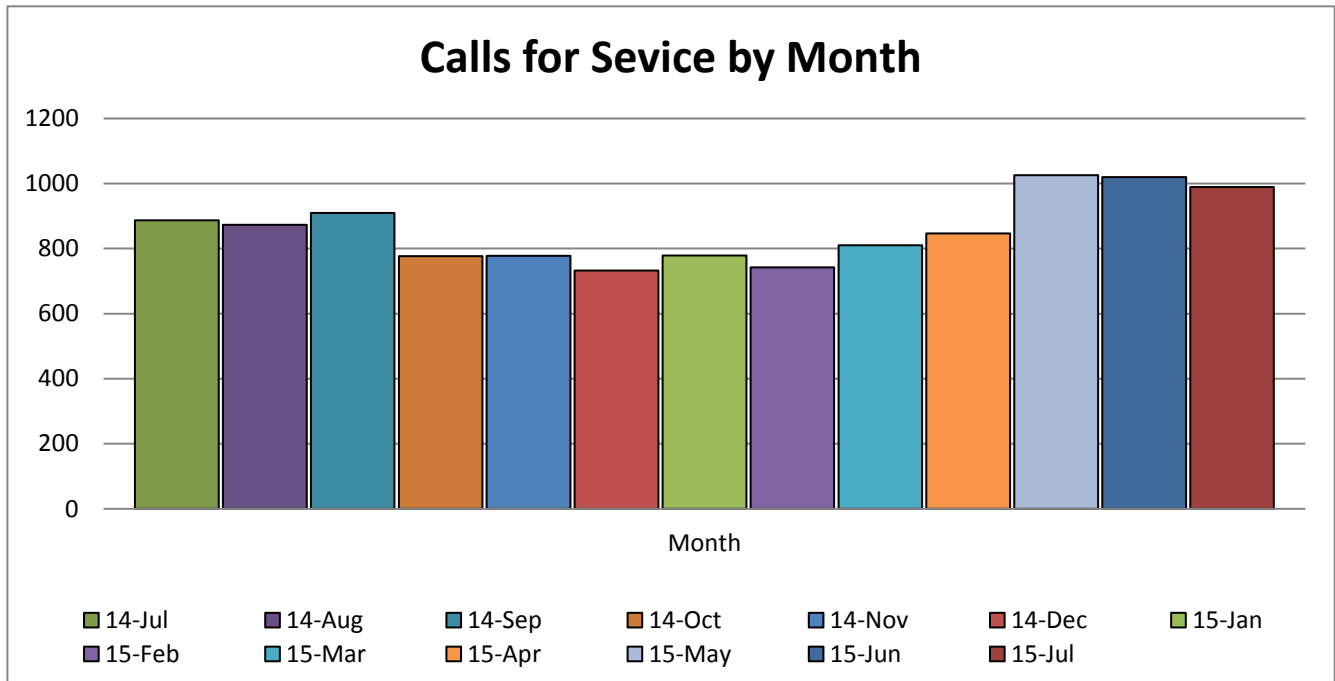
July 2015

Total # of Calls by Hour of Day (non-self initiated)

HOURL	14-Jul	14-Aug	14-Sep	14-Oct	14-Nov	14-Dec	15-Jan	15-Feb	15-Mar	15-Apr	15-May	15-Jun	15-Jul
0	20	26	15	25	17	19	24	25	16	20	28	20	22
1	18	16	15	13	26	19	15	9	7	21	18	20	21
2	18	5	11	11	5	14	19	14	11	13	17	17	14
3	8	10	6	10	9	9	6	12	10	7	12	15	8
4	4	9	17	7	12	6	12	8	5	8	13	14	10
5	5	10	5	6	11	6	9	9	12	15	14	16	10
6	5	9	23	14	14	12	10	15	14	7	14	9	15
7	20	23	35	33	20	20	22	24	33	23	42	24	11
8	38	44	38	32	38	30	26	32	32	41	38	35	29
9	53	33	49	34	45	38	36	37	41	39	51	50	27
10	53	47	54	44	28	42	43	37	31	38	58	54	48
11	39	41	53	41	48	38	38	38	46	46	55	55	56
12	59	48	47	47	42	50	43	45	39	43	48	58	71
13	43	55	56	37	63	35	41	41	43	48	68	63	80
14	51	61	53	37	49	41	43	45	55	52	62	48	57
15	66	59	61	53	55	58	53	61	55	46	61	60	52
16	47	48	50	57	40	47	49	43	59	65	60	69	64
17	55	57	63	57	49	48	61	50	53	62	70	66	64
18	47	58	53	47	54	50	41	52	45	51	56	69	69
19	46	49	62	44	45	49	49	42	43	52	54	50	61
20	41	43	41	45	34	24	39	30	43	43	60	77	47
21	58	46	42	27	30	44	38	25	55	53	47	62	64
22	61	43	37	31	23	19	35	29	31	28	45	39	53
23	32	33	24	25	21	15	27	19	31	26	35	30	36
TOTAL	887	873	910	777	778	733	779	742	810	847	1026	1020	989

WESTFIELD POLICE DEPARTMENT

July 2015



WESTFIELD POLICE DEPARTMENT

July 2015

UCR OFFENSES

OFFENSE	14-Jul	14-Aug	14-Sep	14-Oct	14-Nov	14-Dec	15-Jan	15-Feb	15-Mar	15-Apr	15-May	15-Jun	15-Jul
Arson	0	0	0	0	0	0	0	0	0	0	0	0	0
Homicide	0	0	0	0	0	0	0	0	0	0	0	0	0
Rape	0	0	0	2	0	0	1	0	0	0	1	1	0
Robbery	1	1	0	0	0	0	0	2	0	0	0	0	0
Battery - Aggravated	0	3	3	3	13	2	2	1	0	2	3	1	3
Battery - Simple	14	11	16	15	7	7	14	6	9	3	18	11	11
Burglary	1	4	5	3	2	1	2	0	2	1	6	1	2
Theft	34	47	30	34	29	33	34	27	25	38	29	31	39
Motor Vehicle Theft	1	3	1	0	2	0	1	1	0	0	1	2	1
TOTAL	51	69	55	57	53	43	54	37	36	44	58	47	56

WESTFIELD POLICE DEPARTMENT

July 2015

NON UCR OFFENSES (PART II)

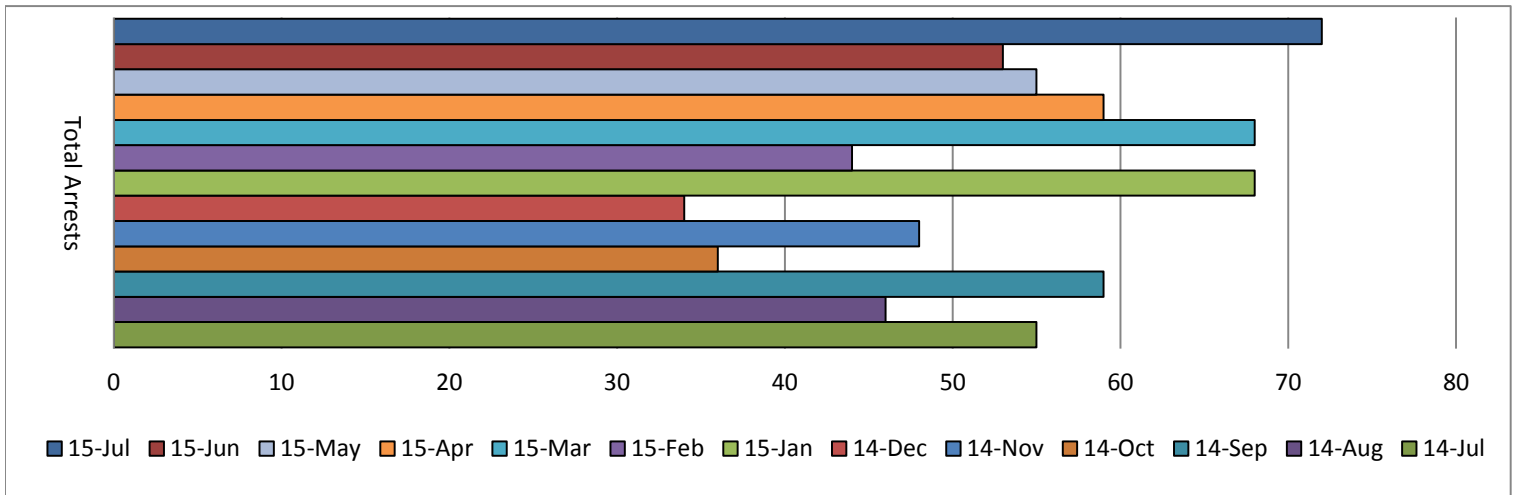
ANIMALS/ INCLUDING DOG BITES	6
ASSIST OTHER AGENCY	4
CHILD ABUSE REPORTED	11
CHILD CUSTODY DISPUTE	3
CIVIL DISPUTE	6
CRIMINAL MISCHIEF/VANDALISM	7
DAMAGED PROPERTY	6
DEATHS OTHER THAN HOMICIDE/SUICIDE	0
DISTURBANCE/ARGUMENTS/FIGHTS	16
DOORS / WINDOWS UNLOCKED OR OPEN	0
DRIVING WHILE SUSPENDED	9
DRUG ABUSE VIOLATIONS	21
FIRES / UNDETERMINED	0
FRAUD	10
HARASSMENT	7
IDENTITY THEFT	4
JUVENILE COMPLAINT	1

LEAVING THE SCENE	4
LIQUOR LAW VIOLATIONS	3
MISSING PERSON / LOST	0
NON-MOVING TRAFFIC VIOLATIONS	0
OPERATING WHILE INTOXICATED	9
PROPERTY FOUND	12
PROPERTY LOST	5
RECKLESS DRIVING	3
RUNAWAY	2
SUICIDE/ATTEMPTS/THREATS OF	3
SUSPICIOUS INCIDENT/PERSON	7
THREATS/INTIMIDATION	3
TRAFFIC VIOLATIONS	1
TRESPASSING	4
WARRANT ARREST	0
WEAPON VIOLATION	0
WELFARE CHECK	6

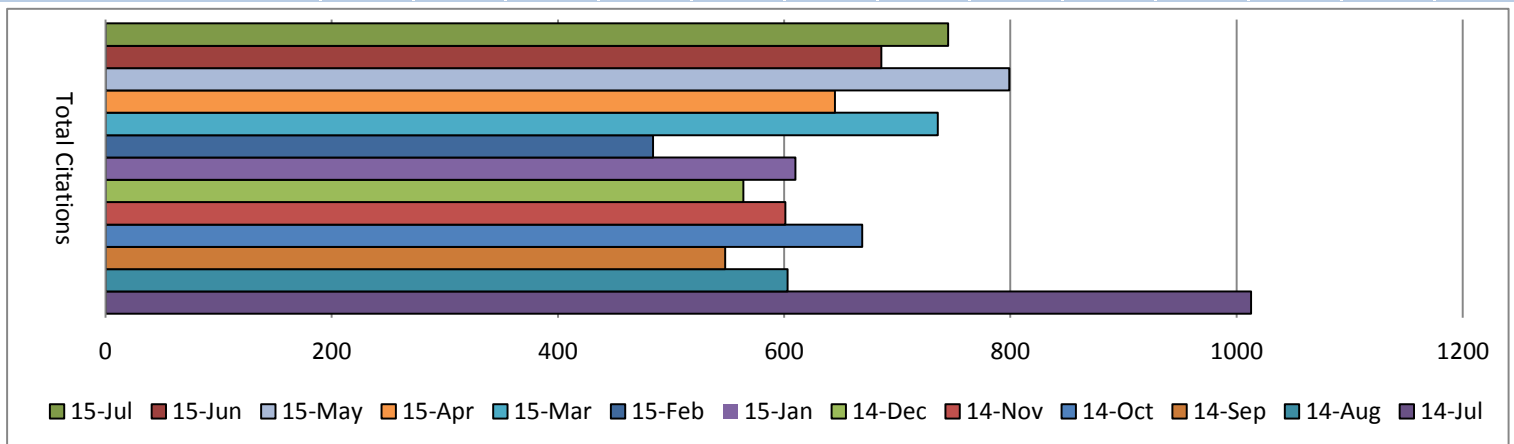
WESTFIELD POLICE DEPARTMENT

July 2015

Arrest Reports Taken	14-Jul	14-Aug	14-Sep	14-Oct	14-Nov	14-Dec	15-Jan	15-Feb	15-Mar	15-Apr	15-May	15-Jun	15-Jul
Alcohol/ Drug Related	17	18	24	7	17	11	23	14	31	14	23	24	36
Felony Charges	14	7	7	9	18	4	18	6	6	15	45	11	17
Misdemeanor Charges	72	63	85	35	52	42	79	46	80	65	67	73	96
Traffic Arrest Charges	41	47	52	18	33	33	57	25	35	42	10	46	49
Total Arrests	55	46	59	36	48	34	68	44	68	59	55	53	72



Traffic	14-Jul	14-Aug	14-Sep	14-Oct	14-Nov	14-Dec	15-Jan	15-Feb	15-Mar	15-Apr	15-May	15-Jun	15-Jul
Total Citations	1013	603	548	669	601	564	610	484	736	645	799	686	745
Total Written Warnings	855	768	862	826	835	981	968	911	1061	883	958	773	835
Total Traffic Accidents	46	38	57	58	58	54	53	51	40	41	52	56	58
<i>Hit and Run</i>	1	3	6	4	9	5	6	1	5	2	4	5	6
<i>Personal Injury</i>	9	7	11	10	10	5	5	5	4	7	6	10	10
<i>*Fatality</i>	0	0	0	0	0	0	0	0	0	0	0	0	0
<i>Property Damage</i>	36	28	40	44	39	44	42	45	31	32	42	41	42

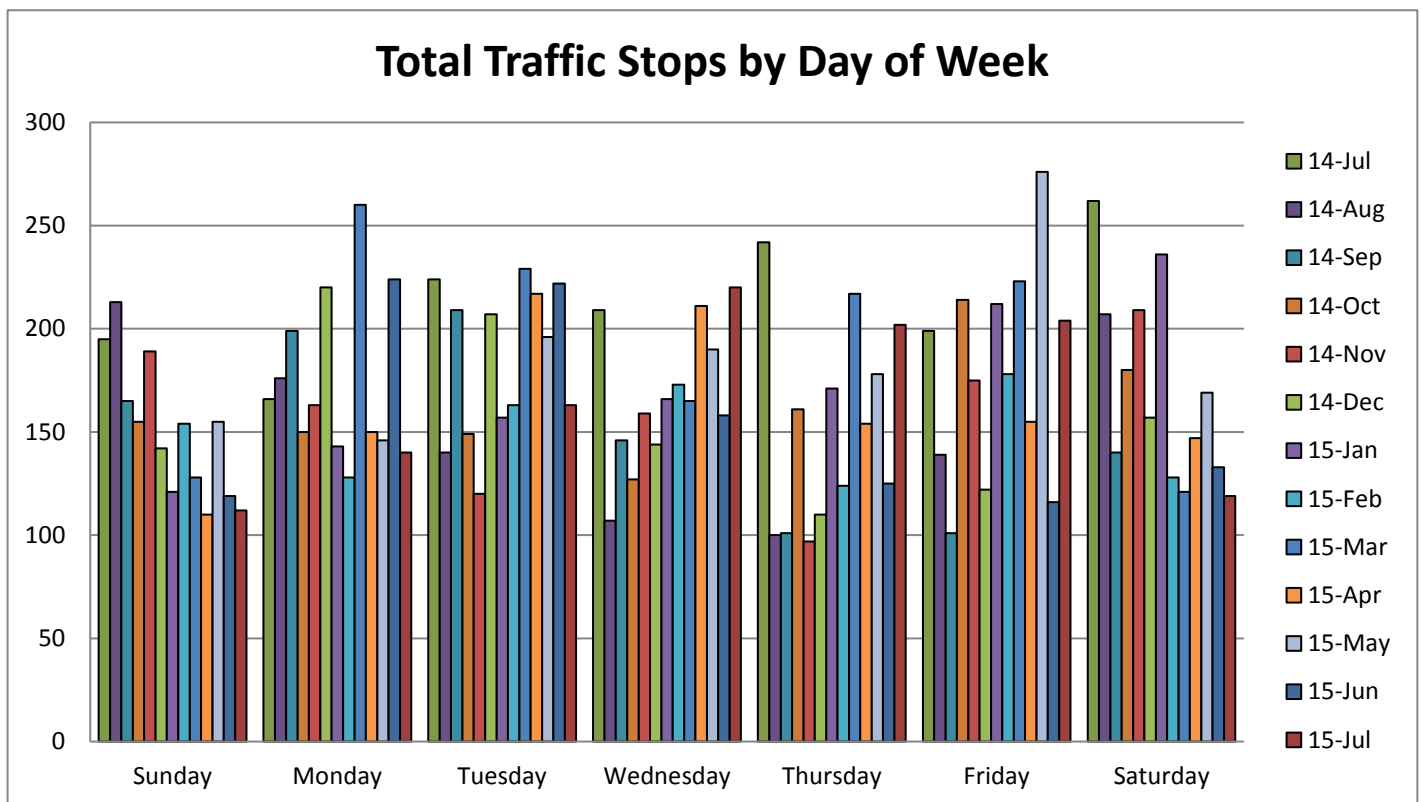


WESTFIELD POLICE DEPARTMENT

July 2015

Traffic Stops: SELF INITIATED by Day of Week

Day:	14-Jul	14-Aug	14-Sep	14-Oct	14-Nov	14-Dec	15-Jan	15-Feb	15-Mar	15-Apr	15-May	15-Jun	15-Jul
Sunday	195	213	165	155	189	142	121	154	128	110	155	119	112
Monday	166	176	199	150	163	220	143	128	260	150	146	224	140
Tuesday	224	140	209	149	120	207	157	163	229	217	196	222	163
Wednesday	209	107	146	127	159	144	166	173	165	211	190	158	220
Thursday	242	100	101	161	97	110	171	124	217	154	178	125	202
Friday	199	139	101	214	175	122	212	178	223	155	276	116	204
Saturday	262	207	140	180	209	157	236	128	121	147	169	133	119
TOTAL	1497	1082	1061	1136	1112	1102	1206	1048	1343	1144	1310	1097	1160



WESTFIELD POLICE DEPARTMENT

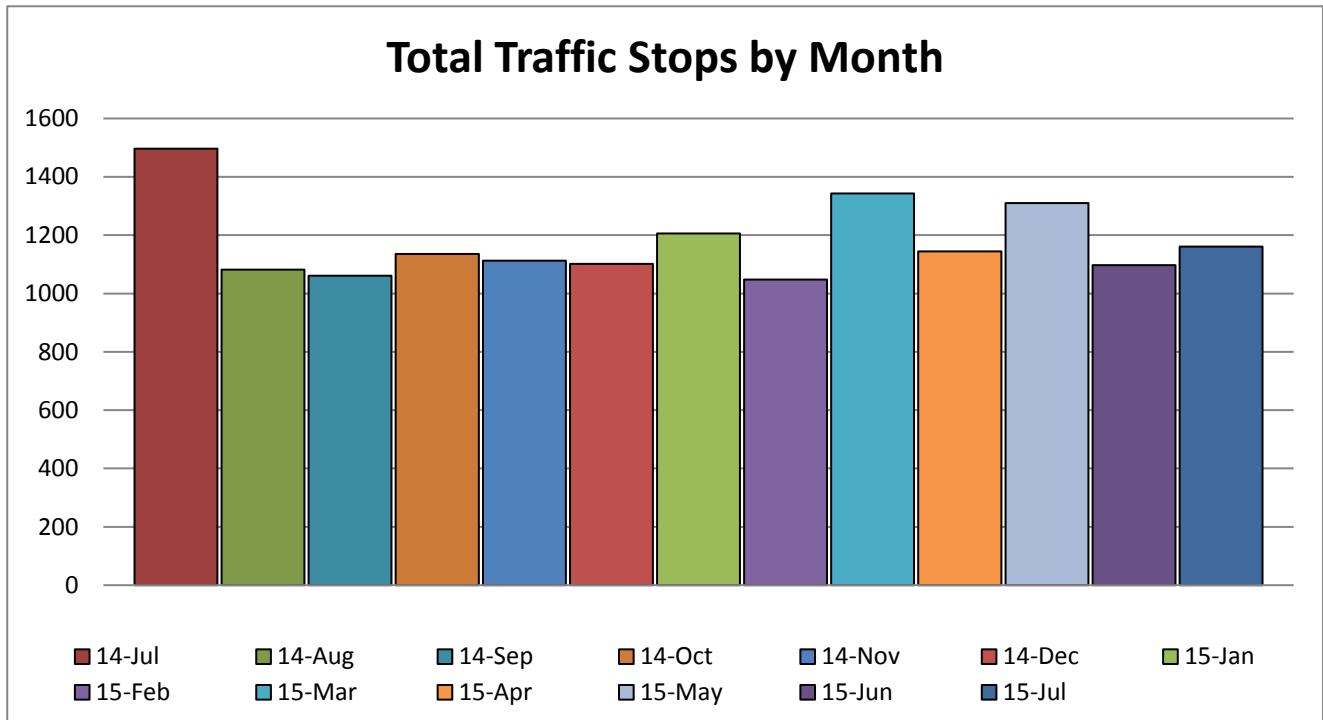
July 2015

Traffic Stops: SELF INITIATED by Hour of Day

Hour:	14-Jul	14-Aug	14-Sep	14-Oct	14-Nov	14-Dec	15-Jan	15-Feb	15-Mar	15-Apr	15-May	15-Jun	15-Jul
0	49	59	36	37	44	40	35	38	29	35	51	27	71
1	47	38	26	21	48	28	26	19	25	24	42	32	39
2	16	18	12	5	10	22	9	18	24	12	20	16	18
3	15	19	11	4	11	16	11	12	9	12	6	12	8
4	11	14	11	10	13	5	9	6	8	8	9	10	3
5	11	19	24	9	18	14	12	10	24	14	5	8	2
6	22	19	30	10	16	7	20	10	10	15	36	44	36
7	110	84	98	79	60	34	62	71	86	80	109	102	82
8	114	68	87	94	83	81	93	78	100	74	96	59	89
9	123	88	77	102	124	119	95	83	105	111	72	75	74
10	128	75	74	107	111	105	101	81	113	92	82	104	114
11	73	40	36	56	57	47	44	37	71	53	65	48	50
12	68	32	55	45	55	55	61	42	69	55	67	59	57
13	113	72	68	91	75	82	76	73	104	83	76	99	78
14	95	66	47	66	71	74	74	69	80	73	83	66	69
15	102	47	36	69	48	49	71	33	67	62	93	30	38
16	54	39	38	60	25	25	56	41	34	38	57	42	33
17	44	31	35	29	26	30	32	42	33	31	27	33	26
18	22	15	25	33	20	22	47	50	47	38	59	44	44
19	102	80	95	81	59	70	60	33	73	58	60	42	38
20	43	40	45	34	41	51	61	46	48	31	34	14	13
21	36	30	18	31	20	44	42	47	74	41	45	28	51
22	50	37	31	32	40	45	55	57	60	52	62	50	61
23	49	52	46	31	37	37	54	52	50	52	54	53	67
TOTAL	1497	1082	1061	1136	1112	1102	1206	1048	1343	1144	1310	1097	1161

WESTFIELD POLICE DEPARTMENT

July 2015



**Westfield Police Department
Community Events
July 2015**

7/17 City Connection

7/24 Officer stopped by a young boy's birthday party

**Westfield Police Department
Monthly Training Summary
July 2015**

7/2 Monthly K9

7/9 Monthly ESU

7/27-31 Hamilton County SRO Training Academy

7/27-30 Firearms

THE WESTFIELD BOARD OF PUBLIC WORKS AND SAFETY July 29, 2015

The Westfield Board of Public Works and Safety met on July 29, 2015, at the Westfield Public Works Conference Room. Present were, Kate Snedeker, Randy Graham, Records Manager Kim Strang and City Attorney, Brian Zaiger. Randy Graham called the meeting to order at 1:30 P.M.

AGENDA ITEMS

Meeting Minutes Consideration for Approval:

Randy Graham made the motion to approve the June 24, 2015 meeting minutes as presented and this was seconded by Kate Snedeker. Vote: Yes-2; No-0. Motion carried.

Bid Approval:

None

Construction Standards & Specifications Changes:

None

Change Orders:

None

Contracts/Leases

None

Agreements and/or Memorandum of Understanding (MOU)

None

Consent Agenda

Approval for New Hire-WPD (Joel Rush presented)

Acceptance of Grand Park Subdivision Secondary Plat-Lot 3 Replat

Acceptance of Derby Ridge Section 1 Secondary Plat

Kate Snedeker made the motion to approve the Consent Agenda. Randy Graham seconded. Vote: Yes-2; No-0. Motion carries.

Department Reports

- **Fire** –Joe Lyons
- **Police-** Joel Rush
- **Public Works-** Jeremy Lollar

With no further business the meeting was adjourned at 1: 49 P.M.

Deputy Clerk-Treasurer

Board of Public Works and Safety

City of Westfield

Project Manual for:

2015 Fall Resurfacing

August 14, 2015



PROJECT MANUAL

2015 FALL RESURFACING

August 14, 2015

City of Westfield
Westfield Public Works Department
2706 East 171st Street
Westfield, IN 46074

Owner Representative
Jeremey Lollar
Director of Public Works
(317) 804-3195

Owner Project Representative
Dustin Shoe
Project Engineer, WPWD
(317) 450-6765

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2015 FALL RESURFACING

CITY OF WESTFIELD, INDIANA

<u>SECTION</u>	<u>SPECIFICATION TITLE</u>
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2	INSTRUCTIONS TO BIDDERS
3	PROPOSAL
4	STANDARD FORM OF AGREEMENT
5	FORM 96
6	PERFORMANCE AND PAYMENT BONDS
7	STANDARD GENERAL CONDITIONS
8	SUPPLEMENTARY CONDITIONS
9	GENERAL REQUIRMENTS
10	SPECIAL PROVISIONS

APPENDIX

A.	SPRINGMILL ROAD EXHIBIT
B.	CAREY ROAD EXHIBIT

ADVERTISEMENT FOR BIDS

Sealed proposals for **2015 Fall Resurfacing Project** will be received by the City of Westfield, Indiana, at the Westfield Public Works Building, 2706 E. 171st Street, Westfield, Indiana, 46074 until **1:00 p.m.**, local time, on **Wednesday, September 9, 2015**. Bids will be publicly opened and read aloud. Any bids received later than the above time and date will be returned unopened. No conditional bids will be considered.

Project generally includes milling, resurfacing, and striping Springmill Road from 146th Street to 156th Street as well as Carey Road from 146th Street to SR 32.

Bids shall be properly and completely executed on the Proposal Form obtainable at the office of the Owner. Each bid shall be accompanied by Form 96 Contractor's Bid for Public Works, including Non-Collusion Affidavit as prescribed by the State Board of Accounts, completely filled out, signed, and notarized as required by the statutes of the State of Indiana, Section III of Part II of Form 96 titled "Contractor's Financial Statement," and acceptable bid security. The bid security shall be a certified check made payable to the Owner or satisfactory bond by an incorporated surety company in good standing and qualified to do business in the State of Indiana in an amount equal to 5% of the bid, said deposit being for the purpose of ensuring the execution of the contract for which bid is made. Any bid not accompanied by the above required items shall be deemed to be a non-responsive bid by the Owner.

No consideration for escalation on prices can be considered; therefore, contractors are advised to not include any such escalation clauses in their proposal for this project.

The Contractors to whom work is awarded shall be required to furnish a Performance Bond and a Payment Bond, each in the amount of one hundred percent (100%) of the contract price, and they shall be acceptable to the City of Westfield, Indiana.

No bidder may withdraw their proposal within a period of 60 days following the date set for receiving bids. The City of Westfield, Indiana reserves the right to retain the three lowest bid proposals for a period of not more than 90 days, and said proposal shall remain in full force and effect during said time. The City of Westfield, Indiana further reserves the right to waive informalities and to award the contract to the lowest and most responsible bidder or bidders, all to the advantage of the City of Westfield, Indiana, or to reject all Proposals.

The Contract Documents and drawings will be available to all interested parties from: Repro Graphix, 437 North Illinois Street, Indianapolis, IN 46204 or at eplanroom.reprographix.com.

Please direct all questions regarding this project to Dustin Shoe, City of Westfield,
Department of Public Works, 2706 E. 171st Street, Westfield, IN 46074, (317) 450-6765,
dshoe@westfield.in.gov.

By: Dustin Shoe

SECTION 2: INSTRUCTIONS TO BIDDERS

2.1 SCOPE

The contract work provides for construction of the 2015 Fall Resurfacing Project in Westfield, Indiana and other related items pertinent and incidental thereto, including the furnishing of all labor, materials, supplies, equipment, work and services, ready for satisfactory and continuous operation, in accordance with the drawings and specifications.

2.2 DEFINED TERMS

The term "Bidder" means one who submits a bid directly to the Owner, as distinct from a sub-bidder who submits a bid to a Bidder. The term "Successful Bidder" means the lowest cost and most qualified, most responsible and responsive Bidder to whom the Owner (on the basis of Owner's evaluation as hereinafter provided) makes an award. The term "Bidding Documents" includes the Advertisement or Invitation to Bid, Instructions to Bidders, the Bid Form or Proposal, the Federal Contract Provisions (if applicable), General Conditions, Supplementary General Conditions, Specifications, Drawings, and all Addenda issued prior to receipt of Bids. The term "Contract Documents" includes the Agreement (Contract), all Addenda, Contractor's Bid, the Bond, the General Conditions, the Supplementary Conditions, the Specifications, and the Drawings.

All references to Owner or City shall mean the City of Westfield, Indiana.

All references to City Representative, City Project Manager or Engineer shall refer to the Owner's Project Representative for this project.

2.3 COPIES OF BIDDING DOCUMENTS

- A. Complete sets of the Bidding Documents in the number and for the deposit sum, if any, stated in the Advertisement or Invitation to Bid may be viewed at: City of Westfield, Department of Public Works, 2706 E. 171st Street, Westfield, IN, 46074.

Or purchased from Repro Graphix, 437 North Illinois Street, Indianapolis, IN 46204 or at eplanroom.reprographix.com.

- B. Complete sets of Bidding Documents must be used in preparing Bids; Owner does not assume any responsibility for errors or misinterpretations resulting from the use of incomplete sets of Bidding Documents.

- C. Owner in making copies of complete Bidding Documents available on the above terms do so only for the purpose of obtaining Bids on the Work and do not confer a license or grant for any other use.
- D. Work to be performed shall be in accordance with the Contract Documents.

2.4 SALES AND USE TAXES

- A. The Owner is exempt from Indiana Gross Tax (i.e., sales and use tax) and all taxes on materials and equipment to be incorporated in the work. Said taxes shall not be included in the Contract Price.

2.5 EXAMINATION OF CONTRACT DOCUMENTS AND SITE

- A. It is the responsibility of each Bidder before submitting a Bid to (1) examine the Contract Documents thoroughly; (2) visit the site to become familiar with local conditions that may affect cost, progress, performance, or furnishing of the Work; (3) consider federal, state, and local laws and regulations that may affect cost, progress, performance, or furnishing of the Work; (4) study and carefully correlate Bidder's observations with the Contract Documents; and (5) notify Owner of all conflicts, errors, or discrepancies in the Contract Documents.
- B. No extra compensation shall be allowed to the Successful Contractor by reason of any matter or thing of which the Contractor failed to fully inform himself, as mentioned above, prior to the bidding.

2.6 INFORMATION NOT GUARANTEED

- A. Information and data reflected in the Contract Documents with respect to underground facilities at or contiguous to the site are based upon information and data furnished to the Owner by owners of such underground facilities, and Owner does not assume responsibility for the accuracy or completeness thereof.
- B. Before submitting a Bid, each Bidder may, at Bidder's own expense, make or obtain any additional examinations, investigations, explorations, tests and studies, and obtain any additional information and data which pertain to the physical conditions (surface, subsurface, and underground facilities) at or contiguous to the site or otherwise which may affect cost, progress, performance, or furnishing of the Work and which Bidder deems necessary to determine its Bid for performing and furnishing the Work in accordance with the time, price, and other terms and conditions of the Contract Documents.

- C. The submission of a Bid will constitute an incontrovertible representation by Bidder that Bidder has complied with every requirement of the Instructions to Bidders, that without exception the Bid is premised upon performing and furnishing the Work required by the Contract Documents and such means, methods, techniques, sequences, or procedures of construction as may be indicated in or required by the Contract Documents, and that the Contract Documents are sufficient in scope and detail to indicate and convey understanding of all terms and conditions for performing and furnishing of the Work.

2.7 INTERPRETATIONS AND ADDENDA

- A. All questions about the meaning or intent of the Contract Documents are to be directed to the Owner. Interpretations or clarifications considered necessary by Owner in response to such questions will be issued by Addenda mailed or delivered at least five (5) days prior to the receipt of Bids to all parties recorded by Owner as having received the Bidding Documents. Questions received less than seven (7) days prior to the date for opening of Bids may not be answered. Only questions answered by a formal written Addendum will be binding upon the Owner. Oral and other interpretations or clarifications will be without legal effect.

Submit all questions in writing to the project contact person prior to 12:00 p.m. (local time) on Wednesday, September 2, 2015. A written response will be emailed to the address on the "Record of Plans Purchased" that is required to be completed at the time the plans are purchased. No questions will be answered by telephone. The contact person for questions is:

Dustin Shoe
City of Westfield
Department of Public Works
2706 E. 171st Street
Westfield, IN 46074
317-450-6765
dshoe@westfield.in.gov

- B. Addenda may also be issued to modify the Bidding Documents as deemed advisable by Owner.
- C. In general, the Owner will neither approve nor disapprove particular products prior to the opening of the Bids; such products will be considered when offered by the Contractor for incorporation into the work.

2.8 BID SECURITY

- A. Each Bid must be accompanied by Bid security made payable to the Owner in an amount of five percent (5%) of the Bidder's maximum Bid price and in the form of a certified check or an acceptable Bid Bond. The Bid Bond shall be from an incorporated surety company in good standing and qualified to do business in the State of Indiana.
- B. The Bid security of the Successful Bidder will be retained until such Bidder has executed the Agreement and furnished the required contract security, whereupon the Bid security will be returned. If the Successful Bidder fails to execute and deliver the Agreement and furnish the required contract security within 15 days after the Notice of Award, Owner may annul the Notice of Award and the Bid security of that Bidder will be forfeited.
- C. The Bid security of other Bidders, whom Owner believes to have a reasonable chance of receiving the award, may be retained by Owner for a period of 90 days after the Bid opening.

2.9 AFFIDAVIT OF NON-COLLUSION

Each Bid must be accompanied by an Affidavit of Non-Collusion, which is included in Form 96, completely executed, signed, and notarized.

2.10 EXPERIENCE AND FINANCIAL STATEMENT

- A. It is the intent of the Owner not to award the Contract to any Bidder who does not furnish satisfactory evidence he has the ability and experience in this class of work and that he has sufficient capital to enable him to prosecute the same successfully and to complete it in the time named in the Proposal.
- B. To enable the Owner to determine the ability, experience, and capital resources of the Bidder, each Bidder shall execute completely and accurately in all respects Form 96, "Contractors Bid For Public Works" as prescribed by the Indiana State Board of Accounts, including Section III of Part II "Contractor's Financial Statement." Said Form 96 shall be included with the Bid.
- C. No contractor, supplier, consultant or its agent or representative may participate in any transaction with a suspended or debarred firm under a non-procurement transaction that is expected to equal or exceed \$25,000. A list of suspended or debarred firms, known as the Government Excluded Party Listing System, is maintained by the General Services

Administration can be accessed online at <http://www.epls.gov/>. It is the contractor's affirmative obligation to ensure that the person it intends to do business with is not excluded or disqualified. If a contractor enters into a transaction with a firm that is on the Government Excluded Party Listing System for any contract that is equal or above \$25,000, the City of Westfield may terminate its transaction with the prime contractor.

2.11 LETTER FROM SURETY

When requested in writing by the Owner, the Contractor shall submit within seven (7) days of the date of the written request, a letter or statement from their surety company that it will execute and deliver a 100% Performance Bond and a 100% Payment Bond.

2.12 SUBSTITUTE AND "OR EQUAL" ITEMS

The Contract, if awarded, will be on the basis of materials and equipment described in the drawings or specified in the specifications without consideration of possible substitute or "or equal" items. Whenever it is indicated in the drawings or specified in the specifications that a substitute or "or equal" item of material or equipment may be furnished or used by Contractor, if acceptable to Owner, application for such acceptance will not be considered by Owner until after the Agreement is executed.

2.13 PROPOSAL FORM

- A. All Bids must be submitted upon the Proposal Form which will be furnished by the Owner. The form shall be completely executed and shall give the price bid for each item of work proposed and shall be signed by the Bidder. The Affidavit of Non-collusion, which is a part of Form 96, must be completely executed.
- B. Bids by corporations must be executed in the corporate name by the president or a vice president (or other corporate officer accompanied by evidence of authority to sign). The corporate address must be shown below the signature.
- C. Bids by partnerships must be executed in the partnership name and signed by a partner, whose title must appear under the signature, and the official address of the partnership must be shown below signature.
- D. All names must be typed or printed below the signature.
- E. The Bid shall contain an acknowledgement of received Addenda (the numbers of which must be filled in on the Bid Form).

2.14 CONTRACT TIME

The number of days within which, or dates by which, the Work is to be substantially completed and also completed and ready for final payment (the Contract Time) are set forth in the Proposal Form.

2.15 SUBMISSION OF BIDS

Bids shall be submitted at the time and place indicated in the Advertisement or Invitation to Bid and shall be enclosed in an opaque sealed envelope, marked with the Project title (and, if applicable, the designated portion of the Project for which the Bid is submitted) and name and address of the Bidder and accompanied by the Bid security and other required documents. If the Bid is sent through the mail or other delivery system, the sealed envelope shall be enclosed in a separate envelope with the notation "BID ENCLOSED" on the face of it.

2.16 MODIFICATION AND WITHDRAWAL OF BIDS

- A. Bids may be modified or withdrawn by an appropriate document duly executed (in the manner that a Bid must be executed) and delivered to the place where Bids are to be submitted at any time prior to the opening of Bids.
- B. If, within 24 hours after Bids are opened, any Bidder files a duly signed, written notice with Owner and promptly thereafter demonstrates to the reasonable satisfaction of Owner that there was a material and substantial mistake in the preparation of its Bid, that Bidder may withdraw its Bid and the Bid security will be returned. Thereafter, that Bidder will be disqualified from further bidding on the Work to be provided under the Contract Documents.

2.17 OPENING OF BIDS

Bids will be opened and (unless obviously non-responsive) read aloud publicly. An official tabulation of the Bids and major alternates (if any) will be made available to Bidders within seven days after they have been checked and certified by the Owner.

2.18 BIDS TO REMAIN SUBJECT TO ACCEPTANCE

All Bids will remain subject to acceptance for 90 days after the day of the Bid opening, but Owner may, in its sole discretion, release any Bid and return the Bid security prior to that date. Bids shall remain in full force and effect during said period.

2.19 COMPARISON OF BIDS

Bids will be compared on the basis of the total sum of the unit price extensions plus any alternates accepted by Owner, as applicable.

2.20 AWARD OF CONTRACT

- A. Owner reserves the right to reject any and all Bids, to waive any and all informalities not involving price, time, or changes in the Work and to negotiate contract terms with the Successful Bidder, and the right to disregard all non-conforming, non-responsive, unbalanced or conditional Bids. Also, Owner reserves the right to reject the Bid of any Bidder if Owner believes that it would not be in the best interest of the Project to make an award to that Bidder, whether because the Bid is not responsive or the Bidder is unqualified or of doubtful financial ability or fails to meet any other pertinent standard or criteria established by the Owner. Discrepancies in the multiplication of units of Work and unit prices will be resolved in favor of the unit prices. Discrepancies between the indicated sum of any column of figures and the correct sum thereof will be resolved in favor of the correct sum.
- B. In evaluating Bids, Owner will consider the qualifications of the Bidders, whether or not the Bids comply with the prescribed requirements, and such alternates, unit prices, and other data as may be requested in the Bid Form prior to the Notice of Award.
- C. Owner may consider the qualifications and experience of Subcontractors, Suppliers, and other persons and organizations proposed for the Work.
- D. Owner may also consider the operating costs, maintenance requirements, performance data, and guarantees of major items of materials and equipment proposed for incorporation in the Work.

2.21 SIGNED AGREEMENT AND CONTRACT SECURITY

- A. When the Successful Bidder delivers the executed Agreement to the Owner, it shall be accompanied by the required Performance Bond and Payment Bond, acceptable to the Owner, in the amount of 100% of the final accepted Bid price.
- B. Three (3) copies of the Agreement, Performance Bond, and Payment Bond, completely executed, shall be delivered to Owner for his signing. The Owner thereafter shall deliver one fully signed Agreement to the Successful Contractor.

2.22 FORFEITURE OF BID SECURITY

In the event the party to whom the Contract is awarded shall fail or neglect to execute the Agreement and furnish satisfactory bonds within 15 days after the Owner has notified him that the Agreement is ready for execution, the Owner may determine that the Bidder abandoned the Agreement and thereupon the Bid and acceptance shall be null and void; and the security accompanying the Bid shall be forfeited to and retained by the Owner as liquidated damages for such failure and neglect, and to indemnify the Owner for any loss which may be sustained by failure of the Bidder to execute the Agreement. After the execution of the Agreement and the acceptance of the bonds by the Owner, the Bid securities, in the form of a check, which have been retained by the Owner shall be returned to the respective Bidders.

2.23 INSURANCE REQUIREMENTS

The Contractor shall furnish all the insurance coverage required under Article 5 in the General Conditions and Supplementary Conditions of the Contract.

2.24 SUMMARY OF ITEMS TO BE SUBMITTED WITH THE CONTRACTOR'S BID

- A. Proposal Form - completely executed and signed.
- B. Bid Security - acceptable Bidder's bond or certified check in an amount of not less than 5% of the total Bid price.
- C. Form 96 "Contractors Bid for Public Works" - including Non-Collusion Affidavit, completely executed, signed, and notarized as prescribed by the Indiana State Board of Accounts, and Section III of Part II of Form 96 titled, "Contractor's Financial Statement."

2.25 RETAINAGE

- A. The Owner shall retain ten percent (10%) of the amount of each payment until fifty percent (50%) of the work is complete. Retainage amount being held is not to exceed five percent (5%) of the total contract amount. On completion and acceptance of a part of the Work on which the price is stated separately in the Contract Documents, payment may be made in full, including retained percentages, less authorized deductions.

2.26 FUNDING OF PROJECT

The project will be funded by the City of Westfield.

SECTION 3

PROPOSAL

CITY OF WESTFIELD, INDIANA

2015 Fall Resurfacing Project

To: Westfield Public Works
2706 E. 171st Street
Westfield, Indiana 46074

Pursuant to the published "Advertisement for Bids", the undersigned has investigated the conditions affecting the cost of the proposed 2015 Fall Resurfacing Project and having examined the site and understanding the requirements set forth in the Contract Documents, hereby proposes to provide and furnish all labor, materials, tools, equipment and all utility and transportation services necessary to perform and complete, in a workmanlike manner, all the above work as required by said Contract Documents, including any and all addenda now on file in the Westfield Public Works office, City of Westfield, Indiana.

This project generally consists of milling, resurfacing, and striping Springmill Road from 146th Street to 156th Street as well as Carey Road from 146th Street to SR 32 as well as an alternate for patching as indicated within the Contract Documents.

The project will be awarded to the lowest and most responsible bidder based on the combined total base bid plus any accepted alternates as selected by the Owner. The program will be located throughout the Westfield corporate limits.

The undersigned proposes to furnish all work for the construction of the 2015 Fall Resurfacing Project, including all labor, materials, supplies, equipment and all appurtenances necessary to complete the work as per the drawings and the specifications for the following unit prices, to wit:

Remainder of page left intentionally blank.

BASE BID ITEMIZED PROPOSAL
(SEE BREAKDOWN ON NEXT PAGE FOR FURTHER INFORMATION)

ID	ITEM NO.	DESCRIPTION	UNIT	ESTIMATED QUANTITY	UNIT PRICE	AMOUNT
1	105-06845	COSTRUCTION ENGINEERING	LS	1	N/A	\$
2	110-01001	MOBILIZATION AND DEMOBILIZATION	LS	1	N/A	\$
3	306-08034	MILLING, ASPHALT, 1 ½ IN	SYS	63,085	\$	\$
4	306-08432	MILLING, APPROACH	SYS	1,162	\$	\$
5	402-07434	HMA SURFACE, TYPE C	TON	5,237	\$	\$
6	402-07452	HMA WEDGE AND LEVEL, TYPE C	TON	107	\$	\$
7	406-05520	ASPHALT FOR TACK COAT	TON	16.2	\$	\$
8	610-07488	HMA FOR APPROACHES, TYPE C	TON	97	\$	\$
9	720-44001	CASTING, ADJUST TO GRADE, UNDISTRIBUTED	EA	1	\$	\$
10	801-06203	TEMPORARY PAVEMENT MARKING, 4 IN	LFT	200	\$	\$
11	801-06775	MAINTAINING TRAFFIC	LS	1	N/A	\$
12	808-06713	LINE, PAINT. SOLID, WHITE, 4 IN	LFT	35,785	\$	\$
13	808-06714	LINE, PAINT SOLID, YELLOW, 4 IN	LFT	33,784	\$	\$
14	808-75100	TRANSVERSE MARKING, PAINT, CROSSWALK LINE, 6"	LFT	331	\$	\$
15	808-75272	TRANSVERSE MARKING, THERMO, CROSSWALK LINE, WHITE, 24"	LFT	64	\$	\$
16	808-75297	TRANSVERSE MARKING, THERMO, STOP LINE, 24 IN	LFT	97	\$	\$
17	808-75320	PAVEMENT MESSAGE MARKING, THERMO, LANE INDICATION ARROW	EA	21	\$	\$
18	808-75325	PAVEMENT MESSAGE MARKING, THERMO, (ONLY)	EA	16	\$	\$
19	808-75330	PAVEMENT MESSAGE MARKING, THERMO, (PED XING)	EA	1	\$	\$
Total						\$

Base Bid Amount in words for 2015 Fall Resurfacing Project

_____ Dollars and ____/100

This price is the sum of the quoted unit prices multiplied by the quantity for each item as shown on the above Base Bid Itemized Proposal.

BASE BID ITEMIZED BREAKDOWN

DESCRIPTION	UNIT	SPRINGMILL ROAD	CAREY ROAD
		ESTIMATED QUANTITY	ESTIMATED QUANTITY
MILLING, ASPHALT, 1 ½ IN	SYS	14,700	48,385
MILLING, APPROACH	SYS	0	1,162
HMA SURFACE, TYPE C	TON	1,221	4,016
HMA WEDGE AND LEVEL, TYPE C	TON	44	63
ASPHALT FOR TACK COAT	TON	3.7	12.5
HMA FOR APPROACHES, TYPE C	TON	0	97
CASTING, ADJUST TO GRADE, UNDISTRIBUTED	EA	0	1
TEMPORARY PAVEMENT MARKING, 4 IN	LFT	50	150
LINE, PAINT. SOLID, WHITE, 4 IN	LFT	8,483	27,302
LINE, PAINT SOLID, YELLOW, 4 IN	LFT	8,038	25,746
TRANSVERSE MARKING, PAINT, CROSSWALK LINE, 6"	LFT	150	181
TRANSVERSE MARKING, THERMO, CROSSWALK LINE, WHITE, 24"	LFT	0	64
TRANSVERSE MARKING, THERMO, STOP LINE, 24 IN	LFT	38	59
PAVEMENT MESSAGE MARKING, THERMO, LANE INDICATION ARROW	EA	3	18
PAVEMENT MESSAGE MARKING, THERMO, (ONLY)	EA	3	13
PAVEMENT MESSAGE MARKING, THERMO, (PED XING)	EA	0	1

ALTERNATE ITEMS PROPOSAL

ID	ITEM NO.	DESCRIPTION	UNIT	ESTIMATED QUANTITY	UNIT PRICE	TOTAL AMOUNT
A1	304-07491	HMA PATCHING, TYPE C, UNDISTRIBUTED	TON	199		

Alternate ID No. A1 Bid Amount in words for **HMA PATCHING, TYPE C**

_____ Dollars and ____/100

The above prices are the sum of the quoted unit prices multiplied by the quantity for each item as shown on the above Alternate Items Proposal.

_____ Dollars (\$_____),

It is hereby agreed that this proposal shall remain in full force and effect and may not be withdrawn for a period of 90 days from the date of receiving proposals by the City of Westfield, Indiana.

Respectfully submitted,

By

Title

Address

_____, 20____

Section 3 – Page 4

LIST OF SUPPLIERS / SUBCONTRACTORS

2015 FALL RESURFACING PROJECT

CITY OF WESTFIELD, INDIANA

[illegible]

The Bidder shall make an entry against each possible subtrade by naming the subtrade and the proposed subcontractor that will perform the work. The Bidder shall name all subtrades to be self-performed and label as such.

Failure by a Bidder to comply with the foregoing requirements may result in his bid being disqualified.

SECTION 4: STANDARD FORM OF AGREEMENT

See the following pages for the sample form of agreement for this project:

CONTRACT FOR GOODS AND SERVICES

This Contract for Goods and Services (“Vendor Contract”) is made and entered into as of the XXth day of XXXX 2015, by and between City of Westfield (“Contracting Party”) and XXXX, LLC (“Vendor”).

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each of Contracting Party and Vendor, intending to be legally bound, hereby agree as follows:

A. **Basic Terms.** This Vendor Contract is on the following basic terms and conditions:

- (a) Goods and/or services provided by Vendor: (See Exhibit B attached hereto and made a part hereof).
- (b) Location: XXXX Westfield, Indiana 46074 (the “City Property”)
- (c) Date by which the Services shall be completed: To start XXXX XXth, 2015 and complete by XXXX XXth, 2015 (the “Completion Date”).
- (d) Purchase Price: XXX Thousand XXX Hundred XXX Dollars and 00/100, \$000,000.00 (see Proposal dated xx.xx.xx - Exhibit B).

The purchase price includes the following alternates:

XXXX

- (e) The Contracting Party provides two payment options to vendors for payment of approved invoiced amounts. They are as follows:
 - a. Option #1: Traditional – Invoices shall be payable within forty-five (45) days following Contracting Party’s receipt and approval of an invoice at the address specified below.
 - b. Option #2: Preferred – Invoices are payable within 7 days following Contracting Party’s receipt and approval of an invoice at the address specified below if vendor accepts MasterCard.
- (f) The Contract Documents include:
 - a. This Goods and Services agreement
 - b. “Contract and Specifications for 2015 Fall Resurfacing Project” dated XXXX XX, 2015 inclusive of all sections and appendices.
 - c. Addendum #1 Dated XXXXXX

Should there be any conflict within the Contract Documents, the most stringent shall govern.

(g) Addresses:

If to Contracting Party (other than Invoices): Invoice Address:

City of Westfield
Department of Public Works
Attn: Dustin Shoe
2706 East 171st Street
Westfield, Indiana 46074

dshoe@westfield.in.gov w/ CC to
ap@westfield.in.gov or
City of Westfield
Attn: Accounts Payable
2728 East 171st Street
Westfield, Indiana 46074

If to Vendor:

XXXX, LLC
Address 1
City, State, Zip

B. **Contract Terms and Conditions.** This Vendor Contract is subject to the contract Terms and Conditions set forth in paragraphs 1-26 attached hereto and made a part hereof, the Project Changes, Attachment 1, and Exhibits attached hereto and made a part hereof. Parties stipulate that this agreement supersedes any and all other contracts, agreements or understandings between the Parties related to the subject matter herein is to be read strictly as the scope set forth in this agreement. The terms and conditions of prior contract(s), including but not limited to, annual support and maintenance as well as confidentiality, are not superseded by this agreement.

C. **Amendment.** No alteration, addition, deletion or modification of the Vendor Contract shall be valid or binding unless made in accordance with the contract terms and conditions set forth in this Vendor Contract.

D. **Project Changes to the Vendor Contract documents.** Project-specific changes to this Vendor Contract are set forth in Attachment 1 to this contract. The project-specific changes modify, add to and delete from the language of this Vendor Contract. Where any language of this Vendor Contract conflicts or is inconsistent with the project-specific changes, the project-specific changes shall control and govern. Where any project-specific language of this Vendor Contract conflicts or is inconsistent with other project-specific changes, the project-specific language that is most favorable to the Contracting Party shall control and govern.

CONTRACT TERMS AND CONDITIONS

1. **ACKNOWLEDGMENT, ACCEPTANCE:** Vendor has read and understands this Vendor Contract, and agrees that Vendor's written acceptance or commencement of any work or service under this agreement shall constitute Vendor's acceptance of these terms and conditions.

2. **PERFORMANCE:** Vendor hereby agrees to provide all goods and services necessary to perform the requirements of this Vendor Contract and to execute its responsibilities hereunder by following and applying at all times the highest professional and technical guidelines and standards. Contracting Party reserves the right at any time to direct changes, or cause Vendor to make changes in the goods and services or to otherwise change the scope of the work covered by this Contract with a signed Change Order executed by both parties, and Vendor agrees to make such changes promptly. Any difference in price or time for performance resulting from such changes

shall be equitably adjusted by Contracting Party after receipt of documentation in such form and detail as Contracting Party may reasonably require.

3. **TIME AND PERFORMANCE:** The work and services under this Contract shall be completed no later than the Completion Date. The Vendor shall submit for Contracting Party's approval a detailed schedule for the performance of the work and services which shall include allowances for periods of time required for Contracting Party's review and approval of submissions by Vendor. Time limits established by this detailed schedule shall be consistent with the Completion Date. Time is of the essence of this Vendor Contract. If the Vendor fails to comply with Section A; Basic Terms, Paragraph c, [Completion Date], the Vendor shall be subject to any and all consequential damages unless the delays are beyond the reasonable control of the Vendor.

4. **PRICE TERMS:** All of the prices, terms and warranties granted by Vendor herein are at least as favorable to Contracting Party as those offered by Vendor to other customers purchasing similar professional services under the same material term and conditions. Vendor agrees that it will pass on to Contracting Party any discounts and/or savings for prompt payment or rebates for quantity purchasing it receives.

5. **DISCLOSURE, WARNINGS AND INSTRUCTIONS:** If requested by Contracting Party, Vendor shall furnish promptly to Contracting Party, in such form and detail as Contracting Party may direct, a list of all ingredients or components to any goods specified hereunder, including the quality or concentration thereof and any other information relating thereto. Prior to and with the delivery of any recommended goods to be purchased hereunder, Vendor agrees to furnish to Contracting Party sufficient warning and notice in writing (including appropriate labels on goods, containers and packing) of any hazardous material which is an ingredient or a party of any of the goods, together with such special handling instructions as may be necessary to advise the City of how to exercise that measure of care and precaution which will best prevent bodily injury or property damage in respect of such goods. Vendor and any subcontracted party associated with Vendor for goods and services provided by this agreement shall maintain at the job site all Material Safety Data Sheets (MSDS) for all products used on the job site. Such MSDS sheets shall be available for inspection upon request.

6. **FORCE MAJEURE:** Any delay or failure of either party to perform its obligations hereunder shall be excused if, and to the extent that it is caused by an event or occurrence beyond the reasonable control of the party and without its fault or negligence, such as, by way of example and not by way of limitation, acts of God, actions by any governmental authority (whether valid or invalid), fires, floods, windstorms, explosions, riots, natural disasters, wars, sabotage, labor problems (including lockouts, strikes and slowdowns), inability to obtain power, or court injunction; provided that written notice of such delay (including the anticipated duration of the delay) shall be given by the affected party to the other party within ten (10) days after discovery of the cause of such delay. During the period of such delay or failure to perform by Vendor, Contracting Party, at its option, may purchase goods or services from other sources and reduce its schedules to Vendor by such quantities, without liability to Vendor, or have Vendor provide the goods from other sources in quantities and at times requested by Contracting Party at the price set forth in this Contract.

7. **LIENS:** Vendor shall not cause or permit the filing of any lien related to its services. In the event any such lien is filed and Vendor fails to remove such lien of record within thirty (30) days after the filing thereof, by payment or bonding, Contracting Party shall have the right to pay such lien or obtain such bond, all at Vendor's sole cost and expense. Vendor shall indemnify and

hold harmless Contracting Party from and against any and all liability, loss, judgments, costs and expenses, including reasonable attorneys' fees, incurred by Contracting Party in connection with any such lien.

8. **DEFAULT:** In the event Vendor commits any of the following (each, a "Default"): (a) repudiates or breaches any of the terms of this Contract, including, without limitation, Vendor's representations; (b) fails to perform services or deliver goods as specified by Contracting Party; (c) fails to make progress for reasons within the Vendors control so as to endanger timely and proper completion of services, and does not correct such failure or breach within ten (10) days (or such shorter period of time if commercially reasonable under the circumstances) after receipt of written notice from Contracting Party specifying such failure or breach; or (d) becomes insolvent, files, or has filed against it, a petition in bankruptcy, for receivership or other insolvency proceeding, makes a general assignment for the benefit of credits or (if Vendor is a partnership or corporation) dissolves, Contracting Party shall have the right (1) to terminate all or any part of this Contract, without liability to Vendor; (2) to perform or obtain, upon such terms and in such manner as it deems appropriate in its sole discretion, the services which were to be provided by Vendor and Vendor shall be liable to Contracting Party for any reasonable and immitigable excess costs above the costs of this contract incurred by Contracting Party in performing or obtaining such similar services; and (3) to exercise any other right or remedy available to Contracting Party at law or in equity and except to the extent of any betterment realized by the Contracting Party.

9. **LIMITATION OF CONTRACTING PARTY'S LIABILITY:** Vendor agrees that Vendor shall look solely to Contracting Party's interest in and to the City property, including, without limitation, any management fee, if applicable, subject to prior rights of any mortgagee or ground lessee of the City property, for collection of any judgment (or other judicial process) requiring payment of money by Contracting Party in the event of default or breach by Contracting Party of any of the covenants, terms or conditions of this Contract to be observed or performed by Contracting Party, and that no other assets of Contracting Party shall be subject to levy, execution or other process for satisfaction of Vendor's remedies. Vendor shall not be liable to the mortgage or ground lessee for any claims under this contract.

10. **REQUIRED INSURANCE AND INDEMNIFICATION:**

- (a) Vendor shall purchase and maintain the following insurance, with the following limits, in connection with any claims that may arise out of or result from Vendor's operations, whether performed by Vendor or anyone for whose acts Vendor may be liable:

Worker's Compensation	Required.
Employer's Liability	\$1,000,000 each accident, \$1,000,000 disease each employee, and \$1,000,000 disease policy limits.
Commercial General Liability (CG0001) , including Personal Injury, Premises Operations, including explosion, collapse or underground property damage hazards, including costs to repair or replace damaged work. (The Commercial General Liability Insurance may be	\$1,000,000 Per Occurrence and \$2,000,000 General Aggregate.

arranged under a single policy for the full limits required or by a combination of underlying policies with the balance provided by an Excess or Umbrella Liability Policy).	
Commercial Automobile Liability , including Owned, Non-Owned and Hired Car coverages.	\$1,000,000 Combined Single Limit for Bodily Injury and Property Damage.

- (b) The insurance shall be procured from companies authorized to do business in the state of Indiana. Except as otherwise expressly set forth herein, coverage shall be on an occurrence basis. All insurance procured or maintained by Vendor on which the Contracting Party is an additional insured, shall be primary. Any insurance maintained by Contracting Party shall be considered excess and non-contributory. Vendor shall permit Contracting Party to examine the actual policies upon request at the Vendor's offices where the policy is stored.
- (c) A Certificate of Insurance acceptable to Contracting Party shall be submitted to Contracting Party prior to commencement of any work hereunder, including, without limitation, a certificate issued by the Industrial Board or other appropriate agency in the State of Indiana showing that the Worker's Compensation and other employee benefit insurance is in full force and effect. Each insurer shall possess an A.M. Best's rating of no less than A-VIII as of inception of this Contract. The Certificate of Insurance shall contain a provision that coverage shall not be canceled unless at least thirty (30) days' prior written notice has been given to Contracting Party. The Certificate of Insurance shall name the Contracting Party as an additional insured with respect to all but the Worker's Compensation, Employee Liability, and Professional Liability coverage. The additional insured endorsement shall state that coverage is afforded the additional insured as primary and non-contributory. In addition, each Certificate of Insurance shall provide that the Certificate Holder is the Contracting Party, c/o City of Westfield. Vendor shall not have earned any fees nor be due any payments hereunder unless and until such Certificate of Insurance is received by Contracting Party.
- (d) Vendor shall indemnify and hold harmless Contracting Party, and its employees from and against any and all liability, claim, damage, loss or expense (including, without limitation, court costs and reasonable attorneys' fees) to the extent caused by any negligence of the Vendor, its employees or sub Vendors, in the performance of the services under this contract, but not to the extent arising directly out of the negligence of Contracting Party. This subparagraph (d) shall survive the expiration or termination of this Contract.
- (e) Without limiting anything set forth in this paragraph 10, the following additional insurance coverage limits are required for the professional engineering services specifically required by the scope of the contracted goods and services: \$1,000,000 per claim and \$1,000,000 general aggregate professional liability, with retroactive coverage to the earlier of date of execution of Contract and

commencement of any work and coverage for a minimum period of two (2) years after professional services completion.

- (f) If Vendor fails to maintain the insurance as set forth herein, Contracting Party may terminate this Contract immediately or, at the option of Contracting Party, Contracting Party may obtain insurance on the Vendor's behalf and offset the cost of insurance related to the contracted services against any payments due Vendor.

11. **SAFETY**: Vendor shall, related to the services hereunder, fully observe any and all known federal, state and local safety performance standards and all additional applicable laws, ordinances, rules, regulations and orders of public authorities having jurisdiction over the work area. Without limiting the foregoing, Vendor shall also comply with Contracting Party's Project Rules, a copy of which is attached hereto as Exhibit A and made a part hereof. Compliance with such standards, laws, ordinances, rules, regulations and orders shall be at the sole cost of Vendor. Violations can and/or will result in immediate corrective and disciplinary actions being taken, including, without limitation, termination of this Contract. If this Contract is terminated pursuant to this paragraph 11, Contracting Party shall not be required to make any further payments to Vendor except for conforming goods and services rendered prior to such termination. A safety representative employed by Contracting Party or an insurer may, from time to time, conduct safety inspections and submit safety findings. Vendor shall, at its expense, implement any reasonable abatement procedures recommended by such safety representative or insurer related to the contracted services.

12. **SETOFF**: In addition to any right of setoff provided by law, all amounts due Vendor shall be considered net of indebtedness of Vendor to Contracting Party, and Contracting Party may deduct any amounts due or to become due specific to the goods and services provided for the project from Vendor to Contracting Party and its affiliates and subsidiaries except those covered under the indemnification obligation from any sums due or to become due from Contracting Party to Vendor.

13. **DISPUTE RESOLUTION**: all claims, counterclaims disputes and other matters in question between the parties hereto arising out of or relating to this Contract, or breach thereof, shall be presented to non-binding mediation, subject to the parties agreeing on a mediator.

14. **ADVERTISING, PUBLICITY AND PUBLIC RELATIONS**: Vendor shall not, without first obtaining the express written consent of Contracting Party, in any manner advertise or publish the fact that Vendor has contracted to furnish Contracting Party the goods and services herein contracted, or use any trademarks or tradenames of the City's advertising, promotional materials or web sites. In the event of Vendor's breach of this provision, Contracting Party shall have the right to terminate the undelivered portion of any services covered by this Contract and shall not be required to make further payments except for conforming services rendered prior to cancellation.

15. **GOVERNMENT COMPLIANCE**: Vendor agrees to comply with all present federal, state and local laws, orders, rules, regulations, codes and ordinances which may be applicable to Vendor's performance of its obligations under this Contract, and all provisions required thereby to be included herein, are hereby incorporated by reference. Vendor agrees to indemnify and hold harmless Contracting Party from and against any loss, damage, liability, cost or

expense (including, without limitation, attorneys' fees) resulting from any violation of such laws, orders, rules, regulations, codes or ordinances by Vendor.

16. **NO IMPLIED WAIVER:** The failure of either party at any time to require performance by the other party of any provision of this Vendor Contract shall in no way affect the right to require such performance by any time thereafter, nor shall the waiver of either party of a breach of any provision of this Contract constitute a waiver of any succeeding breach of the same or any other provision.

17. **NON-ASSIGNMENT:** Vendor shall not assign or pledge this Vendor Contract whether as collateral for a loan or otherwise and shall not delegate its obligations under this Contract without Contracting Party's express written consent.

18. **RELATIONSHIP OF PARTIES:** Vendor and Contracting Party are independent contracting parties and not agents, employees, partners, joint ventures or associates of one another, and nothing in this Contract shall make either party the agent or legal representative of the other for any purpose whatsoever, nor does it grant either party any authority to assume or to create any obligation on behalf of or in the name of the other. The employees or agents of one party shall not be deemed or construed to be the employees or agents of the other party for any purpose whatsoever. Vendor shall pay all wages and appropriate expenses of its employees, including, without limitation, all federal, state and local taxes, social security taxes and other employment or personnel taxes or assessments. Contracting Party shall not be liable for any injury (including death) to any persons, or any damages to any property incurred in connection with the performance of this Contract, to the extent caused by Vendor's fault or negligence.

19. **GOVERNING LAW:** This Contract is to be construed in accordance with and governed by the laws of the State of Indiana that includes, but not limited to Indiana Code 5-16-6, 5-16-8, 5-16-9, 5-16-13, and 5-16-14.

20. **SEVERABILITY:** If any term of this Contract is invalid or unenforceable under any statute, regulation, ordinance, or other rule of law, such term shall be deemed reformed or deleted, but only to the extent necessary to comply with such statute, regulation, ordinance, contract or rule, and the remaining provisions of this Contract shall remain in full force and effect.

21. **NOTICE:** Any notice provided for in this Contract will be sufficient if given by certified mail return receipt requested, or by reputable overnight courier service, to the party to be notified at the address specified in the Contract. If sent electronically, the notice shall be deemed to have been given upon electronic conformation of receipt. If sent by overnight courier, the notice shall be deemed to have been given one (1) day after sending. If mailed, the notice shall be deemed to have been given on the date that is three (3) business days following mailing. Either party may change its address by giving written notice thereof to the other party.

22. **TERMINATION:** Contracting Party may terminate this Contract (a) immediately, in the event of a Default by Vendor, or (b) at any time without cause upon seven (7) days' prior written notice to Vendor. In the event of such termination, Vendor shall be entitled to receive only payment for conforming goods delivered as of the date of termination and compensation for goods and services which have been accrued pro rata as of the date of termination, after deduction of all of Contracting Party's costs and expenses, including, without limitation, attorneys' fees, incurred in connection with any Default by Vendor.

23. **ENTIRE AGREEMENT:** This Vendor Contract, together with any attachments, exhibits, or supplements, specifically referenced in this Vendor Contract, constitutes the entire agreement between Vendor and Contracting Party with respect to the matters contained herein and supersedes all prior oral or written representations and agreements. This Contract may only be modified by a written instrument executed by both parties. Each signatory that executes this Agreement on behalf of the Contracting Party stipulates that they have executed this Agreement with the proper authority duly granted to bind that respective Contracting Party.

24. **OFAC COMPLIANCE:** The Office of Foreign Assets Control (OFAC) prohibits US persons from entering into transactions with individuals, groups, and entities, such as terrorists, narcotics traffickers and those engage in activities related to the proliferation of weapons of mass destruction, collectively referred to as Specially Designated Nationals (“SDN”). If the name of Vendor or any individual in a management position with Vendor is discovered on the SDN list, published by OFAC, such discovery shall constitute a material breach of this Contract. Contracting Party shall promptly notify Vendor, which shall have three (3) days in which to provide to Contracting Party clear and convincing evidence that (a) neither Vendor nor any individual in a management position with Vendor is an SDN, (b) the transaction is authorized by OFAC or (c) a statutory exemption exists that permits Contracting Party to do business with Vendor. Should Vendor fail to do so, then Contracting Party shall terminate this Contract for cause without further notice or grace period.

25. **IRCA COMPLIANCE:** The Immigration Reform and Compliance Act of 1986 (IRCA) prohibits the employment of unauthorized aliens and requires all employers to: (1) not knowingly hire or continue to employ any person not authorized to work in the United States, (2) verify the employment eligibility of every new employee (whether the employee is a U.S. citizen or an alien), and (3) not engage in discrimination against qualified workers. The Vendor shall comply with IRCA and all other applicable federal, state and local immigration laws, regulations, Executive Orders (“other immigration laws”) and by executing this Agreement, warrants that it is in full compliance with all applicable immigration laws including, but not limited to, IRCA and has used E-Verify to pre-screen job applicants and re-verify current employees. Vendor shall not be required to verify the work eligibility status of all newly hired employees of the contractor through the E-Verify program if the E-Verify program no longer exists. Vendor shall immediately remove any employee known to be an unauthorized alien. Failure to comply with IRCA or other immigration laws shall constitute a material breach of this Agreement. The Vendor shall indemnify the City of Westfield against all damages, losses and expenses, including attorneys’ fees, incurred or sustained by the City of Westfield as a result of the Vendor’s failure to comply with IRCA or other immigration law. Vendor shall include this provision in any subcontracts or subordinate agreements it enters into with respect to this Agreement. Vendor shall also sign and have notarized the Affidavit of Employee Status (Attachment 2).

26. **IRAN CERTIFICATION:** Vendor hereby certifies, in accordance with I.C. 5-22-16.5-1 et seq., to have no engagement in investment activities in Iran as defined in the above cited statute.

EXECUTED this _____ day of _____, 2015.

Contracting Party:

City of Westfield
2728 East 171st Street
Westfield, Indiana 46074

Vendor:

XXXX, LLC
Address 1
City, State, Zip

Signature

Signature

Printed Name

Printed Name

Title

Title

Date

Date

EXHIBIT A

Project Rules

In an effort to have COMPLETE CUSTOMER SATISFACTION, we have prepared the following Project Rules. Your personnel and all subcontracted parties shall comply with these rules without exception. Failure to follow Project Rules may be grounds for project dismissal and potentially contract termination. Following these rules will help us collectively acquire COMPLETE CUSTOMER SATISFACTION.

SITE ACCESS

- ☐ General: Vendor/Contractor ("Contractor") shall have limited use of Project site for construction operations as indicated on Drawings by the Contract limits.
- ☐ Use of Site: Limit use of Project site to areas within the Contract limits indicated. Do not disturb portions of Project site beyond areas in which the Work is indicated.
- ☐ Driveways, Walkways and Entrances: Keep driveways, loading areas, and entrances serving premises clear and available to City, City's employees, and emergency vehicles at all times. Do not use these areas for parking or storage of materials.
- ☐ Schedule deliveries to minimize use of driveways and entrances by construction operations and reduce space and time requirements for storage of materials and equipment on-site.
- ☐ Restricted Site Access: The only egress point to and from the Project area shall be as dictated by the City or authorized City's representative. Coordinate work activities in advance.
- ☐ All construction personnel will be required to have photo identification with them at all times on the project. All construction personnel shall also carry Vendor identification with them or wear hardhats with company logo and the employee's name visible to determine their site permissions.
- ☐ Noise, Vibration, and Odors: Coordinate operations that may result in high levels of noise, vibration, odors, or other disruption to occupied areas of the Project, as applicable.
- ☐ Notify City(s) not less than five days in advance of proposed disruptive operations. Obtain City(s) written permission before proceeding with disruptive operations.
- ☐ Perform work with least possible disturbance to occupants of existing facilities.
- ☐ Contractor shall seek approval from City or City representative before beginning any work outside of the approved project limits or area.
- ☐ Prior to commencing the Work, the Contractor shall tour the Project site to **examine and record** any existing damage to adjacent site or building improvements to serve as a basis for determination of subsequent damage due to Contractor's operations. Contractor shall submit such report to the City prior to commencing work.

LIMITED CITY OCUPANCY (If Applicable)

- ☐ The City and its partners intend to occupy parts of the Project immediately upon completion and when safe access is available. Your work must be coordinated in advance to limit the exposure of construction activities to occupants of the Project.
- ☐ Before limited City occupancy of any building, the mechanical and electrical systems shall be fully operational, and required tests and inspections shall be successfully completed. On occupancy, City will operate and maintain mechanical and electrical systems serving occupied portions of Work.

- ❑ On occupancy, City will assume responsibility for maintenance and custodial service for occupied portions of Work.

MATERIAL MANAGEMENT PLAN

- ❑ Contractors shall prepare a Site Utilization Plan to be submitted to the City for review and approval.
- ❑ The site use plan shall include but not be limited to the following items:
 - Material storage areas (identify material and ownership).
 - Equipment compounds.
 - Temporary utilities required
 - Trash and waste containers required for environmental disposal of waste.
 - Any other specific items requiring coordination with the City, Project partners or other trade contractors.
- ❑ Safe and protected storage of materials and equipment of the Contractor is the responsibility of the Contractor. All materials stored by the Contractor on the site are to be protected in a manner to not jeopardize their warranty or quality of material finish.

CLEAN UP

- ❑ During the progress of the Work, the Contractor shall keep the site and other areas free from accumulation of waste materials, rubbish and other debris, as provided in the contract. Removal and disposal of such waste materials, rubbish, and other debris shall conform to applicable Laws and Regulations in the most environmentally sensitive manner possible. Burial of waste materials, rubbish, and other debris on the site is strictly prohibited.
- ❑ Contractor shall provide daily cleaning of their work areas including sweeping and trash/debris/rubbish removal. Contractor shall be responsible for moving trash to the designated refuse areas for disposal by others.
- ❑ At no time shall a contractor block an egress path without the expressed consent of the City or authorized City representative.
- ❑ At the completion of the Work, the Contractor shall remove from the site all tools, appliances, construction equipment, machinery, trailers, and temporary structures/utilities that they erected as well as surplus materials, rubbish and trash.

WORK HOURS

- ❑ It is the expectation of City that ALL Contractors and subcontractors limit work to normal business working hours, Monday through Friday, unless otherwise required or approved in advance by City.
- ❑ The Work of this Project shall be accomplished during normal working hours and days. Contractors planning to work on weekends or observed holidays must schedule with the authorized Owner agent, no later than 48 hours prior to the anticipated work day.
- ❑ Normal working hours and days are defined as:
 - Mondays through Fridays, 7:00 a.m. to 6:00 p.m. (typical)
 - Weekends (Saturday and Sunday), as scheduled and approved in advance by the City.
 - No work shall be performed on days of normal observance of the following holidays:
 - New Year's Day
 - Memorial Day
 - Independence Day
 - Labor Day
 - Thanksgiving Day and the Friday following
 - Christmas Day

- ❑ Requests for work on non-normal work days or outside the defined normal working hours of this project, does not constitute an approval of said request and may need to be rescheduled to provide adequate security and supervision as required by Contract.
- ❑ No use of power actuated tools or hammer drills is permitted at an occupied City building or adjacent to private residence and/or business between the hours of 7:00 AM and 5:00 PM, or as directed by City officials

PUBLIC ACCESS AND SAFETY

- ❑ Contractor is responsible to provide all safety measures required and implied as necessary to protect all persons on the Project site and all persons and public adjacent to their construction zones. It is not the responsibility of the City to specify measures to be taken.
- ❑ Comply with applicable safety and security regulations of all authorities having jurisdiction. These regulations set forth minimum requirements. Contractor shall not reduce his normal safety provisions or ignore safety regulations required by other authorities having jurisdiction where other requirements are more stringent.
- ❑ The Contractor shall provide, for coordination, and information, all material safety data sheets or other hazard communication information required to be made available to or exchanged between or among employers at the Site in accordance with Laws or Regulations. Contractors must provide updated and current information as it becomes available.
- ❑ In the case of an emergency affecting the safety or protection of persons or the Work or property at the Site or adjacent areas, the Contractor shall act to prevent threat of damage, injury, or loss. The Contractor shall immediately notify the City. Within 24 hours the Contractor shall provide written notification and documentation of the event, indicating if he believes that any significant changes in the Work or variations from the Contract Documents have been caused thereby or are required as a result thereof.
- ❑ The Contractor shall designate a qualified, experienced safety representative at the Site.

SITE DECORUM

- ❑ Contractor and subcontracted employees shall conduct themselves in a professional manner in all areas of the City.
- ❑ Refrain from contact with the general public. When this cannot be avoided, Contractor's and the subcontractor's employees are to be courteous at all times.
- ❑ Proper work attire shall be required at all times on the Project. In addition to the required personal protective devices and attire required to perform work safely, all site workers are to wear clothing appropriate for the work that they are performing. Clothing with inappropriate language or pictures are strictly forbidden.
- ❑ Contractor shall control the conduct of its employees so as to prevent unwanted interaction initiated by Contractor's employees with City/Project personnel, public, other contractors and their employees, or other individuals, in the vicinity of the project site. In the event that any Contractor employee initiates such unwanted interaction, or utilizes profanity, Contractor shall, either upon request of the City or on its own initiative, replace said employee with another of equivalent technical skill, at no additional cost to the City.
- ❑ No radios, other than two-way communication type, will be allowed on the Project site.
- ❑ Smoking or the use of any tobacco products (including chew and snuff) is **NOT ALLOWED** on the Project or any City-owned properties.
- ❑ Water is allowed in Project buildings however ALL other beverages and food are only permitted in designated break areas.
- ❑ Use of any controlled substances on City's property is not permitted.

- ❑ No alcoholic beverages, illegal drugs, controlled substances or firearms of any kind are permitted on the construction site. Any persons found on the site with such in their possession will be escorted from the premises and not permitted to return.
- ❑ Fighting and horseplay on the project site are absolutely forbidden. Participants in fights will be escorted from the premises and not permitted to return.

PARKING

- ❑ Project parking is allowed at designated areas of the Project.
- ❑ Personal vehicles are to remain in provided parking areas.
- ❑ Only approved company work vehicles are allowed on the project site. This effort is dictated to prevent damage to site and other improvements and promote a safe project by minimizing project congestion.
- ❑ For Construction LOADING AND UNLOADING ONLY:
 - Contractors shall be allowed to deliver daily equipment and materials to the Project construction areas so as long that they minimize the impact and risk of damage to existing site and project improvements.
 - Delivery of materials, equipment and products associated with the completion of your scope of work must be coordinated in advance.

UTILITY COORDINATION

- ❑ All excavations shall be completed in accordance with City and OSHA standards. Due to the amount of public and private utilities in and around Grand Park, all excavations must utilize a hydro-vac when area of disruption is appropriately sized.
- ❑ Limit construction operations to those methods and procedures which will not adversely and unduly affect the working environment of City's occupied spaces, including noise, dust, odors, air pollution, ambient discomfort, poor lighting, hazards and other undesirable effects and conditions.
- ❑ Notify the City one week in advance of construction activities which will impact the occupancy and use of adjacent areas.
- ❑ Do not interrupt power, lighting, plumbing, telephone and HVAC services to occupied areas. Interruptions must be scheduled a minimum of two days in advance, receive City's approval, and be made known to users of the area a minimum of 24 hours in advance of the actual interruption.
- ❑ Contractor to connect to temporary utilities as designated by the contract documents or by the City. The Contractor will be responsible for installing and removing all temporary utilities, unless directed otherwise.
- ❑ Contractor shall be responsible for site drainage and maintaining erosion control as required.

USE OF ROADWAYS AND PATHS

- ❑ Comply with limitations on use of public streets and with other requirements of authorities having jurisdiction.
- ❑ Use of the City Park paths or perimeter trails, including those at Grand Park, is discouraged but we understand that in many cases cannot be avoided. Please coordinate in advance any vehicle or equipment size and weight with the City prior to mobilizing on site.
- ❑ Where materials are transported in the performance of this Work, do not load vehicles beyond the capacity recommended by the manufacturer of the vehicles or prescribed by any applicable state or local law or regulation.

- ❑ Provide protection against damage whenever it is necessary to cross existing paths, sidewalks, curbs, and gutters on the City project. Repair and make good at the expense of Contractor all damages thereto, including damage to existing utilities and paving, arising from the operations under the Contract.
- ❑ Access onto any athletic field at Sports Campus at Grand Park or onto any City owned property with irrigation installed is strongly discouraged. Contractor shall protect all playing surfaces and site utilities that could be compromised by the construction activities of the Contractor.
- ❑ Truck staging is not allowed on any City street surrounding the Project.
- ❑ Promptly clean all public right-of-ways should dirt or other debris from site be deposited on roads and streets by the Contractor or vehicles used to deliver or conduct the scope of this agreement.
- ❑ It is the responsibility of ALL Contractors to provide flag person(s) at pedestrian crossings of construction equipment at right of ways or pedestrian paths one hundred percent of the time such equipment is operating.

TRAFFIC CONTROL

- ❑ Provide temporary traffic control barriers to ensure safety of all persons and property.
- ❑ Contractor shall provide all flag person(s) necessary to maintain vehicular and pedestrian traffic affected by deliveries and work performed under their scope. All flag person(s) shall be certified through the union hall or other body having the authority to provide this training.
- ❑ Contractor shall provide traffic control for vehicular traffic leaving and entering the site.

CRANES & HOISTING

- ❑ All hoisting and cranes required to perform the scope of your work is the responsibility of the Contractor to install, provide and operate in accordance with all safety regulations of the authorities having jurisdiction. This includes all temporary hoisting required by job conditions for the installation of materials and equipment.

TEMPORARY SHORING AND BRACING

- ❑ Provide temporary shoring and bracing as required for execution of the Work. ALL shoring and bracing shall be engineered by the Contractor and comply with safety regulations of authorities having jurisdiction.

TEMPORARY BARRICADES

- ❑ Provide temporary barricades as necessary for the execution of the work. Maintain barricades in a clean and neat condition until no longer required and removal is approved or requested.
- ❑ Provide temporary barriers or partitions as required to protect any project workers or the general public from injury due to work of this project, and to protect adjacent areas of the project from spread of dust or dirt.
- ❑ When Work involves modification to an existing egress corridor within an existing building, the Contractor shall provide temporary barricades as necessary, constructed in a manner that maintains the fire resistive integrity of the affected corridor(s). Construction and placement of the barricades shall be approved by the City project representative and the authority having jurisdiction.

CONSTRUCTION SIGNAGE

- ❑ Advertising Signage: The use of Contractor/subcontractor advertising signage is strictly prohibited.
- ❑ No ground-mounted signage is allowed on the project site without the expressed written consent of the City.

- ❑ Signage is authorized on construction trailers and corporate-owned equipment and vehicles. Such signage cannot exceed 6' by 4' (24 square feet) in size. Trailers in violation shall be removed from the site by the Contractor and the Contractor shall have the site storage privileges revoked
- ❑ Signage to be fabricated from new materials and constructed from materials able to withstand construction use/abuse and exposure based upon its proposed installation location for its intended use.
- ❑ Project Specific Signage:
 - ALL signage shall be as approved by the City and the authority having jurisdiction.
 - All employee personnel informational signage shall be bilingual (English and Spanish) as requested by the City.
 - All project specific signage shall include the City logo and project name incorporated into the design of each sign for the project.

TEMPORARY FACILITIES

- ❑ Erect and maintain, for duration of operations and in locations as approved, suitable temporary office facilities as required for Contractor's administration of the Work. Provide necessary sheds and facilities for the storage of tools, materials, and equipment employed in the performance of the Work. Temporary buildings shall be watertight with raised solid floors, solid sheathed and composition roofs, and adequately glazed and screened windows for light and ventilation. Temporary buildings shall be painted colors as approved. Contractor shall furnish daily janitorial service in the trailer. Provide stairs and handicapped ramp per code.

RUBBER TIRED EQUIPMENT

- ❑ Where carts, hand trucks, wheelbarrows, and similar wheeled conveyances are used in interior spaces or on finished surfaces (including synthetic turf fields) on or in any portions of any structure, equipment shall be equipped with pneumatic tires or other tire approved by the City.

REMOVAL OF TEMPORARY FACILITIES

- ❑ Temporary facilities, barricades, utilities and other construction of temporary nature shall be removed from the Project site as soon as the progress of the work will permit in the opinion of the City; and the portions of the Project site and building occupied by same shall be reconditioned and restored to original condition.
- ❑ Legally dispose of all debris resulting from removal and reconditioning operations.

VIOLATIONS

- ❑ Any violator of site restrictions will be subject to removal from the site, with recourse for schedule or cost impact.

GENERAL SAFETY PRECAUTIONS

- ❑ Safe working practices shall be observed at all times. The safety of your employees, the buildings and the work site is considered to be paramount. All work shall be conducted and completed by the guidelines set forth by the Federal, Local and State Authorities.
- ❑ The City of Westfield is a "Safe City". Any worker or person on a jobsite shall have 100% protection as defined by OSHA for the hazards that they may be exposed. This includes but is not limited to 100% eye protection, hard hat and hi-visibility vest at all times when on-site.
- ❑ Proper gloves are to be used to limit abrasions and cuts. Hearing protection shall be accessible to employees and used whenever exposed to noises that require such protective devices.

- ❑ Fall protection shall be worn, observed or employed when working at a height greater than 6' unless approved in writing by the City and OSHA/IOSHA. This fall protection directive is to be used at all times and includes activities utilizing articulating boom lifts, scissors lifts, ladders, scaffolding and any other activity where workers are exposed to a fall and shall compile with the provisions of OSHA and IOSHA.
- ❑ Any and all "Hot Work" shall have an appropriate fire extinguisher immediately accessible and be pre-approved by the City officials.
- ❑ All electrical service shall be properly protected with a GFCI, including the use of extension cords on permanent power.
- ❑ Eye protection shall be worn at all times when cutting, grinding, chipping, drilling or using power actuated tools.
- ❑ Safety manuals and MSDS sheets must be turned in to the assigned City representative prior to commencing work on site. These manuals are still to be maintained by the Contractor on site for use and reference by any authority having jurisdiction.
- ❑ The City of Westfield is a "Safe City". In the event of an accident or near-miss, the employees involved may be required to perform a drug and alcohol screening prior to being able to continue working on site.

Non-compliance with the foregoing Project Rules shall result in disciplinary procedures up to and including removal from the project and termination of your contract.

EXHIBIT B

See attached Proposal dated xx.xx.xx

Attachment 1

Each addenda shall be signed to prove receipt. If no addendums, the rest of Attachment 1 to be left intentionally blank.

Attachment 2

The Affidavit of Employee Status shall be signed and notarized.

AFFIDAVIT OF EMPLOYEE STATUS

Re: Project – **Project Name**

WHEREAS, the City of Westfield, Hamilton County, Indiana, hereinafter referred to as the “City” is in the process of construction work on the **Project Name** project, hereinafter referred to as the “Project”;

WHEREAS, **Construction Company LLC**, hereinafter referred to as the “Vendor”, is the general contractor of the above reference project; and

WHEREAS, it is necessary for the City to require the Vendor to enroll in and verify the work eligibility status of all newly hired employees through the E-Verify program per Indiana Code.

NOW THEREFORE, the Vendor agrees to have enrolled in and verified the work eligibility status of all newly hired employees through the E-Verify program and does not knowingly employ illegal aliens. The Vendor clearly understands the regulations and penalties stated in the Indiana Code should conflicts arise.

Signature:

Signature:

Printed Name:

Printed Name:

STATE OF INDIANA:

SS:

COUNTY OF

:

Before me the undersigned, a Notary Public in and for said State and County, personally appeared of **Construction Company LLC**, the general contractor and acknowledge the execution of the foregoing Affidavit of Employee Status to be a free and voluntary act and deed and for the purposes stated therein, being duly sworn, stated that any representations contained therein are true.

Witness my hand and Notarial Seal this _____ day of _____, 20__

Signature

Printed Name

My Commission expires _____

I am a resident of _____ County.

CITY OF WESTFIELD BY:

, Director of Public Works

STATE OF INDIANA:

SS:

COUNTY OF HAMILTON:

Before me the undersigned, a Notary Public in and for said State and County, personally appeared _____, Director of Public Works, and acknowledges the execution of the foregoing Affidavit of Employee Status to be a free and voluntary act and deed and for the purposes stated therein.

Witness my hand and Notarial Seal this _____ day of _____, 20__

Signature

Printed Name

My Commission expires _____

I am a resident of _____ County.

This instrument prepared by: Brian J. Zaiger, Attorney, Krieg-Devault Attorneys at Law, 12800 N. Meridian St. Ste. 300, Carmel, IN 46032

Attachment 3

The Invoice Cover Sheet shall be attached and filled out for all invoices submitted to the City of Westfield.



Invoice Date:	
Invoice or App Number:	

Westfield Department of Public Works
 2706 East 171st Street
 Westfield, IN 46074
AP@westfield.in.gov

Westfield Project Name:	
Westfield Project Number:	
Westfield Project Manager:	
Westfield PO Number:	

1. Original Contract Amount	
2. Change Orders/Amendments	
3. Total Contract Amount (Line 1 $\pm$ 2)	
4. Total Earned To Date	
5. Retainage (If Applicable)	
6. Total Earned Less Retainage (Line 4 less 5)	
7. Less Previous Payments (Line 6 from prior Invoice)	
8. Total Amount Payable This Invoice (Line 6 less 7)	
9. Balance to Finish, Including Retainage (Line 3 less 6)	

Please email this cover letter, along with your invoice to AP@westfield.in.gov with attention to the Westfield Project Manager associated with this project in order to expedite payment. If you need more information regarding the Westfield Project Name, Number, and PO Number, please contact the Westfield Project Manager, thank you!

SECTION 5: FORM 96

See the following pages for the sample Form 96 for this project:

SECTION 6: PERFORMANCE AND PAYMENT BONDS

See the following pages for the sample Performance and Payment Bond forms for this project:

PERFORMANCE BOND

Any singular reference to Contractor, Surety, Owner, or other party shall be considered plural where applicable.

CONTRACTOR (Name and Address):

SURETY (Name and Address of Principal Place of Business):

OWNER (Name and Address):

CONTRACT

Date:

Amount:

Description (Name and Location):

BOND

Bond Number:

Date (Not earlier than Contract Date):

Amount:

Modifications to this Bond Form:

Surety and Contractor, intending to be legally bound hereby, subject to the terms printed on the reverse side hereof, do each cause this Performance Bond to be duly executed on its behalf by its authorized officer, agent, or representative.

CONTRACTOR AS PRINCIPAL

Company:

Signature: _____ (Seal)

Name and Title:

(Space is provided below for signatures of additional parties, if required.)

CONTRACTOR AS PRINCIPAL

Company:

Signature: _____ (Seal)

Name and Title:

SURETY

(Seal)

Surety's Name and Corporate Seal

By:

Signature and Title

(Attach Power of Attorney)

Attest:

Signature and Title

SURETY

(Seal)

Surety's Name and Corporate Seal

By:

Signature and Title

(Attach Power of Attorney)

Attest:

Signature and Title:

EJCDC No. C-610 (2002 Edition)

Originally prepared through the joint efforts of the Surety Association of America, Engineers Joint Contract Documents Committee, the Associated General Contractors of America, and the American Institute of Architects.

1. Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to Owner for the performance of the Contract, which is incorporated herein by reference.

2. If Contractor performs the Contract, Surety and Contractor have no obligation under this Bond, except to participate in conferences as provided in Paragraph 3.1.

3. If there is no Owner Default, Surety's obligation under this Bond shall arise after:

3.1. Owner has notified Contractor and Surety, at the addresses described in Paragraph 10 below, that Owner is considering declaring a Contractor Default and has requested and attempted to arrange a conference with Contractor and Surety to be held not later than 15 days after receipt of such notice to discuss methods of performing the Contract. If Owner, Contractor and Surety agree, Contractor shall be allowed a reasonable time to perform the Contract, but such an agreement shall not waive Owner's right, if any, subsequently to declare a Contractor Default; and

3.2. Owner has declared a Contractor Default and formally terminated Contractor's right to complete the Contract. Such Contractor Default shall not be declared earlier than 20 days after Contractor and Surety have received notice as provided in Paragraph 3.1; and

3.3. Owner has agreed to pay the Balance of the Contract Price to:

1. Surety in accordance with the terms of the Contract;
2. Another contractor selected pursuant to Paragraph 4.3 to perform the Contract.

4. When Owner has satisfied the conditions of Paragraph 3, Surety shall promptly and at Surety's expense take one of the following actions:

4.1. Arrange for Contractor, with consent of Owner, to perform and complete the Contract; or

4.2. Undertake to perform and complete the Contract itself, through its agents or through independent contractors; or

4.3. Obtain bids or negotiated proposals from qualified contractors acceptable to Owner for a contract for performance and completion of the Contract, arrange for a contract to be prepared for execution by Owner and Contractor selected with Owner's concurrence, to be secured with performance and payment bonds executed by a qualified surety equivalent to the bonds issued on the Contract, and pay to Owner the amount of damages as described in Paragraph 6 in excess of the Balance of the Contract Price incurred by Owner resulting from Contractor Default; or

4.4. Waive its right to perform and complete, arrange for completion, or obtain a new contractor and with reasonable promptness under the circumstances:

1. After investigation, determine the amount for which it may be liable to Owner and, as soon as practicable after the amount is determined, tender payment therefor to Owner; or
2. Deny liability in whole or in part and notify Owner citing reasons therefor.

5. If Surety does not proceed as provided in Paragraph 4 with reasonable promptness, Surety shall be deemed to be in default on this Bond 15 days after receipt of an additional written notice from Owner to Surety demanding that Surety perform its obligations under this Bond, and Owner shall be entitled to enforce any remedy available to Owner. If Surety proceeds as provided in Paragraph 4.4, and Owner refuses the payment tendered or Surety has denied liability, in whole or in part, without further notice Owner shall be entitled to enforce any remedy available to Owner.

6. After Owner has terminated Contractor's right to complete the Contract, and if Surety elects to act under Paragraph 4.1, 4.2, or 4.3 above, then the responsibilities of Surety to Owner shall not be greater than those of Contractor under the Contract, and the responsibilities of Owner to Surety shall not be greater than those of Owner under the Contract. To a limit of the amount of this Bond, but subject to commitment by Owner of the Balance of the Contract Price to mitigation of costs and damages on the Contract, Surety is obligated without duplication for:

6.1. The responsibilities of Contractor for correction of defective Work and completion of the Contract;

6.2. Additional legal, design professional, and delay costs resulting from Contractor's Default, and resulting from the actions or failure to act of Surety under Paragraph 4; and

6.3. Liquidated damages, or if no liquidated damages are specified in the Contract, actual damages caused by delayed performance or non-performance of Contractor.

7. Surety shall not be liable to Owner or others for obligations of Contractor that are unrelated to the Contract, and the Balance of the Contract Price shall not be reduced or set off on account of any such unrelated obligations. No right of action shall accrue on this Bond to any person or entity other than Owner or its heirs, executors, administrators, or successors.

8. Surety hereby waives notice of any change, including changes of time, to Contract or to related subcontracts, purchase orders, and other obligations.

9. Any proceeding, legal or equitable, under this Bond may be instituted in any court of competent jurisdiction in the location in which the Work or part of the Work is located and shall be instituted within two years after Contractor Default or within two years after Contractor ceased working or within two years after Surety refuses or fails to perform its obligations under this Bond, whichever occurs first. If the provisions of this paragraph are void or prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of the suit shall be applicable.

10. Notice to Surety, Owner, or Contractor shall be mailed or delivered to the address shown on the signature page.

11. When this Bond has been furnished to comply with a statutory requirement in the location where the Contract was to be performed, any provision in this Bond conflicting with said statutory requirement shall be deemed deleted herefrom and provisions conforming to such statutory requirement shall be deemed incorporated herein. The intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

12. Definitions.

12.1 Balance of the Contract Price: The total amount payable by Owner to Contractor under the Contract after all proper adjustments have been made, including allowance to Contractor of any amounts received or to be received by Owner in settlement of insurance or other Claims for damages to which Contractor is entitled, reduced by all valid and proper payments made to or on behalf of Contractor under the Contract.

12.2 Contract: The agreement between Owner and Contractor identified on the signature page, including all Contract Documents and changes thereto.

12.3 Contractor Default: Failure of Contractor, which has neither been remedied nor waived, to perform or otherwise to comply with the terms of the Contract.

12.4 Owner Default: Failure of Owner, which has neither been remedied nor waived, to pay Contractor as required by the Contract or to perform and complete or comply with the other terms thereof.

FOR INFORMATION ONLY – Name, Address and Telephone
Surety Agency or Broker
Owner's Representative (engineer or other party)

PAYMENT BOND

Any singular reference to Contractor, Surety, Owner, or other party shall be considered plural where applicable.

CONTRACTOR (Name and Address):

SURETY (Name and Address of Principal Place of Business):

OWNER (Name and Address):

CONTRACT

Date:

Amount:

Description (Name and Location):

BOND

Bond Number:

Date (Not earlier than Contract Date):

Amount:

Modifications to this Bond Form:

Surety and Contractor, intending to be legally bound hereby, subject to the terms printed on the reverse side hereof, do each cause this Payment Bond to be duly executed on its behalf by its authorized officer, agent, or representative.

CONTRACTOR AS PRINCIPAL

Company:

Signature: _____ (Seal)

Name and Title:

(Space is provided below for signatures of additional parties, if required.)

CONTRACTOR AS PRINCIPAL

Company:

Signature: _____ (Seal)

Name and Title:

SURETY

(Seal)

Surety's Name and Corporate Seal

By:

Signature and Title

(Attach Power of Attorney)

Attest:

Signature and Title

SURETY

(Seal)

Surety's Name and Corporate Seal

By:

Signature and Title

(Attach Power of Attorney)

Attest:

Signature and Title:

EJCDC No. C-615 (2002 Edition)

Originally prepared through the joint efforts of the Surety Association of America, Engineers Joint Contract Documents Committee, the Associated General Contractors of America, the American Institute of Architects, the American Subcontractors Association, and the Associated Specialty Contractors.

1. Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to Owner to pay for labor, materials, and equipment furnished by Claimants for use in the performance of the Contract, which is incorporated herein by reference.

2. With respect to Owner, this obligation shall be null and void if Contractor:

2.1. Promptly makes payment, directly or indirectly, for all sums due Claimants, and

2.2. Defends, indemnifies, and holds harmless Owner from all claims, demands, liens, or suits alleging non-payment by Contractor by any person or entity who furnished labor, materials, or equipment for use in the performance of the Contract, provided Owner has promptly notified Contractor and Surety (at the addresses described in Paragraph 12) of any claims, demands, liens, or suits and tendered defense of such claims, demands, liens, or suits to Contractor and Surety, and provided there is no Owner Default.

3. With respect to Claimants, this obligation shall be null and void if Contractor promptly makes payment, directly or indirectly, for all sums due.

4. Surety shall have no obligation to Claimants under this Bond until:

4.1. Claimants who are employed by or have a direct contract with Contractor have given notice to Surety (at the addresses described in Paragraph 12) and sent a copy, or notice thereof, to Owner, stating that a claim is being made under this Bond and, with substantial accuracy, the amount of the claim.

4.2. Claimants who do not have a direct contract with Contractor:

1. Have furnished written notice to Contractor and sent a copy, or notice thereof, to Owner, within 90 days after having last performed labor or last furnished materials or equipment included in the claim stating, with substantial accuracy, the amount of the claim and the name of the party to whom the materials or equipment were furnished or supplied, or for whom the labor was done or performed; and

2. Have either received a rejection in whole or in part from Contractor, or not received within 30 days of furnishing the above notice any communication from Contractor by which Contractor had indicated the claim will be paid directly or indirectly; and

3. Not having been paid within the above 30 days, have sent a written notice to Surety and sent a copy, or notice thereof, to Owner, stating that a claim is being made under this Bond and enclosing a copy of the previous written notice furnished to Contractor.

5. If a notice by a Claimant required by Paragraph 4 is provided by Owner to Contractor or to Surety, which is sufficient compliance.

6. When a Claimant has satisfied the conditions of Paragraph 4, the Surety shall promptly and at Surety's expense take the following actions:

6.1. Send an answer to that Claimant, with a copy to Owner, within 45 days after receipt of the claim, stating the amounts that are undisputed and the basis for challenging any amounts that are disputed.

6.2. Pay or arrange for payment of any undisputed amounts.

7. Surety's total obligation shall not exceed the amount of this Bond, and the amount of this Bond shall be credited for any payments made in good faith by Surety.

8. Amounts owed by Owner to Contractor under the Contract shall be used for the performance of the Contract and to satisfy claims, if any, under any performance bond. By Contractor furnishing and Owner accepting this Bond, they agree that all funds earned by Contractor in the performance of the Contract are dedicated to satisfy obligations of Contractor and Surety under this Bond, subject to Owner's priority to use the funds for the completion of the Work.

9. Surety shall not be liable to Owner, Claimants, or others for obligations of Contractor that are unrelated to the Contract. Owner shall not be liable for payment of any costs or expenses of any Claimant under this Bond, and shall have under this Bond no obligations to make payments to, give notices on behalf of, or otherwise have obligations to Claimants under this Bond.

10. Surety hereby waives notice of any change, including changes of time, to the Contract or to related Subcontracts, purchase orders and other obligations.

11. No suit or action shall be commenced by a Claimant under this Bond other than in a court of competent jurisdiction in the location in which the Work or part of the Work is located or after the expiration of one year from the date (1) on which the Claimant gave the notice required by Paragraph 4.1 or Paragraph 4.2.3, or (2) on which the last labor or service was performed by anyone or the last materials or equipment were furnished by anyone under the Construction Contract, whichever of (1) or (2) first occurs. If the provisions of this paragraph are void or prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of the suit shall be applicable.

12. Notice to Surety, Owner, or Contractor shall be mailed or delivered to the addresses shown on the signature page. Actual receipt of notice by Surety, Owner, or Contractor, however accomplished, shall be sufficient compliance as of the date received at the address shown on the signature page.

13. When this Bond has been furnished to comply with a statutory requirement in the location where the Contract was to be performed, any provision in this Bond conflicting with said statutory requirement shall be deemed deleted herefrom and provisions conforming to such statutory requirement shall be deemed incorporated herein. The intent is that this Bond shall be construed as a statutory Bond and not as a common law bond.

14. Upon request of any person or entity appearing to be a potential beneficiary of this Bond, Contractor shall promptly furnish a copy of this Bond or shall permit a copy to be made.

15. DEFINITIONS

15.1. Claimant: An individual or entity having a direct contract with Contractor, or with a first-tier subcontractor of Contractor, to furnish labor, materials, or equipment for use in the performance of the Contract. The intent of this Bond shall be to include without limitation in the terms "labor, materials or equipment" that part of water, gas, power, light, heat, oil, gasoline, telephone service, or rental equipment used in the Contract, architectural and engineering services required for performance of the Work of Contractor and Contractor's Subcontractors, and all other items for which a mechanic's lien may be asserted in the jurisdiction where the labor, materials, or equipment were furnished.

15.2. Contract: The agreement between Owner and Contractor identified on the signature page, including all Contract Documents and changes thereto.

15.3. Owner Default: Failure of Owner, which has neither been remedied nor waived, to pay Contractor as required by the Contract or to perform and complete or comply with the other terms thereof.

**FOR INFORMATION ONLY – Name, Address and Telephone
Surety Agency or Broker:
Owner's Representative (engineer or other party):**

This document has important legal consequences; consultation with an attorney is encouraged with respect to its use or modification. This document should be adapted to the particular circumstances of the contemplated Project and the Controlling Law.

STANDARD GENERAL CONDITIONS OF THE CONSTRUCTION CONTRACT

Prepared by

ENGINEERS JOINT CONTRACT DOCUMENTS COMMITTEE

and

Issued and Published Jointly By



PROFESSIONAL ENGINEERS IN PRIVATE PRACTICE
a practice division of the
NATIONAL SOCIETY OF PROFESSIONAL ENGINEERS

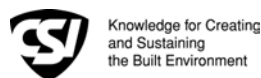
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This document has been approved and endorsed by



The Associated General Contractors of America



Construction Specifications Institute

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National Society of Professional Engineers
1420 King Street, Alexandria, VA 22314

American Council of Engineering Companies
1015 15th Street, N.W., Washington, DC 20005

American Society of Civil Engineers
1801 Alexander Bell Drive, Reston, VA 20191-4400

These General Conditions have been prepared for use with the Suggested Forms of Agreement Between Owner and Contractor Nos. C-520 or C-525 (2002 Editions). Their provisions are interrelated and a change in one may necessitate a change in the other. Comments concerning their usage are contained in the EJCDC Construction Documents, General and Instructions (No. C-001) (2002 Edition). For guidance in the preparation of Supplementary Conditions, see Guide to the Preparation of Supplementary Conditions (No. C-800) (2002 Edition).

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GENERAL CONDITIONS

ARTICLE 1 - DEFINITIONS AND TERMINOLOGY

1.01 *Defined Terms*

A. Wherever used in the Bidding Requirements or Contract Documents and printed with initial capital letters, the terms listed below will have the meanings indicated which are applicable to both the singular and plural thereof. In addition to terms specifically defined, terms with initial capital letters in the Contract Documents include references to identified articles and paragraphs, and the titles of other documents or forms.

1. *Addenda*--Written or graphic instruments issued prior to the opening of Bids which clarify, correct, or change the Bidding Requirements or the proposed Contract Documents.

2. *Agreement*--The written instrument which is evidence of the agreement between Owner and Contractor covering the Work.

3. *Application for Payment*--The form acceptable to Engineer which is to be used by Contractor during the course of the Work in requesting progress or final payments and which is to be accompanied by such supporting documentation as is required by the Contract Documents.

4. *Asbestos*--Any material that contains more than one percent asbestos and is friable or is releasing asbestos fibers into the air above current action levels established by the United States Occupational Safety and Health Administration.

5. *Bid*--The offer or proposal of a Bidder submitted on the prescribed form setting forth the prices for the Work to be performed.

6. *Bidder*--The individual or entity who submits a Bid directly to Owner.

7. *Bidding Documents*--The Bidding Requirements and the proposed Contract Documents (including all Addenda).

8. *Bidding Requirements*--The Advertisement or Invitation to Bid, Instructions to Bidders, bid security of acceptable form, if any, and the Bid Form with any supplements.

9. *Change Order*--A document recommended by Engineer which is signed by Contractor and Owner and authorizes an addition, deletion, or revision in the Work or an adjustment in the Contract Price or the Contract Times, issued on or after the Effective Date of the Agreement.

10. *Claim*--A demand or assertion by Owner or Contractor seeking an adjustment of Contract Price or Contract Times, or both, or other relief with respect to the terms of the Contract. A demand for money or services by a third party is not a Claim.

11. *Contract*--The entire and integrated written agreement between the Owner and Contractor concerning the Work. The Contract supersedes prior negotiations, representations, or agreements, whether written or oral.

12. *Contract Documents*-- Those items so designated in the Agreement. Only printed or hard copies of the items listed in the Agreement are Contract Documents. Approved Shop Drawings, other Contractor's submittals, and the reports and drawings of subsurface and physical conditions are not Contract Documents.

13. *Contract Price*--The moneys payable by Owner to Contractor for completion of the Work in accordance with the Contract Documents as stated in the Agreement (subject to the provisions of Paragraph 11.03 in the case of Unit Price Work).

14. *Contract Times*--The number of days or the dates stated in the Agreement to: (i) achieve Milestones, if any, (ii) achieve Substantial Completion; and (iii) complete the Work so that it is ready for final payment as evidenced by Engineer's written recommendation of final payment.

15. *Contractor*--The individual or entity with whom Owner has entered into the Agreement.

16. *Cost of the Work*--See Paragraph 11.01.A for definition.

17. *Drawings*--That part of the Contract Documents prepared or approved by Engineer which graphically shows the scope, extent, and character of the Work to be performed by Contractor. Shop Drawings and other Contractor submittals are not Drawings as so defined.

18. *Effective Date of the Agreement*--The date indicated in the Agreement on which it becomes effective, but if no such date is indicated, it means the date on which the Agreement is signed and delivered by the last of the two parties to sign and deliver.

19. *Engineer*--The individual or entity named as such in the Agreement.

20. *Field Order*--A written order issued by Engineer which requires minor changes in the Work but which does not involve a change in the Contract Price or the Contract Times.

21. *General Requirements*--Sections of Division 1 of the Specifications. The General Requirements pertain to all sections of the Specifications.

22. *Hazardous Environmental Condition*--The presence at the Site of Asbestos, PCBs, Petroleum, Hazardous Waste, or Radioactive Material in such quantities or circumstances that may present a substantial danger to persons or property exposed thereto in connection with the Work.

23. *Hazardous Waste*--The term Hazardous Waste shall have the meaning provided in Section 1004 of the Solid Waste Disposal Act (42 USC Section 6903) as amended from time to time.

24. *Laws and Regulations; Laws or Regulations*--Any and all applicable laws, rules, regulations, ordinances, codes, and orders of any and all governmental bodies, agencies, authorities, and courts having jurisdiction.

25. *Liens*--Charges, security interests, or encumbrances upon Project funds, real property, or personal property.

26. *Milestone*--A principal event specified in the Contract Documents relating to an intermediate completion date or time prior to Substantial Completion of all the Work.

27. *Notice of Award*--The written notice by Owner to the Successful Bidder stating that upon timely compliance by the Successful Bidder with the conditions precedent listed therein, Owner will sign and deliver the Agreement.

28. *Notice to Proceed*--A written notice given by Owner to Contractor fixing the date on which the Contract Times will commence to run and on which Contractor shall start to perform the Work under the Contract Documents.

29. *Owner*--The individual or entity with whom Contractor has entered into the Agreement and for whom the Work is to be performed.

30. *PCBs*--Polychlorinated biphenyls.

31. *Petroleum*--Petroleum, including crude oil or any fraction thereof which is liquid at standard conditions of temperature and pressure (60 degrees Fahrenheit and 14.7 pounds per square inch absolute), such as oil, petroleum, fuel oil, oil sludge, oil refuse, gasoline, kerosene, and oil mixed with other non-Hazardous Waste and crude oils.

32. *Progress Schedule*--A schedule, prepared and maintained by Contractor, describing the sequence and duration of the activities comprising the Contractor's plan to accomplish the Work within the Contract Times.

33. *Project*--The total construction of which the Work to be performed under the Contract Documents may be the whole, or a part.

34. *Project Manual*--The bound documentary information prepared for bidding and constructing the Work. A listing of the contents of the Project Manual, which may be bound in one or more volumes, is contained in the table(s) of contents.

35. *Radioactive Material*--Source, special nuclear, or byproduct material as defined by the Atomic Energy Act of 1954 (42 USC Section 2011 et seq.) as amended from time to time.

36. *Related Entity* -- An officer, director, partner, employee, agent, consultant, or subcontractor.

37. *Resident Project Representative*--The authorized representative of Engineer who may be assigned to the Site or any part thereof.

38. *Samples*--Physical examples of materials, equipment, or workmanship that are representative of some portion of the Work and which establish the standards by which such portion of the Work will be judged.

39. *Schedule of Submittals*--A schedule, prepared and maintained by Contractor, of required submittals and the time requirements to support scheduled performance of related construction activities.

40. *Schedule of Values*--A schedule, prepared and maintained by Contractor, allocating portions of the Contract Price to various portions of the Work and used as the basis for reviewing Contractor's Applications for Payment.

41. *Shop Drawings*--All drawings, diagrams, illustrations, schedules, and other data or information which are specifically prepared or assembled by or for Contractor and submitted by Contractor to illustrate some portion of the Work.

42. *Site*--Lands or areas indicated in the Contract Documents as being furnished by Owner upon which the Work is to be performed, including rights-of-way and easements for access thereto, and such other lands furnished by Owner which are designated for the use of Contractor.

43. *Specifications*--That part of the Contract Documents consisting of written requirements for materials, equipment, systems, standards and workmanship as applied to the Work, and certain

administrative requirements and procedural matters applicable thereto.

44. *Subcontractor*--An individual or entity having a direct contract with Contractor or with any other Subcontractor for the performance of a part of the Work at the Site.

45. *Substantial Completion*--The time at which the Work (or a specified part thereof) has progressed to the point where, in the opinion of Engineer, the Work (or a specified part thereof) is sufficiently complete, in accordance with the Contract Documents, so that the Work (or a specified part thereof) can be utilized for the purposes for which it is intended. The terms "substantially complete" and "substantially completed" as applied to all or part of the Work refer to Substantial Completion thereof.

46. *Successful Bidder*--The Bidder submitting a responsive Bid to whom Owner makes an award.

47. *Supplementary Conditions*--That part of the Contract Documents which amends or supplements these General Conditions.

48. *Supplier*--A manufacturer, fabricator, supplier, distributor, materialman, or vendor having a direct contract with Contractor or with any Subcontractor to furnish materials or equipment to be incorporated in the Work by Contractor or any Subcontractor.

49. *Underground Facilities*--All underground pipelines, conduits, ducts, cables, wires, manholes, vaults, tanks, tunnels, or other such facilities or attachments, and any encasements containing such facilities, including those that convey electricity, gases, steam, liquid petroleum products, telephone or other communications, cable television, water, wastewater, storm water, other liquids or chemicals, or traffic or other control systems.

50. *Unit Price Work*--Work to be paid for on the basis of unit prices.

51. *Work*--The entire construction or the various separately identifiable parts thereof required to be provided under the Contract Documents. Work includes and is the result of performing or providing all labor, services, and documentation necessary to produce such construction, and furnishing, installing, and incorporating all materials and equipment into such construction, all as required by the Contract Documents.

52. *Work Change Directive*--A written statement to Contractor issued on or after the Effective Date of the Agreement and signed by Owner and recommended by Engineer ordering an addition, deletion, or revision in the Work, or responding to differing or unforeseen subsurface or physical conditions under which the Work is to be performed or to emergencies. A Work Change Directive will not change the Contract Price or the Contract Times

but is evidence that the parties expect that the change ordered or documented by a Work Change Directive will be incorporated in a subsequently issued Change Order following negotiations by the parties as to its effect, if any, on the Contract Price or Contract Times.

1.02 Terminology

A. The following words or terms are not defined but, when used in the Bidding Requirements or Contract Documents, have the following meaning.

B. Intent of Certain Terms or Adjectives

1. The Contract Documents include the terms "as allowed," "as approved," "as ordered," "as directed" or terms of like effect or import to authorize an exercise of professional judgment by Engineer. In addition, the adjectives "reasonable," "suitable," "acceptable," "proper," "satisfactory," or adjectives of like effect or import are used to describe an action or determination of Engineer as to the Work. It is intended that such exercise of professional judgment, action or determination will be solely to evaluate, in general, the Work for compliance with the requirements of and information in the Contract Documents and conformance with the design concept of the completed Project as a functioning whole as shown or indicated in the Contract Documents (unless there is a specific statement indicating otherwise). The use of any such term or adjective is not intended to and shall not be effective to assign to Engineer any duty or authority to supervise or direct the performance of the Work or any duty or authority to undertake responsibility contrary to the provisions of Paragraph 9.09 or any other provision of the Contract Documents.

C. Day

1. The word "day" means a calendar day of 24 hours measured from midnight to the next midnight.

D. Defective

1. The word "defective," when modifying the word "Work," refers to Work that is unsatisfactory, faulty, or deficient in that it:

- a. does not conform to the Contract Documents, or
- b. does not meet the requirements of any applicable inspection, reference standard, test, or approval referred to in the Contract Documents, or
- c. has been damaged prior to Engineer's - recommendation of final payment (unless responsibility for the protection thereof has been assumed by Owner at Substantial Completion in accordance with Paragraph 14.04 or 14.05).

E. Furnish, Install, Perform, Provide

1. The word “furnish,” when used in connection with services, materials, or equipment, shall mean to supply and deliver said services, materials, or equipment to the Site (or some other specified location) ready for use or installation and in usable or operable condition.

2. The word “install,” when used in connection with services, materials, or equipment, shall mean to put into use or place in final position said services, materials, or equipment complete and ready for intended use.

3. The words “perform” or “provide,” when used in connection with services, materials, or equipment, shall mean to furnish and install said services, materials, or equipment complete and ready for intended use.

4. When “furnish,” “install,” “perform,” or “provide” is not used in connection with services, materials, or equipment in a context clearly requiring an obligation of Contractor, “provide” is implied.

F. Unless stated otherwise in the Contract Documents, words or phrases which have a well-known technical or construction industry or trade meaning are used in the Contract Documents in accordance with such recognized meaning.

ARTICLE 2 - PRELIMINARY MATTERS

2.01 *Delivery of Bonds and Evidence of Insurance*

A. When Contractor delivers the executed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner such bonds as Contractor may be required to furnish.

B. *Evidence of Insurance:* Before any Work at the Site is started, Contractor and Owner shall each deliver to the other, with copies to each additional insured identified in the Supplementary Conditions, certificates of insurance (and other evidence of insurance which either of them or any additional insured may reasonably request) which Contractor and Owner respectively are required to purchase and maintain in accordance with Article 5.

2.02 *Copies of Documents*

A. Owner shall furnish to Contractor up to ten printed or hard copies of the Drawings and Project Manual. Additional copies will be furnished upon request at the cost of reproduction.

2.03 *Commencement of Contract Times; Notice to Proceed*

A. The Contract Times will commence to run on the thirtieth day after the Effective Date of the Agreement

or, if a Notice to Proceed is given, on the day indicated in the Notice to Proceed. A Notice to Proceed may be given at any time within 30 days after the Effective Date of the Agreement. In no event will the Contract Times commence to run later than the sixtieth day after the day of Bid opening or the thirtieth day after the Effective Date of the Agreement, whichever date is earlier.

2.04 *Starting the Work*

A. Contractor shall start to perform the Work on the date when the Contract Times commence to run. No Work shall be done at the Site prior to the date on which the Contract Times commence to run.

2.05 *Before Starting Construction*

A. *Preliminary Schedules:* Within 10 days after the Effective Date of the Agreement (unless otherwise specified in the General Requirements), Contractor shall submit to Engineer for timely review:

1. a preliminary Progress Schedule; indicating the times (numbers of days or dates) for starting and completing the various stages of the Work, including any Milestones specified in the Contract Documents;

2. a preliminary Schedule of Submittals; and

3. a preliminary Schedule of Values for all of the Work which includes quantities and prices of items which when added together equal the Contract Price and subdivides the Work into component parts in sufficient detail to serve as the basis for progress payments during performance of the Work. Such prices will include an appropriate amount of overhead and profit applicable to each item of Work.

2.06 *Preconstruction Conference*

A. Before any Work at the Site is started, a conference attended by Owner, Contractor, Engineer, and others as appropriate will be held to establish a working understanding among the parties as to the Work and to discuss the schedules referred to in Paragraph 2.05.A, procedures for handling Shop Drawings and other submittals, processing Applications for Payment, and maintaining required records.

2.07 *Initial Acceptance of Schedules*

A. At least 10 days before submission of the first Application for Payment a conference attended by Contractor, Engineer, and others as appropriate will be held to review for acceptability to Engineer as provided below the schedules submitted in accordance with Paragraph 2.05.A. Contractor shall have an additional 10 days to make corrections and adjustments and to complete and resubmit the schedules. No progress payment shall be made to Contractor until acceptable schedules are submitted to Engineer.

1. The Progress Schedule will be acceptable to Engineer if it provides an orderly progression of the Work to completion within the Contract Times. Such acceptance will not impose on Engineer responsibility for the Progress Schedule, for sequencing, scheduling, or progress of the Work nor interfere with or relieve Contractor from Contractor's full responsibility therefor.

2. Contractor's Schedule of Submittals will be acceptable to Engineer if it provides a workable arrangement for reviewing and processing the required submittals.

3. Contractor's Schedule of Values will be acceptable to Engineer as to form and substance if it provides a reasonable allocation of the Contract Price to component parts of the Work.

ARTICLE 3 - CONTRACT DOCUMENTS: INTENT, AMENDING, REUSE

3.01 *Intent*

A. The Contract Documents are complementary; what is required by one is as binding as if required by all.

B. It is the intent of the Contract Documents to describe a functionally complete Project (or part thereof) to be constructed in accordance with the Contract Documents. Any labor, documentation, services, materials, or equipment that may reasonably be inferred from the Contract Documents or from prevailing custom or trade usage as being required to produce the intended result will be provided whether or not specifically called for at no additional cost to Owner.

C. Clarifications and interpretations of the Contract Documents shall be issued by Engineer as provided in Article 9.

3.02 *Reference Standards*

A. Standards, Specifications, Codes, Laws, and Regulations

1. Reference to standards, specifications, manuals, or codes of any technical society, organization, or association, or to Laws or Regulations, whether such reference be specific or by implication, shall mean the standard, specification, manual, code, or Laws or Regulations in effect at the time of opening of Bids (or on the Effective Date of the Agreement if there were no Bids), except as may be otherwise specifically stated in the Contract Documents.

2. No provision of any such standard, specification, manual or code, or any instruction of a Supplier shall be effective to change the duties or

responsibilities of Owner, Contractor, or Engineer, or any of their subcontractors, consultants, agents, or employees from those set forth in the Contract Documents. No such provision or instruction shall be effective to assign to Owner, or Engineer, or any of, their Related Entities, any duty or authority to supervise or direct the performance of the Work or any duty or authority to undertake responsibility inconsistent with the provisions of the Contract Documents.

3.03 *Reporting and Resolving Discrepancies*

A. Reporting Discrepancies

1. *Contractor's Review of Contract Documents Before Starting Work:* Before undertaking each part of the Work, Contractor shall carefully study and compare the Contract Documents and check and verify pertinent figures therein and all applicable field measurements. Contractor shall promptly report in writing to Engineer any conflict, error, ambiguity, or discrepancy which Contractor may discover and shall obtain a written interpretation or clarification from Engineer before proceeding with any Work affected thereby.

2. *Contractor's Review of Contract Documents During Performance of Work:* If, during the performance of the Work, Contractor discovers any conflict, error, ambiguity, or discrepancy within the Contract Documents or between the Contract Documents and any provision of any Law or Regulation applicable to the performance of the Work or of any standard, specification, manual or code, or of any instruction of any Supplier, Contractor shall promptly report it to Engineer in writing. Contractor shall not proceed with the Work affected thereby (except in an emergency as required by Paragraph 6.16.A) until an amendment or supplement to the Contract Documents has been issued by one of the methods indicated in Paragraph 3.04.

3. Contractor shall not be liable to Owner or Engineer for failure to report any conflict, error, ambiguity, or discrepancy in the Contract Documents unless Contractor knew or reasonably should have known thereof.

B. Resolving Discrepancies

1. Except as may be otherwise specifically stated in the Contract Documents, the provisions of the Contract Documents shall take precedence in resolving any conflict, error, ambiguity, or discrepancy between the provisions of the Contract Documents and:

a. the provisions of any standard, specification, manual, code, or instruction (whether or not specifically incorporated by reference in the Contract Documents); or

b. the provisions of any Laws or Regulations applicable to the performance of the Work

(unless such an interpretation of the provisions of the Contract Documents would result in violation of such Law or Regulation).

3.04 *Amending and Supplementing Contract Documents*

A. The Contract Documents may be amended to provide for additions, deletions, and revisions in the Work or to modify the terms and conditions thereof by either a Change Order or a Work Change Directive.

B. The requirements of the Contract Documents may be supplemented, and minor variations and deviations in the Work may be authorized, by one or more of the following ways:

1. A Field Order;

2. Engineer's approval of a Shop Drawing or Sample; (Subject to the provisions of Paragraph 6.17.D.3); or

3. Engineer's written interpretation or clarification.

3.05 *Reuse of Documents*

A. Contractor and any Subcontractor or Supplier or other individual or entity performing or furnishing all of the Work under a direct or indirect contract with Contractor, shall not:

1. have or acquire any title to or ownership rights in any of the Drawings, Specifications, or other documents (or copies of any thereof) prepared by or bearing the seal of Engineer or Engineer's consultants, including electronic media editions; or

2. reuse any of such Drawings, Specifications, other documents, or copies thereof on extensions of the Project or any other project without written consent of Owner and Engineer and specific written verification or adaption by Engineer.

B. The prohibition of this Paragraph 3.05 will survive final payment, or termination of the Contract. Nothing herein shall preclude Contractor from retaining copies of the Contract Documents for record purposes.

3.06 *Electronic Data*

A. Copies of data furnished by Owner or Engineer to Contractor or Contractor to Owner or Engineer that may be relied upon are limited to the printed copies (also known as hard copies). Files in electronic media format of text, data, graphics, or other types are furnished only for the convenience of the receiving party. Any conclusion or information obtained or derived from such electronic files will be at the user's

sole risk. If there is a discrepancy between the electronic files and the hard copies, the hard copies govern.

B. Because data stored in electronic media format can deteriorate or be modified inadvertently or otherwise without authorization of the data's creator, the party receiving electronic files agrees that it will perform acceptance tests or procedures within 60 days, after which the receiving party shall be deemed to have accepted the data thus transferred. Any errors detected within the 60-day acceptance period will be corrected by the transferring party..

C. When transferring documents in electronic media format, the transferring party makes no representations as to long term compatibility, usability, or readability of documents resulting from the use of software application packages, operating systems, or computer hardware differing from those used by the data's creator.

ARTICLE 4 - AVAILABILITY OF LANDS; SUBSURFACE AND PHYSICAL CONDITIONS; HAZARDOUS ENVIRONMENTAL CONDITIONS; REFERENCE POINTS

4.01 *Availability of Lands*

A. Owner shall furnish the Site. Owner shall notify Contractor of any encumbrances or restrictions not of general application but specifically related to use of the Site with which Contractor must comply in performing the Work. Owner will obtain in a timely manner and pay for easements for permanent structures or permanent changes in existing facilities. If Contractor and Owner are unable to agree on entitlement to or on the amount or extent, if any, of any adjustment in the Contract Price or Contract Times, or both, as a result of any delay in Owner's furnishing the Site or a part thereof, Contractor may make a Claim therefor as provided in Paragraph 10.05.

B. Upon reasonable written request, Owner shall furnish Contractor with a current statement of record legal title and legal description of the lands upon which the Work is to be performed and Owner's interest therein as necessary for giving notice of or filing a mechanic's or construction lien against such lands in accordance with applicable Laws and Regulations.

C. Contractor shall provide for all additional lands and access thereto that may be required for temporary construction facilities or storage of materials and equipment.

4.02 *Subsurface and Physical Conditions*

A. *Reports and Drawings:* The Supplementary Conditions identify:

1. those reports of explorations and tests of subsurface conditions at or contiguous to the Site that Engineer has used in preparing the Contract Documents; and

2. those drawings of physical conditions in or relating to existing surface or subsurface structures at or contiguous to the Site (except Underground Facilities) that Engineer has used in preparing the Contract Documents.

B. *Limited Reliance by Contractor on Technical Data Authorized:* Contractor may rely upon the general accuracy of the "technical data" contained in such reports and drawings, but such reports and drawings are not Contract Documents. Such "technical data" is identified in the Supplementary Conditions. Except for such reliance on such "technical data," Contractor may not rely upon or make any claim against Owner or Engineer, or any of their Related Entities with respect to:

1. the completeness of such reports and drawings for Contractor's purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences, and procedures of construction to be employed by Contractor, and safety precautions and programs incident thereto; or

2. other data, interpretations, opinions, and information contained in such reports or shown or indicated in such drawings; or

3. any Contractor interpretation of or conclusion drawn from any "technical data" or any such other data, interpretations, opinions, or information.

4.03 *Differing Subsurface or Physical Conditions*

A. *Notice:* If Contractor believes that any subsurface or physical condition at or contiguous to the Site that is uncovered or revealed either:

1. is of such a nature as to establish that any "technical data" on which Contractor is entitled to rely as provided in Paragraph 4.02 is materially inaccurate; or

2. is of such a nature as to require a change in the Contract Documents; or

3. differs materially from that shown or indicated in the Contract Documents; or

4. is of an unusual nature, and differs materially from conditions ordinarily encountered and generally recognized as inherent in work of the character provided for in the Contract Documents;

then Contractor shall, promptly after becoming aware thereof and before further disturbing the subsurface or physical conditions or performing any Work in connection therewith (except in an emergency as required by Paragraph 6.16.A), notify Owner and Engineer in writing about such condition. Contractor shall not further disturb such condition or perform any Work in connection therewith (except as aforesaid) until receipt of written order to do so.

B. *Engineer's Review:* After receipt of written notice as required by Paragraph 4.03.A, Engineer will promptly review the pertinent condition, determine the necessity of Owner's obtaining additional exploration or tests with respect thereto, and advise Owner in writing (with a copy to Contractor) of Engineer's findings and conclusions.

C. *Possible Price and Times Adjustments*

1. The Contract Price or the Contract Times, or both, will be equitably adjusted to the extent that the existence of such differing subsurface or physical condition causes an increase or decrease in Contractor's cost of, or time required for, performance of the Work; subject, however, to the following:

a. such condition must meet any one or more of the categories described in Paragraph 4.03.A; and

b. with respect to Work that is paid for on a Unit Price Basis, any adjustment in Contract Price will be subject to the provisions of Paragraphs 9.07 and 11.03.

2. Contractor shall not be entitled to any adjustment in the Contract Price or Contract Times if:

a. Contractor knew of the existence of such conditions at the time Contractor made a final commitment to Owner with respect to Contract Price and Contract Times by the submission of a Bid or becoming bound under a negotiated contract; or

b. the existence of such condition could reasonably have been discovered or revealed as a result of any examination, investigation, exploration, test, or study of the Site and contiguous areas required by the Bidding Requirements or Contract Documents to be conducted by or for Contractor prior to Contractor's making such final commitment; or

c. Contractor failed to give the written notice as required by Paragraph 4.03.A.

3. If Owner and Contractor are unable to agree on entitlement to or on the amount or extent, if any, of any adjustment in the Contract Price or Contract Times, or both, a Claim may be made therefor as provided in Paragraph 10.05. However, Owner and Engineer, and any of their Related Entities shall not be liable to Contractor for any claims, costs, losses, or damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) sustained by Contractor on or in connection with any other project or anticipated project.

4.04 *Underground Facilities*

A. Shown or Indicated: The information and data shown or indicated in the Contract Documents with respect to existing Underground Facilities at or contiguous to the Site is based on information and data furnished to Owner or Engineer by the owners of such Underground Facilities, including Owner, or by others. Unless it is otherwise expressly provided in the Supplementary Conditions:

1. Owner and Engineer shall not be responsible for the accuracy or completeness of any such information or data; and

2. the cost of all of the following will be included in the Contract Price, and Contractor shall have full responsibility for:

- a. reviewing and checking all such information and data,
- b. locating all Underground Facilities shown or indicated in the Contract Documents,
- c. coordination of the Work with the owners of such Underground Facilities, including Owner, during construction, and
- d. the safety and protection of all such Underground Facilities and repairing any damage thereto resulting from the Work.

B. Not Shown or Indicated

1. If an Underground Facility is uncovered or revealed at or contiguous to the Site which was not shown or indicated, or not shown or indicated with reasonable accuracy in the Contract Documents, Contractor shall, promptly after becoming aware thereof and before further disturbing conditions affected thereby or performing any Work in connection therewith (except in an emergency as required by Paragraph 6.16.A), identify the owner of such Underground Facility and give written notice to that owner and to Owner and Engineer. Engineer will

promptly review the Underground Facility and determine the extent, if any, to which a change is required in the Contract Documents to reflect and document the consequences of the existence or location of the Underground Facility. During such time, Contractor shall be responsible for the safety and protection of such Underground Facility.

2. If Engineer concludes that a change in the Contract Documents is required, a Work Change Directive or a Change Order will be issued to reflect and document such consequences. An equitable adjustment shall be made in the Contract Price or Contract Times, or both, to the extent that they are attributable to the existence or location of any Underground Facility that was not shown or indicated or not shown or indicated with reasonable accuracy in the Contract Documents and that Contractor did not know of and could not reasonably have been expected to be aware of or to have anticipated. If Owner and Contractor are unable to agree on entitlement to or on the amount or extent, if any, of any such adjustment in Contract Price or Contract Times, Owner or Contractor may make a Claim therefor as provided in Paragraph 10.05.

4.05 *Reference Points*

A. Owner shall provide engineering surveys to establish reference points for construction which in Engineer's judgment are necessary to enable Contractor to proceed with the Work. Contractor shall be responsible for laying out the Work, shall protect and preserve the established reference points and property monuments, and shall make no changes or relocations without the prior written approval of Owner. Contractor shall report to Engineer whenever any reference point or property monument is lost or destroyed or requires relocation because of necessary changes in grades or locations, and shall be responsible for the accurate replacement or relocation of such reference points or property monuments by professionally qualified personnel.

4.06 *Hazardous Environmental Condition at Site*

A. Reports and Drawings: Reference is made to the Supplementary Conditions for the identification of those reports and drawings relating to a Hazardous Environmental Condition identified at the Site, if any, that have been utilized by the Engineer in the preparation of the Contract Documents.

B. Limited Reliance by Contractor on Technical Data Authorized: Contractor may rely upon the general accuracy of the "technical data" contained in such reports and drawings, but such reports and drawings are not Contract Documents. Such "technical data" is identified in the Supplementary Conditions. Except for such reliance on such "technical data," Contractor may not rely upon or make any claim against Owner or Engineer, or any of their Related Entities with respect to:

1. the completeness of such reports and drawings for Contractor's purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences and procedures of construction to be employed by Contractor and safety precautions and programs incident thereto; or

2. other data, interpretations, opinions and information contained in such reports or shown or indicated in such drawings; or

3. any Contractor interpretation of or conclusion drawn from any "technical data" or any such other data, interpretations, opinions or information.

C. Contractor shall not be responsible for any Hazardous Environmental Condition uncovered or revealed at the Site which was not shown or indicated in Drawings or Specifications or identified in the Contract Documents to be within the scope of the Work. Contractor shall be responsible for a Hazardous Environmental Condition created with any materials brought to the Site by Contractor, Subcontractors, Suppliers, or anyone else for whom Contractor is responsible.

D. If Contractor encounters a Hazardous Environmental Condition or if Contractor or anyone for whom Contractor is responsible creates a Hazardous Environmental Condition, Contractor shall immediately: (i) secure or otherwise isolate such condition; (ii) stop all Work in connection with such condition and in any area affected thereby (except in an emergency as required by Paragraph 6.16.A); and (iii) notify Owner and Engineer (and promptly thereafter confirm such notice in writing). Owner shall promptly consult with Engineer concerning the necessity for Owner to retain a qualified expert to evaluate such condition or take corrective action, if any.

E. Contractor shall not be required to resume Work in connection with such condition or in any affected area until after Owner has obtained any required permits related thereto and delivered to Contractor written notice: (i) specifying that such condition and any affected area is or has been rendered safe for the resumption of Work; or (ii) specifying any special conditions under which such Work may be resumed safely. If Owner and Contractor cannot agree as to entitlement to or on the amount or extent, if any, of any adjustment in Contract Price or Contract Times, or both, as a result of such Work stoppage or such special conditions under which Work is agreed to be resumed by Contractor, either party may make a Claim therefor as provided in Paragraph 10.05.

F. If after receipt of such written notice Contractor does not agree to resume such Work based on a reasonable belief it is unsafe, or does not agree to resume such Work under such special conditions, then Owner may order the portion of the Work that is in the area affected by such condition to be deleted from the Work. If Owner and Contractor cannot agree as to

entitlement to or on the amount or extent, if any, of an adjustment in Contract Price or Contract Times as a result of deleting such portion of the Work, then either party may make a Claim therefor as provided in Paragraph 10.05. Owner may have such deleted portion of the Work performed by Owner's own forces or others in accordance with Article 7.

G. To the fullest extent permitted by Laws and Regulations, Owner shall indemnify and hold harmless Contractor, Subcontractors, and Engineer, and the officers, directors, partners, employees, agents, consultants, and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to a Hazardous Environmental Condition, provided that such Hazardous Environmental Condition: (i) was not shown or indicated in the Drawings or Specifications or identified in the Contract Documents to be included within the scope of the Work, and (ii) was not created by Contractor or by anyone for whom Contractor is responsible. Nothing in this Paragraph 4.06. G shall obligate Owner to indemnify any individual or entity from and against the consequences of that individual's or entity's own negligence.

H. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, partners, employees, agents, consultants, and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to a Hazardous Environmental Condition created by Contractor or by anyone for whom Contractor is responsible. Nothing in this Paragraph 4.06.H shall obligate Contractor to indemnify any individual or entity from and against the consequences of that individual's or entity's own negligence.

I. The provisions of Paragraphs 4.02, 4.03, and 4.04 do not apply to a Hazardous Environmental Condition uncovered or revealed at the Site.

ARTICLE 5 - BONDS AND INSURANCE

5.01 *Performance, Payment, and Other Bonds*

A. Contractor shall furnish performance and payment bonds, each in an amount at least equal to the Contract Price as security for the faithful performance and payment of all of Contractor's obligations under the Contract Documents. These bonds shall remain in effect until one year after the date when final payment becomes due or until completion of the correction period specified

in Paragraph 13.07, whichever is later, except as provided otherwise by Laws or Regulations or by the Contract Documents. Contractor shall also furnish such other bonds as are required by the Contract Documents.

B. All bonds shall be in the form prescribed by the Contract Documents except as provided otherwise by Laws or Regulations, and shall be executed by such sureties as are named in the current list of "Companies Holding Certificates of Authority as Acceptable Sureties on Federal Bonds and as Acceptable Reinsuring Companies" as published in Circular 570 (amended) by the Financial Management Service, Surety Bond Branch, U.S. Department of the Treasury. All bonds signed by an agent must be accompanied by a certified copy of the agent's authority to act.

C. If the surety on any bond furnished by Contractor is declared bankrupt or becomes insolvent or its right to do business is terminated in any state where any part of the Project is located or it ceases to meet the requirements of Paragraph 5.01.B, Contractor shall promptly notify Owner and Engineer and shall, within 20 days after the event giving rise to such notification, provide another bond and surety, both of which shall comply with the requirements of Paragraphs 5.01.B and 5.02.

5.02 *Licensed Sureties and Insurers*

A. All bonds and insurance required by the Contract Documents to be purchased and maintained by Owner or Contractor shall be obtained from surety or insurance companies that are duly licensed or authorized in the jurisdiction in which the Project is located to issue bonds or insurance policies for the limits and coverages so required. Such surety and insurance companies shall also meet such additional requirements and qualifications as may be provided in the Supplementary Conditions.

5.03 *Certificates of Insurance*

A. Contractor shall deliver to Owner, with copies to each additional insured identified in the Supplementary Conditions, certificates of insurance (and other evidence of insurance requested by Owner or any other additional insured) which Contractor is required to purchase and maintain.

B. Owner shall deliver to Contractor, with copies to each additional insured identified in the Supplementary Conditions, certificates of insurance (and other evidence of insurance requested by Contractor or any other additional insured) which Owner is required to purchase and maintain.

5.04 *Contractor's Liability Insurance*

A. Contractor shall purchase and maintain such liability and other insurance as is appropriate for the Work being performed and as will provide protection

from claims set forth below which may arise out of or result from Contractor's performance of the Work and Contractor's other obligations under the Contract Documents, whether it is to be performed by Contractor, any Subcontractor or Supplier, or by anyone directly or indirectly employed by any of them to perform any of the Work, or by anyone for whose acts any of them may be liable:

1. claims under workers' compensation, disability benefits, and other similar employee benefit acts;

2. claims for damages because of bodily injury, occupational sickness or disease, or death of Contractor's employees;

3. claims for damages because of bodily injury, sickness or disease, or death of any person other than Contractor's employees;

4. claims for damages insured by reasonably available personal injury liability coverage which are sustained:

a. by any person as a result of an offense directly or indirectly related to the employment of such person by Contractor, or

b. by any other person for any other reason;

5. claims for damages, other than to the Work itself, because of injury to or destruction of tangible property wherever located, including loss of use resulting therefrom; and

6. claims for damages because of bodily injury or death of any person or property damage arising out of the ownership, maintenance or use of any motor vehicle.

B. The policies of insurance required by this Paragraph 5.04 shall:

1. with respect to insurance required by Paragraphs 5.04.A.3 through 5.04.A.6 inclusive, include as additional insured (subject to any customary exclusion regarding professional liability) Owner and Engineer, and any other individuals or entities identified in the Supplementary Conditions, all of whom shall be listed as additional insureds, and include coverage for the respective officers, directors, partners, employees, agents, consultants and subcontractors of each and any of all such additional insureds, and the insurance afforded to these additional insureds shall provide primary coverage for all claims covered thereby;

2. include at least the specific coverages and be written for not less than the limits of liability provided in the Supplementary Conditions or required by Laws or Regulations, whichever is greater;

3. include completed operations insurance;

4. include contractual liability insurance covering Contractor's indemnity obligations under Paragraphs 6.11 and 6.20;

5. contain a provision or endorsement that the coverage afforded will not be canceled, materially changed or renewal refused until at least 30 days prior written notice has been given to Owner and Contractor and to each other additional insured identified in the Supplementary Conditions to whom a certificate of insurance has been issued (and the certificates of insurance furnished by the Contractor pursuant to Paragraph 5.03 will so provide);

6. remain in effect at least until final payment and at all times thereafter when Contractor may be correcting, removing, or replacing defective Work in accordance with Paragraph 13.07; and

7. with respect to completed operations insurance, and any insurance coverage written on a claims-made basis, remain in effect for at least two years after final payment.

a. Contractor shall furnish Owner and each other additional insured identified in the Supplementary Conditions, to whom a certificate of insurance has been issued, evidence satisfactory to Owner and any such additional insured of continuation of such insurance at final payment and one year thereafter.

5.05 *Owner's Liability Insurance*

A. In addition to the insurance required to be provided by Contractor under Paragraph 5.04, Owner, at Owner's option, may purchase and maintain at Owner's expense Owner's own liability insurance as will protect Owner against claims which may arise from operations under the Contract Documents.

5.06 *Property Insurance*

A. Unless otherwise provided in the Supplementary Conditions, Owner shall purchase and maintain property insurance upon the Work at the Site in the amount of the full replacement cost thereof (subject to such deductible amounts as may be provided in the Supplementary Conditions or required by Laws and Regulations). This insurance shall:

1. include the interests of Owner, Contractor, Subcontractors, and Engineer, and any other individuals or entities identified in the Supplementary Conditions, and the officers, directors, partners, employees, agents, consultants and subcontractors of each and any of them, each of whom is deemed to have an insurable interest and shall be listed as an insured or additional insured;

2. be written on a Builder's Risk "all-risk" or open peril or special causes of loss policy form that shall at least include insurance for physical loss or damage to the Work, temporary buildings, false work, and materials and equipment in transit, and shall insure against at least the following perils or causes of loss: fire, lightning, extended coverage, theft, vandalism and malicious mischief, earthquake, collapse, debris removal, demolition occasioned by enforcement of Laws and Regulations, water damage, (other than caused by flood) and such other perils or causes of loss as may be specifically required by the Supplementary Conditions;

3. include expenses incurred in the repair or replacement of any insured property (including but not limited to fees and charges of engineers and architects);

4. cover materials and equipment stored at the Site or at another location that was agreed to in writing by Owner prior to being incorporated in the Work, provided that such materials and equipment have been included in an Application for Payment recommended by Engineer;

5. allow for partial utilization of the Work by Owner;

6. include testing and startup; and

7. be maintained in effect until final payment is made unless otherwise agreed to in writing by Owner, Contractor, and Engineer with 30 days written notice to each other additional insured to whom a certificate of insurance has been issued.

B. Owner shall purchase and maintain such boiler and machinery insurance or additional property insurance as may be required by the Supplementary Conditions or Laws and Regulations which will include the interests of Owner, Contractor, Subcontractors, and Engineer, and any other individuals or entities identified in the Supplementary Conditions, and the officers, directors, partners, employees, agents, consultants and subcontractors of each and any of them, each of whom is deemed to have an insurable interest and shall be listed as an insured or additional insured.

C. All the policies of insurance (and the certificates or other evidence thereof) required to be purchased and maintained in accordance with Paragraph 5.06 will contain a provision or endorsement that the coverage afforded will not be canceled or materially changed or renewal refused until at least 30 days prior written notice has been given to Owner and Contractor and to each other additional insured to whom a certificate of insurance has been issued and will contain waiver provisions in accordance with Paragraph 5.07.

D. Owner shall not be responsible for purchasing and maintaining any property insurance specified in this Paragraph 5.06 to protect the interests of Contractor, Subcontractors, or others in the Work to the extent of any

deductible amounts that are identified in the Supplementary Conditions. The risk of loss within such identified deductible amount will be borne by Contractor, Subcontractors, or others suffering any such loss, and if any of them wishes property insurance coverage within the limits of such amounts, each may purchase and maintain it at the purchaser's own expense.

E. If Contractor requests in writing that other special insurance be included in the property insurance policies provided under Paragraph 5.06, Owner shall, if possible, include such insurance, and the cost thereof will be charged to Contractor by appropriate Change Order. Prior to commencement of the Work at the Site, Owner shall in writing advise Contractor whether or not such other insurance has been procured by Owner.

5.07 *Waiver of Rights*

A. Owner and Contractor intend that all policies purchased in accordance with Paragraph 5.06 will protect Owner, Contractor, Subcontractors, and Engineer, and all other individuals or entities identified in the Supplementary Conditions to be listed as insureds or additional insureds (and the officers, directors, partners, employees, agents, consultants and subcontractors of each and any of them) in such policies and will provide primary coverage for all losses and damages caused by the perils or causes of loss covered thereby. All such policies shall contain provisions to the effect that in the event of payment of any loss or damage the insurers will have no rights of recovery against any of the insureds or additional insureds thereunder. Owner and Contractor waive all rights against each other and their respective officers, directors, partners, employees, agents, consultants and subcontractors of each and any of them for all losses and damages caused by, arising out of or resulting from any of the perils or causes of loss covered by such policies and any other property insurance applicable to the Work; and, in addition, waive all such rights against Subcontractors, and Engineer, and all other individuals or entities identified in the Supplementary Conditions to be listed as insured or additional insured (and the officers, directors, partners, employees, agents, consultants and subcontractors of each and any of them) under such policies for losses and damages so caused. None of the above waivers shall extend to the rights that any party making such waiver may have to the proceeds of insurance held by Owner as trustee or otherwise payable under any policy so issued.

B. Owner waives all rights against Contractor, Subcontractors, and Engineer, and the officers, directors, partners, employees, agents, consultants and subcontractors of each and any of them for:

1. loss due to business interruption, loss of use, or other consequential loss extending beyond direct physical loss or damage to Owner's property or the Work caused by, arising out of, or resulting from fire or other perils whether or not insured by Owner; and

2. loss or damage to the completed Project or part thereof caused by, arising out of, or resulting from fire or other insured peril or cause of loss covered by any property insurance maintained on the completed Project or part thereof by Owner during partial utilization pursuant to Paragraph 14.05, after Substantial Completion pursuant to Paragraph 14.04, or after final payment pursuant to Paragraph 14.07.

C. Any insurance policy maintained by Owner covering any loss, damage or consequential loss referred to in Paragraph 5.07.B shall contain provisions to the effect that in the event of payment of any such loss, damage, or consequential loss, the insurers will have no rights of recovery against Contractor, Subcontractors, or Engineer, and the officers, directors, partners, employees, agents, consultants and subcontractors of each and any of them.

5.08 *Receipt and Application of Insurance Proceeds*

A. Any insured loss under the policies of insurance required by Paragraph 5.06 will be adjusted with Owner and made payable to Owner as fiduciary for the insureds, as their interests may appear, subject to the requirements of any applicable mortgage clause and of Paragraph 5.08.B. Owner shall deposit in a separate account any money so received and shall distribute it in accordance with such agreement as the parties in interest may reach. If no other special agreement is reached, the damaged Work shall be repaired or replaced, the moneys so received applied on account thereof, and the Work and the cost thereof covered by an appropriate Change Order.

B. Owner as fiduciary shall have power to adjust and settle any loss with the insurers unless one of the parties in interest shall object in writing within 15 days after the occurrence of loss to Owner's exercise of this power. If such objection be made, Owner as fiduciary shall make settlement with the insurers in accordance with such agreement as the parties in interest may reach. If no such agreement among the parties in interest is reached, Owner as fiduciary shall adjust and settle the loss with the insurers and, if required in writing by any party in interest, Owner as fiduciary shall give bond for the proper performance of such duties.

5.09 *Acceptance of Bonds and Insurance; Option to Replace*

A. If either Owner or Contractor has any objection to the coverage afforded by or other provisions of the bonds or insurance required to be purchased and maintained by the other party in accordance with Article 5 on the basis of non-conformance with the Contract

Documents, the objecting party shall so notify the other party in writing within 10 days after receipt of the certificates (or other evidence requested) required by Paragraph 2.01.B. Owner and Contractor shall each provide to the other such additional information in respect of insurance provided as the other may reasonably request. If either party does not purchase or maintain all of the bonds and insurance required of such party by the Contract Documents, such party shall notify the other party in writing of such failure to purchase prior to the start of the Work, or of such failure to maintain prior to any change in the required coverage. Without prejudice to any other right or remedy, the other party may elect to obtain equivalent bonds or insurance to protect such other party's interests at the expense of the party who was required to provide such coverage, and a Change Order shall be issued to adjust the Contract Price accordingly.

5.10 *Partial Utilization, Acknowledgment of Property Insurer*

A. If Owner finds it necessary to occupy or use a portion or portions of the Work prior to Substantial Completion of all the Work as provided in Paragraph 14.05, no such use or occupancy shall commence before the insurers providing the property insurance pursuant to Paragraph 5.06 have acknowledged notice thereof and in writing effected any changes in coverage necessitated thereby. The insurers providing the property insurance shall consent by endorsement on the policy or policies, but the property insurance shall not be canceled or permitted to lapse on account of any such partial use or occupancy.

ARTICLE 6 - CONTRACTOR'S RESPONSIBILITIES

6.01 *Supervision and Superintendence*

A. Contractor shall supervise, inspect, and direct the Work competently and efficiently, devoting such attention thereto and applying such skills and expertise as may be necessary to perform the Work in accordance with the Contract Documents. Contractor shall be solely responsible for the means, methods, techniques, sequences, and procedures of construction. Contractor shall not be responsible for the negligence of Owner or Engineer in the design or specification of a specific means, method, technique, sequence, or procedure of construction which is shown or indicated in and expressly required by the Contract Documents.

B. At all times during the progress of the Work, Contractor shall assign a competent resident superintendent who shall not be replaced without written notice to Owner and Engineer except under extraordinary circumstances. The superintendent will be Contractor's representative at the Site and shall have authority to act on behalf of Contractor. All communications given to or

received from the superintendent shall be binding on Contractor.

6.02 *Labor; Working Hours*

A. Contractor shall provide competent, suitably qualified personnel to survey and lay out the Work and perform construction as required by the Contract Documents. Contractor shall at all times maintain good discipline and order at the Site.

B. Except as otherwise required for the safety or protection of persons or the Work or property at the Site or adjacent thereto, and except as otherwise stated in the Contract Documents, all Work at the Site shall be performed during regular working hours. Contractor will not permit the performance of Work on a Saturday, Sunday, or any legal holiday without Owner's written consent (which will not be unreasonably withheld) given after prior written notice to Engineer.

6.03 *Services, Materials, and Equipment*

A. Unless otherwise specified in the Contract Documents, Contractor shall provide and assume full responsibility for all services, materials, equipment, labor, transportation, construction equipment and machinery, tools, appliances, fuel, power, light, heat, telephone, water, sanitary facilities, temporary facilities, and all other facilities and incidentals necessary for the performance, testing, start-up, and completion of the Work.

B. All materials and equipment incorporated into the Work shall be as specified or, if not specified, shall be of good quality and new, except as otherwise provided in the Contract Documents. All special warranties and guarantees required by the Specifications shall expressly run to the benefit of Owner. If required by Engineer, Contractor shall furnish satisfactory evidence (including reports of required tests) as to the source, kind, and quality of materials and equipment.

C. All materials and equipment shall be stored, applied, installed, connected, erected, protected, used, cleaned, and conditioned in accordance with instructions of the applicable Supplier, except as otherwise may be provided in the Contract Documents.

6.04 *Progress Schedule*

A. Contractor shall adhere to the Progress Schedule established in accordance with Paragraph 2.07 as it may be adjusted from time to time as provided below.

1. Contractor shall submit to Engineer for acceptance (to the extent indicated in Paragraph 2.07) proposed adjustments in the Progress Schedule that will not result in changing the Contract Times. Such adjustments will comply with any provisions of the General Requirements applicable thereto.

2. Proposed adjustments in the Progress Schedule that will change the Contract Times shall be submitted in accordance with the requirements of Article 12. Adjustments in Contract Times may only be made by a Change Order.

6.05 *Substitutes and "Or-Equals"*

A. Whenever an item of material or equipment is specified or described in the Contract Documents by using the name of a proprietary item or the name of a particular Supplier, the specification or description is intended to establish the type, function, appearance, and quality required. Unless the specification or description contains or is followed by words reading that no like, equivalent, or "or-equal" item or no substitution is permitted, other items of material or equipment or material or equipment of other Suppliers may be submitted to Engineer for review under the circumstances described below.

1. *"Or-Equal" Items:* If in Engineer's sole discretion an item of material or equipment proposed by Contractor is functionally equal to that named and sufficiently similar so that no change in related Work will be required, it may be considered by Engineer as an "or-equal" item, in which case review and approval of the proposed item may, in Engineer's sole discretion, be accomplished without compliance with some or all of the requirements for approval of proposed substitute items. For the purposes of this Paragraph 6.05.A.1, a proposed item of material or equipment will be considered functionally equal to an item so named if:

a. in the exercise of reasonable judgment Engineer determines that:

1) it is at least equal in materials of construction, quality, durability, appearance, strength, and design characteristics;

2) it will reliably perform at least equally well the function and achieve the results imposed by the design concept of the completed Project as a functioning whole,

3) it has a proven record of performance and availability of responsive service; and

b. Contractor certifies that, if approved and incorporated into the Work:

1) there will be no increase in cost to the Owner or increase in Contract Times, and

2) it will conform substantially to the detailed requirements of the item named in the Contract Documents.

2. Substitute Items

a. If in Engineer's sole discretion an item of material or equipment proposed by Contractor does not qualify as an "or-equal" item under Paragraph 6.05.A.1, it will be considered a proposed substitute item.

b. Contractor shall submit sufficient information as provided below to allow Engineer to determine that the item of material or equipment proposed is essentially equivalent to that named and an acceptable substitute therefor. Requests for review of proposed substitute items of material or equipment will not be accepted by Engineer from anyone other than Contractor.

c. The requirements for review by Engineer will be as set forth in Paragraph 6.05.A.2.d, as supplemented in the General Requirements and as Engineer may decide is appropriate under the circumstances.

d. Contractor shall make written application to Engineer for review of a proposed substitute item of material or equipment that Contractor seeks to furnish or use. The application:

1) shall certify that the proposed substitute item will:

a) perform adequately the functions and achieve the results called for by the general design,

b) be similar in substance to that specified, and

c) be suited to the same use as that specified;

2) will state:

a) the extent, if any, to which the use of the proposed substitute item will prejudice Contractor's achievement of Substantial Completion on time;

b) whether or not use of the proposed substitute item in the Work will require a change in any of the Contract Documents (or in the provisions of any other direct contract with Owner for other work on the Project) to adapt the design to the proposed substitute item; and

c) whether or not incorporation or use of the proposed substitute item in connection with the Work is subject to payment of any license fee or royalty;

3) will identify:

a) all variations of the proposed substitute item from that specified, and

b) available engineering, sales, maintenance, repair, and replacement services;

4) and shall contain an itemized estimate of all costs or credits that will result directly or indirectly from use of such substitute item, including costs of redesign and claims of other contractors affected by any resulting change,

B. Substitute Construction Methods or Procedures: If a specific means, method, technique, sequence, or procedure of construction is expressly required by the Contract Documents, Contractor may furnish or utilize a substitute means, method, technique, sequence, or procedure of construction approved by Engineer. Contractor shall submit sufficient information to allow Engineer, in Engineer's sole discretion, to determine that the substitute proposed is equivalent to that expressly called for by the Contract Documents. The requirements for review by Engineer will be similar to those provided in Paragraph 6.05.A.2.

C. Engineer's Evaluation: Engineer will be allowed a reasonable time within which to evaluate each proposal or submittal made pursuant to Paragraphs 6.05.A and 6.05.B. Engineer may require Contractor to furnish additional data about the proposed substitute item. Engineer will be the sole judge of acceptability. No "or equal" or substitute will be ordered, installed or utilized until Engineer's review is complete, which will be evidenced by either a Change Order for a substitute or an approved Shop Drawing for an "or equal." Engineer will advise Contractor in writing of any negative determination.

D. Special Guarantee: Owner may require Contractor to furnish at Contractor's expense a special performance guarantee or other surety with respect to any substitute.

E. Engineer's Cost Reimbursement: Engineer will record Engineer's costs in evaluating a substitute proposed or submitted by Contractor pursuant to Paragraphs 6.05.A.2 and 6.05.B. Whether or not Engineer approves a substitute item so proposed or submitted by Contractor, Contractor shall reimburse Owner for the charges of Engineer for evaluating each such proposed substitute. Contractor shall also reimburse Owner for the charges of Engineer for making changes in the Contract

Documents (or in the provisions of any other direct contract with Owner) resulting from the acceptance of each proposed substitute.

F. Contractor's Expense: Contractor shall provide all data in support of any proposed substitute or "or-equal" at Contractor's expense.

6.06 Concerning Subcontractors, Suppliers, and Others

A. Contractor shall not employ any Subcontractor, Supplier, or other individual or entity (including those acceptable to Owner as indicated in Paragraph 6.06.B), whether initially or as a replacement, against whom Owner may have reasonable objection. Contractor shall not be required to employ any Subcontractor, Supplier, or other individual or entity to furnish or perform any of the Work against whom Contractor has reasonable objection.

B. If the Supplementary Conditions require the identity of certain Subcontractors, Suppliers, or other individuals or entities to be submitted to Owner in advance for acceptance by Owner by a specified date prior to the Effective Date of the Agreement, and if Contractor has submitted a list thereof in accordance with the Supplementary Conditions, Owner's acceptance (either in writing or by failing to make written objection thereto by the date indicated for acceptance or objection in the Bidding Documents or the Contract Documents) of any such Subcontractor, Supplier, or other individual or entity so identified may be revoked on the basis of reasonable objection after due investigation. Contractor shall submit an acceptable replacement for the rejected Subcontractor, Supplier, or other individual or entity, and the Contract Price will be adjusted by the difference in the cost occasioned by such replacement, and an appropriate Change Order will be issued. No acceptance by Owner of any such Subcontractor, Supplier, or other individual or entity, whether initially or as a replacement, shall constitute a waiver of any right of Owner or Engineer to reject defective Work.

C. Contractor shall be fully responsible to Owner and Engineer for all acts and omissions of the Subcontractors, Suppliers, and other individuals or entities performing or furnishing any of the Work just as Contractor is responsible for Contractor's own acts and omissions. Nothing in the Contract Documents:

1. shall create for the benefit of any such Subcontractor, Supplier, or other individual or entity any contractual relationship between Owner or Engineer and any such Subcontractor, Supplier or other individual or entity, nor

2. shall anything in the Contract Documents create any obligation on the part of Owner or Engineer to pay or to see to the payment of any moneys due any such Subcontractor, Supplier, or other individual

or entity except as may otherwise be required by Laws and Regulations.

D. Contractor shall be solely responsible for scheduling and coordinating the Work of Subcontractors, Suppliers, and other individuals or entities performing or furnishing any of the Work under a direct or indirect contract with Contractor.

E. Contractor shall require all Subcontractors, Suppliers, and such other individuals or entities performing or furnishing any of the Work to communicate with Engineer through Contractor.

F. The divisions and sections of the Specifications and the identifications of any Drawings shall not control Contractor in dividing the Work among Subcontractors or Suppliers or delineating the Work to be performed by any specific trade.

G. All Work performed for Contractor by a Subcontractor or Supplier will be pursuant to an appropriate agreement between Contractor and the Subcontractor or Supplier which specifically binds the Subcontractor or Supplier to the applicable terms and conditions of the Contract Documents for the benefit of Owner and Engineer. Whenever any such agreement is with a Subcontractor or Supplier who is listed as an additional insured on the property insurance provided in Paragraph 5.06, the agreement between the Contractor and the Subcontractor or Supplier will contain provisions whereby the Subcontractor or Supplier waives all rights against Owner, Contractor, and Engineer, and all other individuals or entities identified in the Supplementary Conditions to be listed as insureds or additional insureds (and the officers, directors, partners, employees, agents, consultants and subcontractors of each and any of them) for all losses and damages caused by, arising out of, relating to, or resulting from any of the perils or causes of loss covered by such policies and any other property insurance applicable to the Work. If the insurers on any such policies require separate waiver forms to be signed by any Subcontractor or Supplier, Contractor will obtain the same.

6.07 *Patent Fees and Royalties*

A. Contractor shall pay all license fees and royalties and assume all costs incident to the use in the performance of the Work or the incorporation in the Work of any invention, design, process, product, or device which is the subject of patent rights or copyrights held by others. If a particular invention, design, process, product, or device is specified in the Contract Documents for use in the performance of the Work and if to the actual knowledge of Owner or Engineer its use is subject to patent rights or copyrights calling for the payment of any license fee or royalty to others, the existence of such rights shall be disclosed by Owner in the Contract Documents.

B. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, partners, employees, agents, consultants and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to any infringement of patent rights or copyrights incident to the use in the performance of the Work or resulting from the incorporation in the Work of any invention, design, process, product, or device not specified in the Contract Documents.

6.08 *Permits*

A. Unless otherwise provided in the Supplementary Conditions, Contractor shall obtain and pay for all construction permits and licenses. Owner shall assist Contractor, when necessary, in obtaining such permits and licenses. Contractor shall pay all governmental charges and inspection fees necessary for the prosecution of the Work which are applicable at the time of opening of Bids, or, if there are no Bids, on the Effective Date of the Agreement. Owner shall pay all charges of utility owners for connections for providing permanent service to the Work.

6.09 *Laws and Regulations*

A. Contractor shall give all notices required by and shall comply with all Laws and Regulations applicable to the performance of the Work. Except where otherwise expressly required by applicable Laws and Regulations, neither Owner nor Engineer shall be responsible for monitoring Contractor's compliance with any Laws or Regulations.

B. If Contractor performs any Work knowing or having reason to know that it is contrary to Laws or Regulations, Contractor shall bear all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such Work. However, it shall not be Contractor's primary responsibility to make certain that the Specifications and Drawings are in accordance with Laws and Regulations, but this shall not relieve Contractor of Contractor's obligations under Paragraph 3.03.

C. Changes in Laws or Regulations not known at the time of opening of Bids (or, on the Effective Date of the Agreement if there were no Bids) having an effect on the cost or time of performance of the Work shall be the subject of an adjustment in Contract Price or Contract Times. If Owner and Contractor are unable to agree on entitlement to or on the amount or extent, if any, of any such adjustment, a Claim may be made therefor as provided in Paragraph 10.05.

6.10 Taxes

A. Contractor shall pay all sales, consumer, use, and other similar taxes required to be paid by Contractor in accordance with the Laws and Regulations of the place of the Project which are applicable during the performance of the Work.

6.11 Use of Site and Other Areas

A. Limitation on Use of Site and Other Areas

1. Contractor shall confine construction equipment, the storage of materials and equipment, and the operations of workers to the Site and other areas permitted by Laws and Regulations, and shall not unreasonably encumber the Site and other areas with construction equipment or other materials or equipment. Contractor shall assume full responsibility for any damage to any such land or area, or to the owner or occupant thereof, or of any adjacent land or areas resulting from the performance of the Work.

2. Should any claim be made by any such owner or occupant because of the performance of the Work, Contractor shall promptly settle with such other party by negotiation or otherwise resolve the claim by arbitration or other dispute resolution proceeding or at law.

3. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, partners, employees, agents, consultants and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to any claim or action, legal or equitable, brought by any such owner or occupant against Owner, Engineer, or any other party indemnified hereunder to the extent caused by or based upon Contractor's performance of the Work.

B. Removal of Debris During Performance of the Work: During the progress of the Work Contractor shall keep the Site and other areas free from accumulations of waste materials, rubbish, and other debris. Removal and disposal of such waste materials, rubbish, and other debris shall conform to applicable Laws and Regulations.

C. Cleaning: Prior to Substantial Completion of the Work Contractor shall clean the Site and the Work and make it ready for utilization by Owner. At the completion of the Work Contractor shall remove from the Site all tools, appliances, construction equipment and machinery, and surplus materials and shall restore to original condition all property not designated for alteration by the Contract Documents.

D. Loading Structures: Contractor shall not load nor permit any part of any structure to be loaded in any manner that will endanger the structure, nor shall Contractor subject any part of the Work or adjacent property to stresses or pressures that will endanger it.

6.12 Record Documents

A. Contractor shall maintain in a safe place at the Site one record copy of all Drawings, Specifications, Addenda, Change Orders, Work Change Directives, Field Orders, and written interpretations and clarifications in good order and annotated to show changes made during construction. These record documents together with all approved Samples and a counterpart of all approved Shop Drawings will be available to Engineer for reference. Upon completion of the Work, these record documents, Samples, and Shop Drawings will be delivered to Engineer for Owner.

6.13 Safety and Protection

A. Contractor shall be solely responsible for initiating, maintaining and supervising all safety precautions and programs in connection with the Work. Contractor shall take all necessary precautions for the safety of, and shall provide the necessary protection to prevent damage, injury or loss to:

1. all persons on the Site or who may be affected by the Work;

2. all the Work and materials and equipment to be incorporated therein, whether in storage on or off the Site; and

3. other property at the Site or adjacent thereto, including trees, shrubs, lawns, walks, pavements, roadways, structures, utilities, and Underground Facilities not designated for removal, relocation, or replacement in the course of construction.

B. Contractor shall comply with all applicable Laws and Regulations relating to the safety of persons or property, or to the protection of persons or property from damage, injury, or loss; and shall erect and maintain all necessary safeguards for such safety and protection. Contractor shall notify owners of adjacent property and of Underground Facilities and other utility owners when prosecution of the Work may affect them, and shall cooperate with them in the protection, removal, relocation, and replacement of their property.

C. All damage, injury, or loss to any property referred to in Paragraph 6.13.A.2 or 6.13.A.3 caused, directly or indirectly, in whole or in part, by Contractor, any Subcontractor, Supplier, or any other individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, shall be remedied by Contractor (except damage or loss attributable to the fault of Draw-

ings or Specifications or to the acts or omissions of Owner or Engineer or , or anyone employed by any of them, or anyone for whose acts any of them may be liable, and not attributable, directly or indirectly, in whole or in part, to the fault or negligence of Contractor or any Subcontractor, Supplier, or other individual or entity directly or indirectly employed by any of them).

D. Contractor's duties and responsibilities for safety and for protection of the Work shall continue until such time as all the Work is completed and Engineer has issued a notice to Owner and Contractor in accordance with Paragraph 14.07.B that the Work is acceptable (except as otherwise expressly provided in connection with Substantial Completion).

6.14 *Safety Representative*

A. Contractor shall designate a qualified and experienced safety representative at the Site whose duties and responsibilities shall be the prevention of accidents and the maintaining and supervising of safety precautions and programs.

6.15 *Hazard Communication Programs*

A. Contractor shall be responsible for coordinating any exchange of material safety data sheets or other hazard communication information required to be made available to or exchanged between or among employers at the Site in accordance with Laws or Regulations.

6.16 *Emergencies*

A. In emergencies affecting the safety or protection of persons or the Work or property at the Site or adjacent thereto, Contractor is obligated to act to prevent threatened damage, injury, or loss. Contractor shall give Engineer prompt written notice if Contractor believes that any significant changes in the Work or variations from the Contract Documents have been caused thereby or are required as a result thereof. If Engineer determines that a change in the Contract Documents is required because of the action taken by Contractor in response to such an emergency, a Work Change Directive or Change Order will be issued.

6.17 *Shop Drawings and Samples*

A. Contractor shall submit Shop Drawings and Samples to Engineer for review and approval in accordance with the acceptable Schedule of Submittals (as required by Paragraph 2.07). Each submittal will be identified as Engineer may require.

1. Shop Drawings

a. Submit number of copies specified in the General Requirements.

b. Data shown on the Shop Drawings will be complete with respect to quantities, dimensions, specified performance and design criteria, materials, and similar data to show Engineer the services, materials, and equipment Contractor proposes to provide and to enable Engineer to review the information for the limited purposes required by Paragraph 6.17.D.

2. *Samples*: Contractor shall also submit Samples to Engineer for review and approval in accordance with the acceptable schedule of Shop Drawings and Sample submittals.

a. Submit number of Samples specified in the Specifications.

b. Clearly identify each Sample as to material, Supplier, pertinent data such as catalog numbers, the use for which intended and other data as Engineer may require to enable Engineer to review the submittal for the limited purposes required by Paragraph 6.17.D.

B. Where a Shop Drawing or Sample is required by the Contract Documents or the Schedule of Submittals , any related Work performed prior to Engineer's review and approval of the pertinent submittal will be at the sole expense and responsibility of Contractor.

C. Submittal Procedures

1. Before submitting each Shop Drawing or Sample, Contractor shall have determined and verified:

a. all field measurements, quantities, dimensions, specified performance and design criteria, installation requirements, materials, catalog numbers, and similar information with respect thereto;

b. the suitability of all materials with respect to intended use, fabrication, shipping, handling, storage, assembly, and installation pertaining to the performance of the Work;

c. all information relative to Contractor's responsibilities for means, methods, techniques, sequences, and procedures of construction, and safety precautions and programs incident thereto; and

d. shall also have reviewed and coordinated each Shop Drawing or Sample with other Shop Drawings and Samples and with the requirements of the Work and the Contract Documents.

2. Each submittal shall bear a stamp or specific written certification that Contractor has satisfied Contractor's obligations under the Contract Documents

with respect to Contractor's review and approval of that submittal.

3. With each submittal, Contractor shall give Engineer specific written notice of any variations, that the Shop Drawing or Sample may have from the requirements of the Contract Documents. This notice shall be both a written communication separate from the Shop Drawing's or Sample Submittal; and, in addition, by a specific notation made on each Shop Drawing or Sample submitted to Engineer for review and approval of each such variation.

D. Engineer's Review

1. Engineer will provide timely review of Shop Drawings and Samples in accordance with the Schedule of Submittals acceptable to Engineer. Engineer's review and approval will be only to determine if the items covered by the submittals will, after installation or incorporation in the Work, conform to the information given in the Contract Documents and be compatible with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents.

2. Engineer's review and approval will not extend to means, methods, techniques, sequences, or procedures of construction (except where a particular means, method, technique, sequence, or procedure of construction is specifically and expressly called for by the Contract Documents) or to safety precautions or programs incident thereto. The review and approval of a separate item as such will not indicate approval of the assembly in which the item functions.

3. Engineer's review and approval shall not relieve Contractor from responsibility for any variation from the requirements of the Contract Documents unless Contractor has complied with the requirements of Paragraph 6.17.C.3 and Engineer has given written approval of each such variation by specific written notation thereof incorporated in or accompanying the Shop Drawing or Sample. Engineer's review and approval shall not relieve Contractor from responsibility for complying with the requirements of Paragraph 6.17.C.1.

E. Resubmittal Procedures

1. Contractor shall make corrections required by Engineer and shall return the required number of corrected copies of Shop Drawings and submit, as required, new Samples for review and approval. Contractor shall direct specific attention in writing to revisions other than the corrections called for by Engineer on previous submittals.

6.18 Continuing the Work

A. Contractor shall carry on the Work and adhere to the Progress Schedule during all disputes or

disagreements with Owner. No Work shall be delayed or postponed pending resolution of any disputes or disagreements, except as permitted by Paragraph 15.04 or as Owner and Contractor may otherwise agree in writing.

6.19 Contractor's General Warranty and Guarantee

A. Contractor warrants and guarantees to Owner that all Work will be in accordance with the Contract Documents and will not be defective. Engineer and its Related Entities shall be entitled to rely on representation of Contractor's warranty and guarantee.

B. Contractor's warranty and guarantee hereunder excludes defects or damage caused by:

1. abuse, modification, or improper maintenance or operation by persons other than Contractor, Subcontractors, Suppliers, or any other individual or entity for whom Contractor is responsible; or

2. normal wear and tear under normal usage.

C. Contractor's obligation to perform and complete the Work in accordance with the Contract Documents shall be absolute. None of the following will constitute an acceptance of Work that is not in accordance with the Contract Documents or a release of Contractor's obligation to perform the Work in accordance with the Contract Documents:

1. observations by Engineer;

2. recommendation by Engineer or payment by Owner of any progress or final payment;

3. the issuance of a certificate of Substantial Completion by Engineer or any payment related thereto by Owner;

4. use or occupancy of the Work or any part thereof by Owner;

5. any review and approval of a Shop Drawing or Sample submittal or the issuance of a notice of acceptability by Engineer;

6. any inspection, test, or approval by others; or

7. any correction of defective Work by Owner.

6.20 Indemnification

A. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, partners, employees, agents, consultants and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or

arbitration or other dispute resolution costs) arising out of or relating to the performance of the Work, provided that any such claim, cost, loss, or damage is attributable to bodily injury, sickness, disease, or death, or to injury to or destruction of tangible property (other than the Work itself), including the loss of use resulting therefrom but only to the extent caused by any negligent act or omission of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work or anyone for whose acts any of them may be liable .

B. In any and all claims against Owner or Engineer or any of their respective consultants, agents, officers, directors, partners, or employees by any employee (or the survivor or personal representative of such employee) of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, the indemnification obligation under Paragraph 6.20.A shall not be limited in any way by any limitation on the amount or type of damages, compensation, or benefits payable by or for Contractor or any such Subcontractor, Supplier, or other individual or entity under workers' compensation acts, disability benefit acts, or other employee benefit acts.

C. The indemnification obligations of Contractor under Paragraph 6.20.A shall not extend to the liability of Engineer and Engineer's officers, directors, partners, employees, agents, consultants and subcontractors arising out of:

1. the preparation or approval of, or the failure to prepare or approve, maps, Drawings, opinions, reports, surveys, Change Orders, designs, or Specifications; or
2. giving directions or instructions, or failing to give them, if that is the primary cause of the injury or damage.

6.21 *Delegation of Professional Design Services*

A. Contractor will not be required to provide professional design services unless such services are specifically required by the Contract Documents for a portion of the Work or unless such services are required to carry out Contractor's responsibilities for construction means, methods, techniques, sequences and procedures. Contractor shall not be required to provide professional services in violation of applicable law.

B. If professional design services or certifications by a design professional related to systems, materials or equipment are specifically required of Contractor by the Contract Documents, Owner and Engineer will specify all performance and design criteria that such services must satisfy. Contractor shall cause such services or certifications to be provided by a properly licensed professional, whose signature and seal

shall appear on all drawings, calculations, specifications, certifications, Shop Drawings and other submittals prepared by such professional. Shop Drawings and other submittals related to the Work designed or certified by such professional, if prepared by others, shall bear such professional's written approval when submitted to Engineer.

C. Owner and Engineer shall be entitled to rely upon the adequacy, accuracy and completeness of the services, certifications or approvals performed by such design professionals, provided Owner and Engineer have specified to Contractor all performance and design criteria that such services must satisfy.

D. Pursuant to this Paragraph 6.21, Engineer's review and approval of design calculations and design drawings will be only for the limited purpose of checking for conformance with performance and design criteria given and the design concept expressed in the Contract Documents. Engineer's review and approval of Shop Drawings and other submittals (except design calculations and design drawings) will be only for the purpose stated in Paragraph 6.17.D.1.

E. Contractor shall not be responsible for the adequacy of the performance or design criteria required by the Contract Documents.

ARTICLE 7 - OTHER WORK AT THE SITE

7.01 *Related Work at Site*

A. Owner may perform other work related to the Project at the Site with Owner's employees, or via other direct contracts therefor, or have other work performed by utility owners. If such other work is not noted in the Contract Documents, then:

1. written notice thereof will be given to Contractor prior to starting any such other work; and

2. if Owner and Contractor are unable to agree on entitlement to or on the amount or extent, if any, of any adjustment in the Contract Price or Contract Times that should be allowed as a result of such other work, a Claim may be made therefor as provided in Paragraph 10.05.

B. Contractor shall afford each other contractor who is a party to such a direct contract, each utility owner and Owner, if Owner is performing other work with Owner's employees, proper and safe access to the Site, a reasonable opportunity for the introduction and storage of materials and equipment and the execution of such other work, and shall properly coordinate the Work with theirs. Contractor shall do all cutting, fitting, and patching of the Work that may be required to properly connect or otherwise make its several parts come together and

properly integrate with such other work. Contractor shall not endanger any work of others by cutting, excavating, or otherwise altering their work and will only cut or alter their work with the written consent of Engineer and the others whose work will be affected. The duties and responsibilities of Contractor under this Paragraph are for the benefit of such utility owners and other contractors to the extent that there are comparable provisions for the benefit of Contractor in said direct contracts between Owner and such utility owners and other contractors.

C. If the proper execution or results of any part of Contractor's Work depends upon work performed by others under this Article 7, Contractor shall inspect such other work and promptly report to Engineer in writing any delays, defects, or deficiencies in such other work that render it unavailable or unsuitable for the proper execution and results of Contractor's Work. Contractor's failure to so report will constitute an acceptance of such other work as fit and proper for integration with Contractor's Work except for latent defects and deficiencies in such other work.

7.02 *Coordination*

A. If Owner intends to contract with others for the performance of other work on the Project at the Site, the following will be set forth in Supplementary Conditions:

1. the individual or entity who will have authority and responsibility for coordination of the activities among the various contractors will be identified;

2. the specific matters to be covered by such authority and responsibility will be itemized; and

3. the extent of such authority and responsibilities will be provided.

B. Unless otherwise provided in the Supplementary Conditions, Owner shall have sole authority and responsibility for such coordination.

7.03 *Legal Relationships*

A. Paragraphs 7.01.A and 7.02 are not applicable for utilities not under the control of Owner.

B. Each other direct contract of Owner under Paragraph 7.01.A shall provide that the other contractor is liable to Owner and Contractor for the reasonable direct delay and disruption costs incurred by Contractor as a result of the other contractor's actions or inactions.

C. Contractor shall be liable to Owner and any other contractor for the reasonable direct delay and disruption costs incurred by such other contractor as a result of Contractor's action or inactions.

ARTICLE 8 - OWNER'S RESPONSIBILITIES

8.01 *Communications to Contractor*

A. Except as otherwise provided in these General Conditions, Owner shall issue all communications to Contractor through Engineer.

8.02 *Replacement of Engineer*

A. In case of termination of the employment of Engineer, Owner shall appoint an engineer to whom Contractor makes no reasonable objection, whose status under the Contract Documents shall be that of the former Engineer.

8.03 *Furnish Data*

A. Owner shall promptly furnish the data required of Owner under the Contract Documents.

8.04 *Pay When Due*

A. Owner shall make payments to Contractor when they are due as provided in Paragraphs 14.02.C and 14.07.C.

8.05 *Lands and Easements; Reports and Tests*

A. Owner's duties in respect of providing lands and easements and providing engineering surveys to establish reference points are set forth in Paragraphs 4.01 and 4.05. Paragraph 4.02 refers to Owner's identifying and making available to Contractor copies of reports of explorations and tests of subsurface conditions and drawings of physical conditions in or relating to existing surface or subsurface structures at or contiguous to the Site that have been utilized by Engineer in preparing the Contract Documents.

8.06 *Insurance*

A. Owner's responsibilities, if any, in respect to purchasing and maintaining liability and property insurance are set forth in Article 5.

8.07 *Change Orders*

A. Owner is obligated to execute Change Orders as indicated in Paragraph 10.03.

8.08 *Inspections, Tests, and Approvals*

A. Owner's responsibility in respect to certain inspections, tests, and approvals is set forth in Paragraph 13.03.B.

8.09 *Limitations on Owner's Responsibilities*

A. The Owner shall not supervise, direct, or have control or authority over, nor be responsible for, Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work. Owner will not be responsible for Contractor's failure to perform the Work in accordance with the Contract Documents.

8.10 *Undisclosed Hazardous Environmental Condition*

A. Owner's responsibility in respect to an undisclosed Hazardous Environmental Condition is set forth in Paragraph 4.06.

8.11 *Evidence of Financial Arrangements*

A. If and to the extent Owner has agreed to furnish Contractor reasonable evidence that financial arrangements have been made to satisfy Owner's obligations under the Contract Documents, Owner's responsibility in respect thereof will be as set forth in the Supplementary Conditions.

ARTICLE 9 - ENGINEER'S STATUS DURING CONSTRUCTION

9.01 *Owner's Representative*

A. Engineer will be Owner's representative during the construction period. The duties and responsibilities and the limitations of authority of Engineer as Owner's representative during construction are set forth in the Contract Documents and will not be changed without written consent of Owner and Engineer.

9.02 *Visits to Site*

A. Engineer will make visits to the Site at intervals appropriate to the various stages of construction as Engineer deems necessary in order to observe as an experienced and qualified design professional the progress that has been made and the quality of the various aspects of Contractor's executed Work. Based on information obtained during such visits and observations, Engineer, for the benefit of Owner, will determine, in general, if the Work is proceeding in accordance with the Contract Documents. Engineer will not be required to make exhaustive or continuous inspections on the Site to check the quality or quantity of the Work. Engineer's efforts will be directed toward providing for Owner a greater degree of confidence that the completed Work will conform generally to the Contract Documents. On the basis of such visits and observations, Engineer will keep

Owner informed of the progress of the Work and will endeavor to guard Owner against defective Work.

B. Engineer's visits and observations are subject to all the limitations on Engineer's authority and responsibility set forth in Paragraph 9.09. Particularly, but without limitation, during or as a result of Engineer's visits or observations of Contractor's Work Engineer will not supervise, direct, control, or have authority over or be responsible for Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work.

9.03 *Project Representative*

A. If Owner and Engineer agree, Engineer will furnish a Resident Project Representative to assist Engineer in providing more extensive observation of the Work. The authority and responsibilities of any such Resident Project Representative and assistants will be as provided in the Supplementary Conditions, and limitations on the responsibilities thereof will be as provided in Paragraph 9.09. If Owner designates another representative or agent to represent Owner at the Site who is not Engineer's consultant, agent or employee, the responsibilities and authority and limitations thereon of such other individual or entity will be as provided in the Supplementary Conditions.

9.04 *Authorized Variations in Work*

A. Engineer may authorize minor variations in the Work from the requirements of the Contract Documents which do not involve an adjustment in the Contract Price or the Contract Times and are compatible with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents. These may be accomplished by a Field Order and will be binding on Owner and also on Contractor, who shall perform the Work involved promptly. If Owner or Contractor believes that a Field Order justifies an adjustment in the Contract Price or Contract Times, or both, and the parties are unable to agree on entitlement to or on the amount or extent, if any, of any such adjustment, a Claim may be made therefor as provided in Paragraph 10.05.

9.05 *Rejecting Defective Work*

A. Engineer will have authority to reject Work which Engineer believes to be defective, or that Engineer believes will not produce a completed Project that conforms to the Contract Documents or that will prejudice the integrity of the design concept of the completed Project as a functioning whole as indicated by the Contract Documents. Engineer will also have authority to require special inspection or testing of the Work as provided in Paragraph 13.04, whether or not the Work is fabricated, installed, or completed.

9.06 *Shop Drawings, Change Orders and Payments*

A. In connection with Engineer's authority, and limitations thereof, as to Shop Drawings and Samples, see Paragraph 6.17.

B. In connection with Engineer's authority, and limitations thereof, as to design calculations and design drawings submitted in response to a delegation of professional design services, if any, see Paragraph 6.21.

C. In connection with Engineer's authority as to Change Orders, see Articles 10, 11, and 12.

D. In connection with Engineer's authority as to Applications for Payment, see Article 14.

9.07 *Determinations for Unit Price Work*

A. Engineer will determine the actual quantities and classifications of Unit Price Work performed by Contractor. Engineer will review with Contractor the Engineer's preliminary determinations on such matters before rendering a written decision thereon (by recommendation of an Application for Payment or otherwise). Engineer's written decision thereon will be final and binding (except as modified by Engineer to reflect changed factual conditions or more accurate data) upon Owner and Contractor, subject to the provisions of Paragraph 10.05.

9.08 *Decisions on Requirements of Contract Documents and Acceptability of Work*

A. Engineer will be the initial interpreter of the requirements of the Contract Documents and judge of the acceptability of the Work thereunder. All matters in question and other matters between Owner and Contractor arising prior to the date final payment is due relating to the acceptability of the Work, and the interpretation of the requirements of the Contract Documents pertaining to the performance of the Work, will be referred initially to Engineer in writing within 30 days of the event giving rise to the question

B. Engineer will, with reasonable promptness, render a written decision on the issue referred. If Owner or Contractor believe that any such decision entitles them to an adjustment in the Contract Price or Contract Times or both, a Claim may be made under Paragraph 10.05. The date of Engineer's decision shall be the date of the event giving rise to the issues referenced for the purposes of Paragraph 10.05.B.

C. Engineer's written decision on the issue referred will be final and binding on Owner and Contractor, subject to the provisions of Paragraph 10.05.

D. When functioning as interpreter and judge under this Paragraph 9.08, Engineer will not show

partiality to Owner or Contractor and will not be liable in connection with any interpretation or decision rendered in good faith in such capacity.

9.09 *Limitations on Engineer's Authority and Responsibilities*

A. Neither Engineer's authority or responsibility under this Article 9 or under any other provision of the Contract Documents nor any decision made by Engineer in good faith either to exercise or not exercise such authority or responsibility or the undertaking, exercise, or performance of any authority or responsibility by Engineer shall create, impose, or give rise to any duty in contract, tort, or otherwise owed by Engineer to Contractor, any Subcontractor, any Supplier, any other individual or entity, or to any surety for or employee or agent of any of them.

B. Engineer will not supervise, direct, control, or have authority over or be responsible for Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work. Engineer will not be responsible for Contractor's failure to perform the Work in accordance with the Contract Documents.

C. Engineer will not be responsible for the acts or omissions of Contractor or of any Subcontractor, any Supplier, or of any other individual or entity performing any of the Work.

D. Engineer's review of the final Application for Payment and accompanying documentation and all maintenance and operating instructions, schedules, guarantees, bonds, certificates of inspection, tests and approvals, and other documentation required to be delivered by Paragraph 14.07.A will only be to determine generally that their content complies with the requirements of, and in the case of certificates of inspections, tests, and approvals that the results certified indicate compliance with the Contract Documents.

E. The limitations upon authority and responsibility set forth in this Paragraph 9.09 shall also apply to, the Resident Project Representative, if any, and assistants, if any.

ARTICLE 10 - CHANGES IN THE WORK; CLAIMS

10.01 *Authorized Changes in the Work*

A. Without invalidating the Contract and without notice to any surety, Owner may, at any time or from time to time, order additions, deletions, or revisions in the Work by a Change Order, or a Work Change Directive. Upon receipt of any such document, Contractor shall

promptly proceed with the Work involved which will be performed under the applicable conditions of the Contract Documents (except as otherwise specifically provided).

B. If Owner and Contractor are unable to agree on entitlement to, or on the amount or extent, if any, of an adjustment in the Contract Price or Contract Times, or both, that should be allowed as a result of a Work Change Directive, a Claim may be made therefor as provided in Paragraph 10.05.

10.02 *Unauthorized Changes in the Work*

A. Contractor shall not be entitled to an increase in the Contract Price or an extension of the Contract Times with respect to any work performed that is not required by the Contract Documents as amended, modified, or supplemented as provided in Paragraph 3.04, except in the case of an emergency as provided in Paragraph 6.16 or in the case of uncovering Work as provided in Paragraph 13.04.B.

10.03 *Execution of Change Orders*

A. Owner and Contractor shall execute appropriate Change Orders recommended by Engineer covering:

1. changes in the Work which are: (i) ordered by Owner pursuant to Paragraph 10.01.A, (ii) required because of acceptance of defective Work under Paragraph 13.08.A or Owner's correction of defective Work under Paragraph 13.09, or (iii) agreed to by the parties;

2. changes in the Contract Price or Contract Times which are agreed to by the parties, including any undisputed sum or amount of time for Work actually performed in accordance with a Work Change Directive; and

3. changes in the Contract Price or Contract Times which embody the substance of any written decision rendered by Engineer pursuant to Paragraph 10.05; provided that, in lieu of executing any such Change Order, an appeal may be taken from any such decision in accordance with the provisions of the Contract Documents and applicable Laws and Regulations, but during any such appeal, Contractor shall carry on the Work and adhere to the Progress Schedule as provided in Paragraph 6.18.A.

10.04 *Notification to Surety*

A. If notice of any change affecting the general scope of the Work or the provisions of the Contract Documents (including, but not limited to, Contract Price or Contract Times) is required by the provisions of any bond to be given to a surety, the giving of any such notice will be Contractor's responsibility. The amount of each applicable bond will be adjusted to reflect the effect of any such change.

10.05 *Claims*

A. *Engineer's Decision Required:* All Claims, except those waived pursuant to Paragraph 14.09, shall be referred to the Engineer for decision. A decision by Engineer shall be required as a condition precedent to any exercise by Owner or Contractor of any rights or remedies either may otherwise have under the Contract Documents or by Laws and Regulations in respect of such Claims.

B. *Notice:* Written notice stating the general nature of each Claim, shall be delivered by the claimant to Engineer and the other party to the Contract promptly (but in no event later than 30 days) after the start of the event giving rise thereto. The responsibility to substantiate a Claim shall rest with the party making the Claim. Notice of the amount or extent of the Claim, with supporting data shall be delivered to the Engineer and the other party to the Contract within 60 days after the start of such event (unless Engineer allows additional time for claimant to submit additional or more accurate data in support of such Claim). A Claim for an adjustment in Contract Price shall be prepared in accordance with the provisions of Paragraph 12.01.B. A Claim for an adjustment in Contract Time shall be prepared in accordance with the provisions of Paragraph 12.02.B. Each Claim shall be accompanied by claimant's written statement that the adjustment claimed is the entire adjustment to which the claimant believes it is entitled as a result of said event. The opposing party shall submit any response to Engineer and the claimant within 30 days after receipt of the claimant's last submittal (unless Engineer allows additional time).

C. *Engineer's Action:* Engineer will review each Claim and, within 30 days after receipt of the last submittal of the claimant or the last submittal of the opposing party, if any, take one of the following actions in writing:

1. deny the Claim in whole or in part,

2. approve the Claim, or

3. notify the parties that the Engineer is unable to resolve the Claim if, in the Engineer's sole discretion, it would be inappropriate for the Engineer to do so. For purposes of further resolution of the Claim, such notice shall be deemed a denial.

D. In the event that Engineer does not take action on a Claim within said 30 days, the Claim shall be deemed denied.

E. Engineer's written action under Paragraph 10.05.C or denial pursuant to Paragraphs 10.05.C.3 or 10.05.D will be final and binding upon Owner and Contractor, unless Owner or Contractor invoke the dispute resolution procedure set forth in Article 16 within 30 days of such action or denial.

F. No Claim for an adjustment in Contract Price or Contract Times will be valid if not submitted in accordance with this Paragraph 10.05.

ARTICLE 11 - COST OF THE WORK; ALLOWANCES; UNIT PRICE WORK

11.01 *Cost of the Work*

A. *Costs Included:* The term Cost of the Work means the sum of all costs, except those excluded in Paragraph 11.01.B, necessarily incurred and paid by Contractor in the proper performance of the Work. When the value of any Work covered by a Change Order or when a Claim for an adjustment in Contract Price is determined on the basis of Cost of the Work, the costs to be reimbursed to Contractor will be only those additional or incremental costs required because of the change in the Work or because of the event giving rise to the Claim. Except as otherwise may be agreed to in writing by Owner, such costs shall be in amounts no higher than those prevailing in the locality of the Project, shall include only the following items, and shall not include any of the costs itemized in Paragraph 11.01.B.

1. Payroll costs for employees in the direct employ of Contractor in the performance of the Work under schedules of job classifications agreed upon by Owner and Contractor. Such employees shall include, without limitation, superintendents, foremen, and other personnel employed full time at the Site. Payroll costs for employees not employed full time on the Work shall be apportioned on the basis of their time spent on the Work. Payroll costs shall include, but not be limited to, salaries and wages plus the cost of fringe benefits, which shall include social security contributions, unemployment, excise, and payroll taxes, workers' compensation, health and retirement benefits, bonuses, sick leave, vacation and holiday pay applicable thereto. The expenses of performing Work outside of regular working hours, on Saturday, Sunday, or legal holidays, shall be included in the above to the extent authorized by Owner.

2. Cost of all materials and equipment furnished and incorporated in the Work, including costs of transportation and storage thereof, and Suppliers' field services required in connection therewith. All cash discounts shall accrue to Contractor unless Owner deposits funds with Contractor with which to make payments, in which case the cash discounts shall accrue to Owner. All trade discounts, rebates and refunds and returns from sale of surplus materials and equipment shall accrue to Owner, and Contractor shall make provisions so that they may be obtained.

3. Payments made by Contractor to Subcontractors for Work performed by Subcontractors. If required by Owner, Contractor shall obtain competitive bids from subcontractors acceptable to Owner and

Contractor and shall deliver such bids to Owner, who will then determine, with the advice of Engineer, which bids, if any, will be acceptable. If any subcontract provides that the Subcontractor is to be paid on the basis of Cost of the Work plus a fee, the Subcontractor's Cost of the Work and fee shall be determined in the same manner as Contractor's Cost of the Work and fee as provided in this Paragraph 11.01.

4. Costs of special consultants (including but not limited to Engineers, architects, testing laboratories, surveyors, attorneys, and accountants) employed for services specifically related to the Work.

5. Supplemental costs including the following:

a. The proportion of necessary transportation, travel, and subsistence expenses of Contractor's employees incurred in discharge of duties connected with the Work.

b. Cost, including transportation and maintenance, of all materials, supplies, equipment, machinery, appliances, office, and temporary facilities at the Site, and hand tools not owned by the workers, which are consumed in the performance of the Work, and cost, less market value, of such items used but not consumed which remain the property of Contractor.

c. Rentals of all construction equipment and machinery, and the parts thereof whether rented from Contractor or others in accordance with rental agreements approved by Owner with the advice of Engineer, and the costs of transportation, loading, unloading, assembly, dismantling, and removal thereof. All such costs shall be in accordance with the terms of said rental agreements. The rental of any such equipment, machinery, or parts shall cease when the use thereof is no longer necessary for the Work.

d. Sales, consumer, use, and other similar taxes related to the Work, and for which Contractor is liable, imposed by Laws and Regulations.

e. Deposits lost for causes other than negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, and royalty payments and fees for permits and licenses.

f. Losses and damages (and related expenses) caused by damage to the Work, not compensated by insurance or otherwise, sustained by Contractor in connection with the performance of the Work (except losses and damages within the deductible amounts of property insurance established in accordance with Paragraph 5.06.D), provided such losses and damages have

resulted from causes other than the negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable. Such losses shall include settlements made with the written consent and approval of Owner. No such losses, damages, and expenses shall be included in the Cost of the Work for the purpose of determining Contractor's fee.

g. The cost of utilities, fuel, and sanitary facilities at the Site.

h. Minor expenses such as telegrams, long distance telephone calls, telephone service at the Site, expresses, and similar petty cash items in connection with the Work.

i. The costs of premiums for all bonds and insurance Contractor is required by the Contract Documents to purchase and maintain.

B. Costs Excluded: The term Cost of the Work shall not include any of the following items:

1. Payroll costs and other compensation of Contractor's officers, executives, principals (of partnerships and sole proprietorships), general managers, safety managers, engineers, architects, estimators, attorneys, auditors, accountants, purchasing and contracting agents, expeditors, timekeepers, clerks, and other personnel employed by Contractor, whether at the Site or in Contractor's principal or branch office for general administration of the Work and not specifically included in the agreed upon schedule of job classifications referred to in Paragraph 11.01.A.1 or specifically covered by Paragraph 11.01.A.4, all of which are to be considered administrative costs covered by the Contractor's fee.

2. Expenses of Contractor's principal and branch offices other than Contractor's office at the Site.

3. Any part of Contractor's capital expenses, including interest on Contractor's capital employed for the Work and charges against Contractor for delinquent payments.

4. Costs due to the negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, including but not limited to, the correction of defective Work, disposal of materials or equipment wrongly supplied, and making good any damage to property.

5. Other overhead or general expense costs of any kind and the costs of any item not specifically and expressly included in Paragraphs 11.01.A and 11.01.B.

C. Contractor's Fee: When all the Work is performed on the basis of cost-plus, Contractor's fee shall

be determined as set forth in the Agreement. When the value of any Work covered by a Change Order or when a Claim for an adjustment in Contract Price is determined on the basis of Cost of the Work, Contractor's fee shall be determined as set forth in Paragraph 12.01.C.

D. Documentation: Whenever the Cost of the Work for any purpose is to be determined pursuant to Paragraphs 11.01.A and 11.01.B, Contractor will establish and maintain records thereof in accordance with generally accepted accounting practices and submit in a form acceptable to Engineer an itemized cost breakdown together with supporting data.

11.02 Allowances

A. It is understood that Contractor has included in the Contract Price all allowances so named in the Contract Documents and shall cause the Work so covered to be performed for such sums and by such persons or entities as may be acceptable to Owner and Engineer.

B. Cash Allowances

1. Contractor agrees that:

a. the cash allowances include the cost to Contractor (less any applicable trade discounts) of materials and equipment required by the allowances to be delivered at the Site, and all applicable taxes; and

b. Contractor's costs for unloading and handling on the Site, labor, installation, overhead, profit, and other expenses contemplated for the cash allowances have been included in the Contract Price and not in the allowances, and no demand for additional payment on account of any of the foregoing will be valid.

C. Contingency Allowance

1. Contractor agrees that a contingency allowance, if any, is for the sole use of Owner to cover unanticipated costs.

D. Prior to final payment, an appropriate Change Order will be issued as recommended by Engineer to reflect actual amounts due Contractor on account of Work covered by allowances, and the Contract Price shall be correspondingly adjusted.

11.03 Unit Price Work

A. Where the Contract Documents provide that all or part of the Work is to be Unit Price Work, initially the Contract Price will be deemed to include for all Unit Price Work an amount equal to the sum of the unit price for each separately identified item of Unit Price Work times the estimated quantity of each item as indicated in the Agreement.

B. The estimated quantities of items of Unit Price Work are not guaranteed and are solely for the purpose of comparison of Bids and determining an initial Contract Price. Determinations of the actual quantities and classifications of Unit Price Work performed by Contractor will be made by Engineer subject to the provisions of Paragraph 9.07.

C. Each unit price will be deemed to include an amount considered by Contractor to be adequate to cover Contractor's overhead and profit for each separately identified item.

D. Owner or Contractor may make a Claim for an adjustment in the Contract Price in accordance with Paragraph 10.05 if:

1. the quantity of any item of Unit Price Work performed by Contractor differs materially and significantly from the estimated quantity of such item indicated in the Agreement; and

2. there is no corresponding adjustment with respect any other item of Work; and

3. Contractor believes that Contractor is entitled to an increase in Contract Price as a result of having incurred additional expense or Owner believes that Owner is entitled to a decrease in Contract Price and the parties are unable to agree as to the amount of any such increase or decrease.

ARTICLE 12 - CHANGE OF CONTRACT PRICE; CHANGE OF CONTRACT TIMES

12.01 *Change of Contract Price*

A. The Contract Price may only be changed by a Change Order. Any Claim for an adjustment in the Contract Price shall be based on written notice submitted by the party making the Claim to the Engineer and the other party to the Contract in accordance with the provisions of Paragraph 10.05.

B. The value of any Work covered by a Change Order or of any Claim for an adjustment in the Contract Price will be determined as follows:

1. where the Work involved is covered by unit prices contained in the Contract Documents, by application of such unit prices to the quantities of the items involved (subject to the provisions of Paragraph 11.03); or

2. where the Work involved is not covered by unit prices contained in the Contract Documents, by a mutually agreed lump sum (which may include an

allowance for overhead and profit not necessarily in accordance with Paragraph 12.01.C.2); or

3. where the Work involved is not covered by unit prices contained in the Contract Documents and agreement to a lump sum is not reached under Paragraph 12.01.B.2, on the basis of the Cost of the Work (determined as provided in Paragraph 11.01) plus a Contractor's fee for overhead and profit (determined as provided in Paragraph 12.01.C).

C. *Contractor's Fee:* The Contractor's fee for overhead and profit shall be determined as follows:

1. a mutually acceptable fixed fee; or

2. if a fixed fee is not agreed upon, then a fee based on the following percentages of the various portions of the Cost of the Work:

a. for costs incurred under Paragraphs 11.01.A.1 and 11.01.A.2, the Contractor's fee shall be 15 percent;

b. for costs incurred under Paragraph 11.01.A.3, the Contractor's fee shall be five percent;

c. where one or more tiers of subcontracts are on the basis of Cost of the Work plus a fee and no fixed fee is agreed upon, the intent of Paragraph 12.01.C.2.a is that the Subcontractor who actually performs the Work, at whatever tier, will be paid a fee of 15 percent of the costs incurred by such Subcontractor under Paragraphs 11.01.A.1 and 11.01.A.2 and that any higher tier Subcontractor and Contractor will each be paid a fee of five percent of the amount paid to the next lower tier Subcontractor;

d. no fee shall be payable on the basis of costs itemized under Paragraphs 11.01.A.4, 11.01.A.5, and 11.01.B;

e. the amount of credit to be allowed by Contractor to Owner for any change which results in a net decrease in cost will be the amount of the actual net decrease in cost plus a deduction in Contractor's fee by an amount equal to five percent of such net decrease; and

f. when both additions and credits are involved in any one change, the adjustment in Contractor's fee shall be computed on the basis of the net change in accordance with Paragraphs 12.01.C.2.a through 12.01.C.2.e, inclusive.

12.02 *Change of Contract Times*

A. The Contract Times may only be changed by a Change Order. Any Claim for an adjustment in the Contract Times shall be based on written notice submitted

by the party making the Claim to the Engineer and the other party to the Contract in accordance with the provisions of Paragraph 10.05.

B. Any adjustment of the Contract Times covered by a Change Order or any Claim for an adjustment in the Contract Times will be determined in accordance with the provisions of this Article 12.

12.03 Delays

A. Where Contractor is prevented from completing any part of the Work within the Contract Times due to delay beyond the control of Contractor, the Contract Times will be extended in an amount equal to the time lost due to such delay if a Claim is made therefor as provided in Paragraph 12.02.A. Delays beyond the control of Contractor shall include, but not be limited to, acts or neglect by Owner, acts or neglect of utility owners or other contractors performing other work as contemplated by Article 7, fires, floods, epidemics, abnormal weather conditions, or acts of God.

B. If Owner, Engineer, or other contractors or utility owners performing other work for Owner as contemplated by Article 7, or anyone for whom Owner is responsible, delays, disrupts, or interferes with the performance or progress of the Work, then Contractor shall be entitled to an equitable adjustment in the Contract Price or the Contract Times, or both. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times.

C. If Contractor is delayed in the performance or progress of the Work by fire, flood, epidemic, abnormal weather conditions, acts of God, acts or failures to act of utility owners not under the control of Owner, or other causes not the fault of and beyond control of Owner and Contractor, then Contractor shall be entitled to an equitable adjustment in Contract Times, if such adjustment is essential to Contractor's ability to complete the Work within the Contract Times. Such an adjustment shall be Contractor's sole and exclusive remedy for the delays described in this Paragraph 12.03.C.

D. Owner, Engineer and the Related Entities of each of them shall not be liable to Contractor for any claims, costs, losses, or damages (including but not limited to all fees and charges of Engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) sustained by Contractor on or in connection with any other project or anticipated project.

E. Contractor shall not be entitled to an adjustment in Contract Price or Contract Times for delays within the control of Contractor. Delays attributable to and within the control of a Subcontractor or Supplier shall be deemed to be delays within the control of Contractor.

ARTICLE 13 - TESTS AND INSPECTIONS; CORRECTION, REMOVAL OR ACCEPTANCE OF DEFECTIVE WORK

13.01 Notice of Defects

A. Prompt notice of all defective Work of which Owner or Engineer has actual knowledge will be given to Contractor. All defective Work may be rejected, corrected, or accepted as provided in this Article 13.

13.02 Access to Work

A. Owner, Engineer, their consultants and other representatives and personnel of Owner, independent testing laboratories, and governmental agencies with jurisdictional interests will have access to the Site and the Work at reasonable times for their observation, inspecting, and testing. Contractor shall provide them proper and safe conditions for such access and advise them of Contractor's Site safety procedures and programs so that they may comply therewith as applicable.

13.03 Tests and Inspections

A. Contractor shall give Engineer timely notice of readiness of the Work for all required inspections, tests, or approvals and shall cooperate with inspection and testing personnel to facilitate required inspections or tests.

B. Owner shall employ and pay for the services of an independent testing laboratory to perform all inspections, tests, or approvals required by the Contract Documents except:

1. for inspections, tests, or approvals covered by Paragraphs 13.03.C and 13.03.D below;

2. that costs incurred in connection with tests or inspections conducted pursuant to Paragraph 13.04.B shall be paid as provided in said Paragraph 13.04.C; and

3. as otherwise specifically provided in the Contract Documents.

C. If Laws or Regulations of any public body having jurisdiction require any Work (or part thereof) specifically to be inspected, tested, or approved by an employee or other representative of such public body, Contractor shall assume full responsibility for arranging and obtaining such inspections, tests, or approvals, pay all costs in connection therewith, and furnish Engineer the required certificates of inspection or approval.

D. Contractor shall be responsible for arranging and obtaining and shall pay all costs in connection with any inspections, tests, or approvals required for Owner's and Engineer's acceptance of materials or equipment to

be incorporated in the Work; or acceptance of materials, mix designs, or equipment submitted for approval prior to Contractor's purchase thereof for incorporation in the Work. Such inspections, tests, or approvals shall be performed by organizations acceptable to Owner and Engineer.

E. If any Work (or the work of others) that is to be inspected, tested, or approved is covered by Contractor without written concurrence of Engineer, it must, if requested by Engineer, be uncovered for observation.

F. Uncovering Work as provided in Paragraph 13.03.E shall be at Contractor's expense unless Contractor has given Engineer timely notice of Contractor's intention to cover the same and Engineer has not acted with reasonable promptness in response to such notice.

13.04 *Uncovering Work*

A. If any Work is covered contrary to the written request of Engineer, it must, if requested by Engineer, be uncovered for Engineer's observation and replaced at Contractor's expense.

B. If Engineer considers it necessary or advisable that covered Work be observed by Engineer or inspected or tested by others, Contractor, at Engineer's request, shall uncover, expose, or otherwise make available for observation, inspection, or testing as Engineer may require, that portion of the Work in question, furnishing all necessary labor, material, and equipment.

C. If it is found that the uncovered Work is defective, Contractor shall pay all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such uncovering, exposure, observation, inspection, and testing, and of satisfactory replacement or reconstruction (including but not limited to all costs of repair or replacement of work of others); and Owner shall be entitled to an appropriate decrease in the Contract Price. If the parties are unable to agree as to the amount thereof, Owner may make a Claim therefor as provided in Paragraph 10.05.

D. If, the uncovered Work is not found to be defective, Contractor shall be allowed an increase in the Contract Price or an extension of the Contract Times, or both, directly attributable to such uncovering, exposure, observation, inspection, testing, replacement, and reconstruction. If the parties are unable to agree as to the amount or extent thereof, Contractor may make a Claim therefor as provided in Paragraph 10.05.

13.05 *Owner May Stop the Work*

A. If the Work is defective, or Contractor fails to supply sufficient skilled workers or suitable materials or equipment, or fails to perform the Work in such a way that the completed Work will conform to the Contract Documents, Owner may order Contractor to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, this right of Owner to stop the Work shall not give rise to any duty on the part of Owner to exercise this right for the benefit of Contractor, any Subcontractor, any Supplier, any other individual or entity, or any surety for, or employee or agent of any of them.

13.06 *Correction or Removal of Defective Work*

A. Promptly after receipt of notice, Contractor shall correct all defective Work, whether or not fabricated, installed, or completed, or, if the Work has been rejected by Engineer, remove it from the Project and replace it with Work that is not defective. Contractor shall pay all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such correction or removal (including but not limited to all costs of repair or replacement of work of others).

B. When correcting defective Work under the terms of this Paragraph 13.06 or Paragraph 13.07, Contractor shall take no action that would void or otherwise impair Owner's special warranty and guarantee, if any, on said Work.

13.07 *Correction Period*

A. If within one year after the date of Substantial Completion (or such longer period of time as may be prescribed by the terms of any applicable special guarantee required by the Contract Documents) or by any specific provision of the Contract Documents, any Work is found to be defective, or if the repair of any damages to the land or areas made available for Contractor's use by Owner or permitted by Laws and Regulations as contemplated in Paragraph 6.11.A is found to be defective, Contractor shall promptly, without cost to Owner and in accordance with Owner's written instructions:

1. repair such defective land or areas; or
2. correct such defective Work; or
3. if the defective Work has been rejected by Owner, remove it from the Project and replace it with Work that is not defective, and
4. satisfactorily correct or repair or remove and replace any damage to other Work, to the work of others or other land or areas resulting therefrom.

B. If Contractor does not promptly comply with the terms of Owner's written instructions, or in an emergency where delay would cause serious risk of loss or damage, Owner may have the defective Work corrected or repaired or may have the rejected Work removed and replaced. All claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such correction or repair or such removal and replacement (including but not limited to all costs of repair or replacement of work of others) will be paid by Contractor.

C. In special circumstances where a particular item of equipment is placed in continuous service before Substantial Completion of all the Work, the correction period for that item may start to run from an earlier date if so provided in the Specifications .

D. Where defective Work (and damage to other Work resulting therefrom) has been corrected or removed and replaced under this Paragraph 13.07, the correction period hereunder with respect to such Work will be extended for an additional period of one year after such correction or removal and replacement has been satisfactorily completed.

E. Contractor's obligations under this Paragraph 13.07 are in addition to any other obligation or warranty. The provisions of this Paragraph 13.07 shall not be construed as a substitute for or a waiver of the provisions of any applicable statute of limitation or repose.

13.08 *Acceptance of Defective Work*

A. If, instead of requiring correction or removal and replacement of defective Work, Owner (and, prior to Engineer's recommendation of final payment, Engineer) prefers to accept it, Owner may do so. Contractor shall pay all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) attributable to Owner's evaluation of and determination to accept such defective Work (such costs to be approved by Engineer as to reasonableness) and the diminished value of the Work to the extent not otherwise paid by Contractor pursuant to this sentence. If any such acceptance occurs prior to Engineer's recommendation of final payment, a Change Order will be issued incorporating the necessary revisions in the Contract Documents with respect to the Work, and Owner shall be entitled to an appropriate decrease in the Contract Price, reflecting the diminished value of Work so accepted. If the parties are unable to agree as to the amount thereof, Owner may make a Claim therefor as provided in Paragraph 10.05. If the acceptance occurs after such recommendation, an appropriate amount will be paid by Contractor to Owner.

13.09 *Owner May Correct Defective Work*

A. If Contractor fails within a reasonable time after written notice from Engineer to correct defective Work or to remove and replace rejected Work as required by Engineer in accordance with Paragraph 13.06.A, or if Contractor fails to perform the Work in accordance with the Contract Documents, or if Contractor fails to comply with any other provision of the Contract Documents, Owner may, after seven days written notice to Contractor, correct or remedy any such deficiency.

B. In exercising the rights and remedies under this Paragraph 13.09, Owner shall proceed expeditiously. In connection with such corrective or remedial action, Owner may exclude Contractor from all or part of the Site, take possession of all or part of the Work and suspend Contractor's services related thereto, take possession of Contractor's tools, appliances, construction equipment and machinery at the Site, and incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored elsewhere. Contractor shall allow Owner, Owner's representatives, agents and employees, Owner's other contractors, and Engineer and Engineer's consultants access to the Site to enable Owner to exercise the rights and remedies under this Paragraph.

C. All claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) incurred or sustained by Owner in exercising the rights and remedies under this Paragraph 13.09 will be charged against Contractor, and a Change Order will be issued incorporating the necessary revisions in the Contract Documents with respect to the Work; and Owner shall be entitled to an appropriate decrease in the Contract Price. If the parties are unable to agree as to the amount of the adjustment, Owner may make a Claim therefor as provided in Paragraph 10.05. Such claims, costs, losses and damages will include but not be limited to all costs of repair, or replacement of work of others destroyed or damaged by correction, removal, or replacement of Contractor's defective Work.

D. Contractor shall not be allowed an extension of the Contract Times because of any delay in the performance of the Work attributable to the exercise by Owner of Owner's rights and remedies under this Paragraph 13.09.

ARTICLE 14 - PAYMENTS TO CONTRACTOR AND COMPLETION

14.01 *Schedule of Values*

A. The Schedule of Values established as provided in Paragraph 2.07.A will serve as the basis for progress

payments and will be incorporated into a form of Application for Payment acceptable to Engineer. Progress payments on account of Unit Price Work will be based on the number of units completed.

14.02 *Progress Payments*

A. Applications for Payments

1. At least 20 days before the date established in the Agreement for each progress payment (but not more often than once a month), Contractor shall submit to Engineer for review an Application for Payment filled out and signed by Contractor covering the Work completed as of the date of the Application and accompanied by such supporting documentation as is required by the Contract Documents. If payment is requested on the basis of materials and equipment not incorporated in the Work but delivered and suitably stored at the Site or at another location agreed to in writing, the Application for Payment shall also be accompanied by a bill of sale, invoice, or other documentation warranting that Owner has received the materials and equipment free and clear of all Liens and evidence that the materials and equipment are covered by appropriate property insurance or other arrangements to protect Owner's interest therein, all of which must be satisfactory to Owner.

2. Beginning with the second Application for Payment, each Application shall include an affidavit of Contractor stating that all previous progress payments received on account of the Work have been applied on account to discharge Contractor's legitimate obligations associated with prior Applications for Payment.

3. The amount of retainage with respect to progress payments will be as stipulated in the Agreement.

B. Review of Applications

1. Engineer will, within 10 days after receipt of each Application for Payment, either indicate in writing a recommendation of payment and present the Application to Owner or return the Application to Contractor indicating in writing Engineer's reasons for refusing to recommend payment. In the latter case, Contractor may make the necessary corrections and resubmit the Application.

2. Engineer's recommendation of any payment requested in an Application for Payment will constitute a representation by Engineer to Owner, based on Engineer's observations on the Site of the executed Work as an experienced and qualified design professional and on Engineer's review of the Application for Payment and the accompanying data and schedules, that to the best of Engineer's knowledge, information and belief:

a. the Work has progressed to the point indicated;

b. the quality of the Work is generally in accordance with the Contract Documents (subject to an evaluation of the Work as a functioning whole prior to or upon Substantial Completion, to the results of any subsequent tests called for in the Contract Documents, to a final determination of quantities and classifications for Unit Price Work under Paragraph 9.07, and to any other qualifications stated in the recommendation); and

c. the conditions precedent to Contractor's being entitled to such payment appear to have been fulfilled in so far as it is Engineer's responsibility to observe the Work.

3. By recommending any such payment Engineer will not thereby be deemed to have represented that:

a. inspections made to check the quality or the quantity of the Work as it has been performed have been exhaustive, extended to every aspect of the Work in progress, or involved detailed inspections of the Work beyond the responsibilities specifically assigned to Engineer in the Contract Documents; or

b. that there may not be other matters or issues between the parties that might entitle Contractor to be paid additionally by Owner or entitle Owner to withhold payment to Contractor.

4. Neither Engineer's review of Contractor's Work for the purposes of recommending payments nor Engineer's recommendation of any payment, including final payment, will impose responsibility on Engineer:

a. to supervise, direct, or control the Work, or

b. for the means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or

c. for Contractor's failure to comply with Laws and Regulations applicable to Contractor's performance of the Work, or

d. to make any examination to ascertain how or for what purposes Contractor has used the moneys paid on account of the Contract Price, or

e. to determine that title to any of the Work, materials, or equipment has passed to Owner free and clear of any Liens.

5. Engineer may refuse to recommend the whole or any part of any payment if, in Engineer's opinion, it would be incorrect to make the representations to Owner stated in Paragraph 14.02.B.2. Engineer may also refuse to recommend any such payment or, because of subsequently discovered evidence or the results of subsequent

inspections or tests, revise or revoke any such payment recommendation previously made, to such extent as may be necessary in Engineer's opinion to protect Owner from loss because:

- a. the Work is defective, or completed Work has been damaged, requiring correction or replacement;
- b. the Contract Price has been reduced by Change Orders;
- c. Owner has been required to correct defective Work or complete Work in accordance with Paragraph 13.09; or
- d. Engineer has actual knowledge of the occurrence of any of the events enumerated in Paragraph 15.02.A.

C. Payment Becomes Due

1. Ten days after presentation of the Application for Payment to Owner with Engineer's recommendation, the amount recommended will (subject to the provisions of Paragraph 14.02.D) become due, and when due will be paid by Owner to Contractor.

D. Reduction in Payment

1. Owner may refuse to make payment of the full amount recommended by Engineer because:

- a. claims have been made against Owner on account of Contractor's performance or furnishing of the Work;
- b. Liens have been filed in connection with the Work, except where Contractor has delivered a specific bond satisfactory to Owner to secure the satisfaction and discharge of such Liens;
- c. there are other items entitling Owner to a set-off against the amount recommended; or
- d. Owner has actual knowledge of the occurrence of any of the events enumerated in Paragraphs 14.02.B.5.a through 14.02.B.5.c or Paragraph 15.02.A.

2. If Owner refuses to make payment of the full amount recommended by Engineer, Owner will give Contractor immediate written notice (with a copy to Engineer) stating the reasons for such action and promptly pay Contractor any amount remaining after deduction of the amount so withheld. Owner shall promptly pay Contractor the amount so withheld, or any adjustment thereto agreed to by Owner and Contractor, when Contractor corrects to Owner's satisfaction the reasons for such action.

3. If it is subsequently determined that Owner's refusal of payment was not justified, the amount wrongfully withheld shall be treated as an amount due as determined by Paragraph 14.02.C.1.

14.03 Contractor's Warranty of Title

A. Contractor warrants and guarantees that title to all Work, materials, and equipment covered by any Application for Payment, whether incorporated in the Project or not, will pass to Owner no later than the time of payment free and clear of all Liens.

14.04 Substantial Completion

A. When Contractor considers the entire Work ready for its intended use Contractor shall notify Owner and Engineer in writing that the entire Work is substantially complete (except for items specifically listed by Contractor as incomplete) and request that Engineer issue a certificate of Substantial Completion.

B. Promptly after Contractor's notification, , Owner, Contractor, and Engineer shall make an inspection of the Work to determine the status of completion. If Engineer does not consider the Work substantially complete, Engineer will notify Contractor in writing giving the reasons therefor.

C. If Engineer considers the Work substantially complete, Engineer will deliver to Owner a tentative certificate of Substantial Completion which shall fix the date of Substantial Completion. There shall be attached to the certificate a tentative list of items to be completed or corrected before final payment. Owner shall have seven days after receipt of the tentative certificate during which to make written objection to Engineer as to any provisions of the certificate or attached list. If, after considering such objections, Engineer concludes that the Work is not substantially complete, Engineer will within 14 days after submission of the tentative certificate to Owner notify Contractor in writing, stating the reasons therefor. If, after consideration of Owner's objections, Engineer considers the Work substantially complete, Engineer will within said 14 days execute and deliver to Owner and Contractor a definitive certificate of Substantial Completion (with a revised tentative list of items to be completed or corrected) reflecting such changes from the tentative certificate as Engineer believes justified after consideration of any objections from Owner.

D. At the time of delivery of the tentative certificate of Substantial Completion, Engineer will deliver to Owner and Contractor a written recommendation as to division of responsibilities pending final payment between Owner and Contractor with respect to security, operation, safety, and protection of the Work, maintenance, heat, utilities, insurance, and warranties and guarantees. Unless Owner and Contractor agree otherwise in writing and so inform Engineer in writing prior to Engineer's issuing the definitive certificate of Substantial

Completion, Engineer's aforesaid recommendation will be binding on Owner and Contractor until final payment.

E. Owner shall have the right to exclude Contractor from the Site after the date of Substantial Completion subject to allowing Contractor reasonable access to complete or correct items on the tentative list.

14.05 *Partial Utilization*

A. Prior to Substantial Completion of all the Work, Owner may use or occupy any substantially completed part of the Work which has specifically been identified in the Contract Documents, or which Owner, Engineer, and Contractor agree constitutes a separately functioning and usable part of the Work that can be used by Owner for its intended purpose without significant interference with Contractor's performance of the remainder of the Work, subject to the following conditions.

1. Owner at any time may request Contractor in writing to permit Owner to use or occupy any such part of the Work which Owner believes to be ready for its intended use and substantially complete. If and when Contractor agrees that such part of the Work is substantially complete, Contractor will certify to Owner and Engineer that such part of the Work is substantially complete and request Engineer to issue a certificate of Substantial Completion for that part of the Work.

2. Contractor at any time may notify Owner and Engineer in writing that Contractor considers any such part of the Work ready for its intended use and substantially complete and request Engineer to issue a certificate of Substantial Completion for that part of the Work.

3. Within a reasonable time after either such request, Owner, Contractor, and Engineer shall make an inspection of that part of the Work to determine its status of completion. If Engineer does not consider that part of the Work to be substantially complete, Engineer will notify Owner and Contractor in writing giving the reasons therefor. If Engineer considers that part of the Work to be substantially complete, the provisions of Paragraph 14.04 will apply with respect to certification of Substantial Completion of that part of the Work and the division of responsibility in respect thereof and access thereto.

4. No use or occupancy or separate operation of part of the Work may occur prior to compliance with the requirements of Paragraph 5.10 regarding property insurance.

14.06 *Final Inspection*

A. Upon written notice from Contractor that the entire Work or an agreed portion thereof is complete, Engineer will promptly make a final inspection with Owner and Contractor and will notify Contractor in writing of all particulars in which this inspection reveals

that the Work is incomplete or defective. Contractor shall immediately take such measures as are necessary to complete such Work or remedy such deficiencies.

14.07 *Final Payment*

A. Application for Payment

1. After Contractor has, in the opinion of Engineer, satisfactorily completed all corrections identified during the final inspection and has delivered, in accordance with the Contract Documents, all maintenance and operating instructions, schedules, guarantees, bonds, certificates or other evidence of insurance certificates of inspection, marked-up record documents (as provided in Paragraph 6.12), and other documents, Contractor may make application for final payment following the procedure for progress payments.

2. The final Application for Payment shall be accompanied (except as previously delivered) by:

a. all documentation called for in the Contract Documents, including but not limited to the evidence of insurance required by Paragraph 5.04.B.7;

b. consent of the surety, if any, to final payment;

c. a list of all Claims against Owner that Contractor believes are unsettled; and

d. complete and legally effective releases or waivers (satisfactory to Owner) of all Lien rights arising out of or Liens filed in connection with the Work.

3. In lieu of the releases or waivers of Liens specified in Paragraph 14.07.A.2 and as approved by Owner, Contractor may furnish receipts or releases in full and an affidavit of Contractor that: (i) the releases and receipts include all labor, services, material, and equipment for which a Lien could be filed; and (ii) all payrolls, material and equipment bills, and other indebtedness connected with the Work for which Owner or Owner's property might in any way be responsible have been paid or otherwise satisfied. If any Subcontractor or Supplier fails to furnish such a release or receipt in full, Contractor may furnish a bond or other collateral satisfactory to Owner to indemnify Owner against any Lien.

B. *Engineer's Review of Application and Acceptance*

1. If, on the basis of Engineer's observation of the Work during construction and final inspection, and Engineer's review of the final Application for Payment and accompanying documentation as required by the Contract Documents, Engineer is satisfied that the Work has been completed and Contractor's other obligations

under the Contract Documents have been fulfilled, Engineer will, within ten days after receipt of the final Application for Payment, indicate in writing Engineer's recommendation of payment and present the Application for Payment to Owner for payment. At the same time Engineer will also give written notice to Owner and Contractor that the Work is acceptable subject to the provisions of Paragraph 14.09. Otherwise, Engineer will return the Application for Payment to Contractor, indicating in writing the reasons for refusing to recommend final payment, in which case Contractor shall make the necessary corrections and resubmit the Application for Payment.

C. Payment Becomes Due

1. Thirty days after the presentation to Owner of the Application for Payment and accompanying documentation, the amount recommended by Engineer, less any sum Owner is entitled to set off against Engineer's recommendation, including but not limited to liquidated damages, will become due and , will be paid by Owner to Contractor.

14.08 *Final Completion Delayed*

A. If, through no fault of Contractor, final completion of the Work is significantly delayed, and if Engineer so confirms, Owner shall, upon receipt of Contractor's final Application for Payment (for Work fully completed and accepted) and recommendation of Engineer, and without terminating the Contract, make payment of the balance due for that portion of the Work fully completed and accepted. If the remaining balance to be held by Owner for Work not fully completed or corrected is less than the retainage stipulated in the Agreement, and if bonds have been furnished as required in Paragraph 5.01, the written consent of the surety to the payment of the balance due for that portion of the Work fully completed and accepted shall be submitted by Contractor to Engineer with the Application for such payment. Such payment shall be made under the terms and conditions governing final payment, except that it shall not constitute a waiver of Claims.

14.09 *Waiver of Claims*

A. The making and acceptance of final payment will constitute:

1. a waiver of all Claims by Owner against Contractor, except Claims arising from unsettled Liens, from defective Work appearing after final inspection pursuant to Paragraph 14.06, from failure to comply with the Contract Documents or the terms of any special guarantees specified therein, or from Contractor's continuing obligations under the Contract Documents; and

2. a waiver of all Claims by Contractor against Owner other than those previously made in accordance

with the requirements herein and expressly acknowledged by Owner in writing as still unsettled.

ARTICLE 15 - SUSPENSION OF WORK AND TERMINATION

15.01 *Owner May Suspend Work*

A. At any time and without cause, Owner may suspend the Work or any portion thereof for a period of not more than 90 consecutive days by notice in writing to Contractor and Engineer which will fix the date on which Work will be resumed. Contractor shall resume the Work on the date so fixed. Contractor shall be granted an adjustment in the Contract Price or an extension of the Contract Times, or both, directly attributable to any such suspension if Contractor makes a Claim therefor as provided in Paragraph 10.05.

15.02 *Owner May Terminate for Cause*

A. The occurrence of any one or more of the following events will justify termination for cause:

1. Contractor's persistent failure to perform the Work in accordance with the Contract Documents (including, but not limited to, failure to supply sufficient skilled workers or suitable materials or equipment or failure to adhere to the Progress Schedule established under Paragraph 2.07 as adjusted from time to time pursuant to Paragraph 6.04);

2. Contractor's disregard of Laws or Regulations of any public body having jurisdiction;

3. Contractor's disregard of the authority of Engineer; or

4. Contractor's violation in any substantial way of any provisions of the Contract Documents.

B. If one or more of the events identified in Paragraph 15.02.A occur, Owner may, after giving Contractor (and surety) seven days written notice of its intent to terminate the services of Contractor:

1. exclude Contractor from the Site, and take possession of the Work and of all Contractor's tools, appliances, construction equipment, and machinery at the Site, and use the same to the full extent they could be used by Contractor (without liability to Contractor for trespass or conversion),

2. incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored elsewhere, and

3. complete the Work as Owner may deem expedient.

C. If Owner proceeds as provided in Paragraph 15.02.B, Contractor shall not be entitled to receive any further payment until the Work is completed. If the unpaid balance of the Contract Price exceeds all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) sustained by Owner arising out of or relating to completing the Work, such excess will be paid to Contractor. If such claims, costs, losses, and damages exceed such unpaid balance, Contractor shall pay the difference to Owner. Such claims, costs, losses, and damages incurred by Owner will be reviewed by Engineer as to their reasonableness and, when so approved by Engineer, incorporated in a Change Order. When exercising any rights or remedies under this Paragraph Owner shall not be required to obtain the lowest price for the Work performed.

D. Notwithstanding Paragraphs 15.02.B and 15.02.C, Contractor's services will not be terminated if Contractor begins within seven days of receipt of notice of intent to terminate to correct its failure to perform and proceeds diligently to cure such failure within no more than 30 days of receipt of said notice.

E. Where Contractor's services have been so terminated by Owner, the termination will not affect any rights or remedies of Owner against Contractor then existing or which may thereafter accrue. Any retention or payment of moneys due Contractor by Owner will not release Contractor from liability.

F. If and to the extent that Contractor has provided a performance bond under the provisions of Paragraph 5.01.A, the termination procedures of that bond shall supersede the provisions of Paragraphs 15.02.B, and 15.02.C.

15.03 *Owner May Terminate For Convenience*

A. Upon seven days written notice to Contractor and Engineer, Owner may, without cause and without prejudice to any other right or remedy of Owner, terminate the Contract. In such case, Contractor shall be paid for (without duplication of any items):

1. completed and acceptable Work executed in accordance with the Contract Documents prior to the effective date of termination, including fair and reasonable sums for overhead and profit on such Work;

2. expenses sustained prior to the effective date of termination in performing services and furnishing labor, materials, or equipment as required by the Contract Documents in connection with uncompleted Work, plus fair and reasonable sums for overhead and profit on such expenses;

3. all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) incurred in settlement of terminated contracts with Subcontractors, Suppliers, and others; and

4. reasonable expenses directly attributable to termination.

B. Contractor shall not be paid on account of loss of anticipated profits or revenue or other economic loss arising out of or resulting from such termination.

15.04 *Contractor May Stop Work or Terminate*

A. If, through no act or fault of Contractor, (i) the Work is suspended for more than 90 consecutive days by Owner or under an order of court or other public authority, or (ii) Engineer fails to act on any Application for Payment within 30 days after it is submitted, or (iii) Owner fails for 30 days to pay Contractor any sum finally determined to be due, then Contractor may, upon seven days written notice to Owner and Engineer, and provided Owner or Engineer do not remedy such suspension or failure within that time, terminate the Contract and recover from Owner payment on the same terms as provided in Paragraph 15.03.

B. In lieu of terminating the Contract and without prejudice to any other right or remedy, if Engineer has failed to act on an Application for Payment within 30 days after it is submitted, or Owner has failed for 30 days to pay Contractor any sum finally determined to be due, Contractor may, seven days after written notice to Owner and Engineer, stop the Work until payment is made of all such amounts due Contractor, including interest thereon. The provisions of this Paragraph 15.04 are not intended to preclude Contractor from making a Claim under Paragraph 10.05 for an adjustment in Contract Price or Contract Times or otherwise for expenses or damage directly attributable to Contractor's stopping the Work as permitted by this Paragraph.

ARTICLE 16 - DISPUTE RESOLUTION

16.01 *Methods and Procedures*

A. Either Owner or Contractor may request mediation of any Claim submitted to Engineer for a decision under Paragraph 10.05 before such decision becomes final and binding. The mediation will be

governed by the Construction Industry Mediation Rules of the American Arbitration Association in effect as of the Effective Date of the Agreement. The request for mediation shall be submitted in writing to the American Arbitration Association and the other party to the Contract. Timely submission of the request shall stay the effect of Paragraph 10.05.E.

B. Owner and Contractor shall participate in the mediation process in good faith. The process shall be concluded within 60 days of filing of the request. The date of termination of the mediation shall be determined by application of the mediation rules referenced above.

C. If the Claim is not resolved by mediation, Engineer's action under Paragraph 10.05.C or a denial pursuant to Paragraphs 10.05.C.3 or 10.05.D shall become final and binding 30 days after termination of the mediation unless, within that time period, Owner or Contractor:

1. elects in writing to invoke any dispute resolution process provided for in the Supplementary Conditions, or

2. agrees with the other party to submit the Claim to another dispute resolution process, or

3. gives written notice to the other party of their intent to submit the Claim to a court of competent jurisdiction.

ARTICLE 17 - MISCELLANEOUS

17.01 *Giving Notice*

A. Whenever any provision of the Contract Documents requires the giving of written notice, it will be deemed to have been validly given if:

1. delivered in person to the individual or to a member of the firm or to an officer of the corporation for whom it is intended, or

2. delivered at or sent by registered or certified mail, postage prepaid, to the last business address known to the giver of the notice.

17.02 *Computation of Times*

A. When any period of time is referred to in the Contract Documents by days, it will be computed to exclude the first and include the last day of such period. If the last day of any such period falls on a Saturday or Sunday or on a day made a legal holiday by the law of the applicable jurisdiction, such day will be omitted from the computation.

17.03 *Cumulative Remedies*

A. The duties and obligations imposed by these General Conditions and the rights and remedies available hereunder to the parties hereto are in addition to, and are not to be construed in any way as a limitation of, any rights and remedies available to any or all of them which are otherwise imposed or available by Laws or Regulations, by special warranty or guarantee, or by other provisions of the Contract Documents. The provisions of this Paragraph will be as effective as if repeated specifically in the Contract Documents in connection with each particular duty, obligation, right, and remedy to which they apply.

17.04 *Survival of Obligations*

A. All representations, indemnifications, warranties, and guarantees made in, required by, or given in accordance with the Contract Documents, as well as all continuing obligations indicated in the Contract Documents, will survive final payment, completion, and acceptance of the Work or termination or completion of the Contract or termination of the services of Contractor.

17.05 *Controlling Law*

A. This Contract is to be governed by the law of the state in which the Project is located.

17.06 *Headings*

A. Article and paragraph headings are inserted for convenience only and do not constitute parts of these General Conditions.

SECTION 8: SUPPLEMENTARY CONDITIONS

- 8.0. These Supplementary Conditions amend or supplement the Standard General Conditions of the Construction Contract (EJCDC C-700, 2002 edition) and other provisions of the Contract Documents as indicated below. All provisions which are not so amended or supplemented remain in full force and effect.

The terms used in these Supplementary Conditions, which are defined in the Standard General Conditions of the Construction Contract (EJCDC C-700, 2002 edition), have the meanings assigned to them in the General Conditions.

8.1. ARTICLE 1 – DEFINITIONS AND TERMINOLOGY

No changes.

8.2. ARTICLE 2 – PRELIMINARY MATTERS

Section 2.01B – DELETE paragraph 2.01.B of the General Conditions in its entirety and insert the following in its place:

“Within 15 days after the Effective Date of the Agreement, but before any Work at the site is started, Contractor shall deliver to Owner, with a copy to Engineer, certificates and other evidence of insurance requested by Owner which Contractor is required to purchase and maintain in accordance with paragraphs 5.03 through 5.06 of the General Conditions, as amended in these Supplementary Conditions.”

Section 2.03 – DELETE paragraph 2.03 of the General Conditions in its entirety and insert the following in its place:

“The Contractor shall complete, in an acceptable manner, all of the work contracted for in the time stated herein. Computation of Contract Time shall commence on the start date given in the Notice to Proceed, and every calendar day following, except as herein provided, shall be counted as Contract Time, in accordance with Paragraph 17.02.”

8.3. ARTICLE 3 – CONTRACT DOCUMENTS: INTENT, AMENDING, REUSE

No changes.

8.4. ARTICLE 4 – AVAILABILITY OF LANDS, ETC.

No changes.

8.5. ARTICLE 5 – BONDS AND INSURANCE

Section 5.04 Contractor's Liability Insurance – The limits of liability for the insurance required by paragraph 5.04 of the General Conditions shall provide coverage for not less than the following amounts or greater where required by Laws or Regulations:

Section 5.04.A.1 and 5.04.A.2 Workers' Compensation, etc.:

- | | | |
|-----|----------------------|-----------|
| (1) | State | Statutory |
| (2) | Employer's Liability | Statutory |

5.04.A.3, 5.04.A.4, 5.04.A.5 and 5.04.A.6 Comprehensive General Liability:

- | | | |
|-----|--|----------------------------------|
| (1) | General Liability: | |
| | \$2,000,000 | General Aggregate |
| | \$2,000,000 | Products - Comp/Op Aggregate |
| | \$1,000,000 | Each Occurrence |
| | \$ 50,000 | Fire Damage (any one fire) |
| | \$ 5,000 | Medical Expense (any one person) |
| (2) | Property Damage Liability Insurance will provide Explosion, Collapse, and Underground coverages, where applicable. | |
| (3) | Personal Injury with employment exclusion deleted. | |
| | \$1,000,000 | Annual Aggregate |

5.04.A.6 Comprehensive Automobile Liability:

- | | |
|------------------------------|-----------------|
| Combined Single Limit (CSL): | |
| \$1,000,000 | Each Occurrence |

5.04.A.7 Excess Liability Insurance shall provide an umbrella coverage for both Comprehensive General Liability (paragraphs GC-5.04.A.3 through GC-5.04.A.5) and Comprehensive Automobile Liability (paragraph GC-5.04.A.6) with a minimum limit of \$5,000,000.

5.04.A.8 Railroad Protective Liability Insurance shall be provided, where applicable:

Limit of Liability:

\$2,000,000	Each Occurrence
\$6,000,000	Annual Aggregate

Section 5.05 Owner's Liability Insurance – DELETE paragraph 5.05 of the General Conditions in its entirety and insert the following in its place:

“The Contractor, under paragraphs GC-5.02, 5.03 and 5.04, shall provide such contractual coverage sufficiently broad to insure the Owner, the Engineer, their consultants, and each of their officers, agents, and employees as additional insured under the comprehensive general liability insurance required.”

Section 5.06A – DELETE paragraph 5.06.A of the General Conditions in its entirety and insert the following in its place:

“5.06.A Contractor shall purchase and maintain until final payment property insurance upon the Work at the site to the full insurable value thereof (subject to such deductible amounts as may be provided in these Supplementary Conditions or required by Laws and Regulations). This insurance shall include the interests of Owner, Contractor, Subcontractors, Engineer and Engineer's consultants in the Work (all of whom shall be listed as insureds or additional insured parties), shall insure against the perils of fire and extended coverage, shall include "all-risk" insurance for physical loss and damage including theft, vandalism and malicious mischief, collapse and water damage, and such other perils as may be provided in these Supplementary Conditions, and shall include damages, losses and expenses arising out of or resulting from any insured loss or incurred in the repair or replacement of any insured property (including but not limited to fees and charges of engineers, architects, attorneys and other professionals). If not covered under the "all-risk" insurance or otherwise provided in these Supplementary Conditions, Contractor shall purchase and maintain similar property insurance on portions of the Work stored on and off the site or in transit when such portions of the Work are to be included in an Application for Payment.”

Section 5.06B – DELETE paragraph 5.06.B of the General Conditions in its entirety and insert the following in its place:

“Contractor shall purchase and maintain such boiler and machinery insurance or additional property insurance as may be required by the Laws or Regulations which will include the interests of the Contractor, Owner, Subcontractors,

Engineer, and Engineer's consultants in the Work, all of whom shall be listed as insured or additional insured parties.”

Section 5.06E – DELETE paragraph 5.06.E of the General Conditions in its entirety.

8.6. ARTICLE 6 – CONTRACTOR’S RESPONSIBILITIES

Section 6.06B – DELETE paragraph 6.06.B of the General Conditions in its entirety and insert the following in its place:

“As part of the BID or the PROPOSAL, the Owner shall require the identity of the Contractor’s proposed Subcontractors and Suppliers of Equipment or Materials in order to better evaluate the proposal or bid. In the instance where identification of Suppliers is required, supply only one name per equipment or material item.”

Section 6.08 Permits – Responsibility for obtaining permits shall be as described in General Requirements. All other requirements of paragraph 6.08 of the General Conditions still apply to the Contract Documents.

Section 6.10 Taxes – ADD the following language at the end of paragraph 6.10 of the General Conditions:

“Contractor shall apply for Exemption for Sales Tax (if applicable) in accordance with paragraph TAX EXEMPTIONS in General Requirements.”

Section 6.19 Contractor’s Warranty and Guarantee – Paragraph 6.19 of the General Conditions is supplemented by the requirements of General Requirements, and further herein that the Contractor shall provide the Owner with a 12-month written warranty or guarantee of all equipment, materials, and workmanship for all work performed in accordance with the Contract Documents for the time specified.

Section 6.20A – DELETE paragraph 6.20.A of the General Conditions in its entirety and insert the following in its place:

“The Contractor and Subcontractor (hereinafter "Indemnitors") hereby agree to indemnify, save and hold harmless, and defend at its own expense the Owner and Engineer, their respective partners, agents, employees, and any other person or entity for whom any of them may be legally responsible (herein collectively called "Indemnitees") against all claims, losses, damages, suits, costs and expenses, including attorneys' fees, or actions of any nature whatsoever which arise out of the work to be performed by the Indemnitor; including without limiting the generality of the foregoing, all liability for claims for death or damage to property, including the loss or use thereof and consequential damages therefrom, or

damages for economic loss to any Indemnatee or Indemnitor or its employees, servants and agents whether based upon, or claimed to be based upon, statutory, contractual, tort, or other liability of any Indemnatee caused or alleged to be caused by the joint, or several, or comparative (but not sole) negligence, breach of contract, breach of warranty, strict liability, or other breach of duty by any Indemnatee.

If any part of this provision is adjudged to be contrary to law, the remaining parts of the provision shall in all other respects be and remain legally effective and binding. Moreover, this provision shall not be construed to eliminate or in any way reduce any other indemnification or other right which the Owner or the Engineer has by law.”

8.7. ARTICLE 7 – OTHER WORK AT THE SITE

Section 7.02 – DELETE paragraph 7.02 of the General Conditions in its entirety and insert the following in its place:

“When the Owner contracts with others for performance of other work on the Project at the site, the Owner will identify a construction coordinator who will have authority and responsibility for coordination of the activities among the various prime contractors' work at the site.”

8.8. ARTICLE 8 – OWNER’S RESPONSIBILITIES

No changes.

8.9. ARTICLE 9 – ENGINEER’S STATUS DURING CONSTRUCTION

Section 9.03A – ADD the following language to paragraph 9.03.A of the General Conditions which is to read as follows:

“The following is a listing of the "Duties, Responsibilities, and Limitations of Authority of Resident Project Representative" and supplements paragraph 9.03.A of the General Conditions:

DUTIES, RESPONSIBILITIES, AND LIMITATIONS OF THE AUTHORITY
OF THE RESIDENT PROJECT REPRESENTATIVE

- A. GENERAL: The Resident Project Representative is the Engineer’s Agent and shall act under the supervision and direction of the Engineer. He shall confer with the Engineer regarding his actions and shall generally communicate with the Owner only through the Engineer.

B. DUTIES AND RESPONSIBILITIES: The Resident Project Representative shall:

1. Schedule: Review the progress schedule prepared by the Contractor for compliance with the contract and give written advice to the Engineer concerning its acceptability.
2. Conferences: Attend pre-construction conferences. Arrange a schedule of progress meetings and other job conferences as required in consultation with the Engineer and notify those expected to attend in advance. Maintain and circulate copies of records of the meetings.
3. Liaison: Serve as the Engineer's liaison with the Contractor working principally through the Contractor's superintendent. Alert the Contractor, through his superintendent, to the hazards involved in accepting and acting upon instructions from the Owner or others, except such instructions transmitted through the Engineer.
 - a. Cooperate with the Contractor in his dealings with the various local agencies having jurisdiction over the Project in order to complete service connections to public utilities and facilities.
 - b. Assist the Engineer in obtaining from the Owner additional details or information, when required, at the job site for proper execution of the work.
3. Approvals: Assist the Engineer in obtaining from the Contractor a list of his proposed supplies and subcontractors.
5. Samples: Assist the Engineer in obtaining field samples of materials delivered to the site which are required to be furnished, and keep record of actions taken by Engineer.
6. Shop Drawings:
 - a. Receive approved shop drawings and other submissions from the Engineer; record data received, maintain a file of the drawings and submissions, and check construction for compliance with them.
 - b. Alert the Contractor's superintendent when he observes materials or equipment being installed before approval of shop drawings or samples, where such are required, and

advise the Engineer when he believes it is necessary to disapprove work as failing to conform to the Contract Documents.

7. Review of Work, Inspections, and Tests: Conduct on-site observations of the work in progress for the Engineer as a basis for determining that the Project is proceeding in accordance with the Contract Documents, and report to the Engineer whenever he believes that any work should be rejected or specially tested, or that the work should be stopped to ensure that the completed Project will comply with the requirements of the Contract Documents.
 - a. Verify that tests, including equipment and systems startup, which are required by the Contract Documents are conducted and that the Contractor maintains adequate records thereof; observe, record, and report to the Engineer appropriate details relative to the test procedures and startups.
 - b. Accompany visiting inspectors representing public or other agencies having jurisdiction over the Project, record the outcome of these inspections, and report to the Engineer.
8. Interpretations of Contract Documents: Transmit to the Contractor the Engineer's interpretations of the Contract Documents.
9. Modifications: Consider and evaluate Contractor's suggestions for modifications in drawings or specifications and report them with recommendations to the ENGINEER.
10. Records: Maintain at the job site orderly files for correspondence, reports of job conferences, shop drawings, and other submissions, reproductions of original Contract Documents including all addenda, change orders, field orders, and additional drawings issued subsequent to the award of the contract, the Engineer's interpretations of the Contract Documents, progress reports, and other Project related documents.
 - a. Keep a diary, log book, or daily report recording hours on the job site, weather conditions in general, and specific observations in more detail as in the case of observing test procedures.
 - b. Record names, addresses, and telephone numbers of all Contractors, subcontractors, and major material suppliers.

- c. Maintain a set of drawings on which authorized changes are noted and deliver to the Engineer at the completion of the Project.
- 11. Reports: Furnish the Engineer periodic reports, as required, of progress of the Project and the Contractor's compliance with approved progress schedule.
 - a. Consult with the Engineer in advance of scheduled major tests, inspections, or start of important phases of the Project.
- 12. Payment Requisitions: Review applications for payment with the Contractor for compliance with the established procedure for their submission and forward them with recommendations to the Engineer, noting particularly their relation to the work completed and materials and equipment delivered at the site.
- 13. Guarantees, Certificates, Maintenance and Operation Manuals: During the course of the work, assemble Guarantees, Certificates, Maintenance and Operation Manuals, and other required data to be furnished by the Contractor; and upon acceptance of the project, deliver this material to the Engineer for his review and forwarding to the Owner.
- 14. Completion: Prior to inspection for substantial completion, submit to the Contractor a list of observed items requiring correction.
 - a. Conduct final inspection in the company of the Engineer and the Owner and prepare a final list of items to be corrected.
 - b. Verify that all items on final list have been corrected and make recommendations to the Engineer concerning acceptance.
- C. LIMITATIONS OF AUTHORITY: Except upon written instructions of the Engineer, the Resident Project Representative:
 - 1. shall not authorize any deviation from the Contract Documents;
 - 2. shall not undertake any of the responsibilities of the Contractor, the subcontractors, or the Contractor's superintendent;
 - 3. shall not expedite the work for the Contractor;
 - 4. shall not advise on or issue directions relative to any aspect of the means, methods, techniques, sequences, or procedures of

- construction, unless such is specifically called for in the Contract Documents;
5. shall not authorize the Owner to occupy the Project in whole or in part;
 6. shall not participate in specialized field or laboratory tests.”

8.10. ARTICLE 10 – CHANGES IN THE WORK; CLAIMS

No changes.

8.11. ARTICLE 11 – COST OF THE WORK; ALLOWANCES; UNIT PRICE WORK

Section 11.03D – DELETE paragraph 11.03D of the General Conditions in its entirety and insert the following in its place:

“The unit price of an item of Unit Price Work shall be subject to re-evaluation and adjustment under the following conditions:

11.03D.1 if the total cost of a particular item of Unit Price Work amounts to 10% or more of the Contract Price and the variation in the quantity of that particular item of Unit Price Work performed by Contractor differs by more than 25% from the estimated quantity of such item indicated in the Agreement; and

11.03.D.2 if there is no corresponding adjustment with respect to any other item of Work; and

11.03.D.3 if Engineer believes that Contractor has incurred additional expense as a result thereof; or

11.03.D.4 if Owner believes that the quantity variation entitles it to an adjustment in the unit price.

Either Owner or Contractor may make a claim for an adjustment in the Contract Price in accordance with Article 11 if the parties are unable to agree as to the effect of any such variations in the quantity of Unit Price Work Performed.”

8.12. ARTICLE 12 – CHANGE OF CONTRACT PRICE; etc.

No changes.

8.13. ARTICLE 13 – TESTS AND INSPECTIONS; etc.

No changes.

8.14. ARTICLE 14 – PAYMENTS TO CONTRACTOR AND COPLETION

Section 14.01 Schedule of Values – INSERT the following paragraph 14.01.B:

“14.01.B Detail Breakdown of Contract Amount. Except in cases where unit prices form the basis for payment under the contract, the CONTRACTOR shall, within ten days of receipt of Notice to Proceed, submit a complete itemization of the contract Amount showing the value assigned to each part of the work, including an allowance for profit and overhead. Upon approval of the itemization of the Contract Amount by the ENGINEER, it shall be used as the basis for all Requests for Payment. The ENGINEER will prepare all requests for payment with input from the CONTRACTOR and Resident Representative.”

Section 14.02A.1 – Progress Payments. DELETE paragraph 14.02.A.1. in its entirety and insert the following in its place:

“14.02.A.1. At the date established for each progress payment (but not more often than once a month), CONTRACTOR shall submit to the ENGINEER a Request for Payment for work done and materials delivered and stored on the site. Each Request for Payment shall be computed on the basis of work completed on all items listed in the detailed Itemization of Contract (or on unit prices), less retainage. The CONTRACTOR shall furnish the ENGINEER and OWNER all reasonable information required for obtaining the necessary data relative to the progress and execution of the work. If payment is requested on the basis of materials and equipment not incorporated in the Work but delivered and suitably stored at the Site or another location agreed to in writing, the Request for Payment shall be accompanied by evidence that the materials and equipment are covered by appropriate property insurance, all of which must be satisfactory to the Owner. “

Section 14.02A.3 – Retainage. DELETE paragraph 14.02.A.3. in its entirety and insert the following in its place:

“14.02.A.3. The retainage contract between the OWNER and CONTRACTOR must state that 10% of the first 50% of funds including Owner approved Change Orders will be retained pending satisfactory completion. No retainage will be withheld on stored materials. When the contract price exceeds \$100,000, the CONTRACTOR will have provisions of IC-36-1-12-14 apply to the prime agreement and his subcontracts. Either the OWNER or CONTRACTOR, or both, shall place the retainage in an escrow account with a bank, savings and loan institution, or the state as an escrow agent. The escrow agent shall be selected by mutual agreement between the OWNER and CONTRACTOR or CONTRACTOR and Subcontractor under written agreement among the parties involved. The election of using this provision of the Indiana Code shall be evidenced by written notice to the OWNER and ENGINEER prior to the first Request for Payment. This election is not available if the work is for highways, roads, streets, alleys,

bridges, and appurtenant structures situated on streets, alleys, and dedicated highway rights-of-way. Failure to provide said notice shall constitute a waiver of any rights provided in IC-36-1-12-14.

Section 14.02.A.4. Payment for Stored Materials and Equipment. The following conditions apply to payment for stored materials and equipment allowed by Article 14.02.A.1:

14.02.A.4.a. Except in the instance of a material or equipment item that involves little or no installation cost, payment for stored materials or equipment shall not exceed 75 percent of the value of the item on the schedule of values (for lump sum contracts) or the pay item (for unit price contracts) that covers the materials or equipment being claimed. For material or equipment items that involve little or no installation cost, the percentage of the value of the item that will be paid for stored materials will be as agreed upon by the Engineer, Owner and Contractor.

14.02.A.4.b. Contractor shall submit paid invoices or releases of lien from the appropriate materials and equipment suppliers within 30 days of each pay request containing a request for payment for that particular item of stored materials or equipment. If this information is not provided within the specified time, funds may be deducted from the next pay request.

14.02.A.4.c. No retainage will be withheld on stored materials.

14.02.A.4.d. Payment for stored materials and equipment is generally intended to apply only to major materials and equipment items, as determined by the Engineer.”

Section 14.02C – DELETE paragraph 14.02C in its entirety and insert the following in its place:

“14.02C Action on Request for Payment. Within thirty (30) days after receipt of a Contractor’s Request for Payment with Engineer’s recommendation, the Owner shall:

14.02C.1 Pay the Request for Payment as recommended by the Engineer.

14.02C.2 Pay such other amount as he shall decide is due the Contractor, informing the Contractor and the Engineer in writing of his reasons for paying the amended amount.

14.02C.3 Withhold payment informing the Contractor and the Engineer of his reasons for withholding payment.”

Section 14.02D.1.e and f – INSERT the following paragraphs 14.02.D.1.e. and 14.02.D.1.f.:

“14.02.D.1.e. Failure of the Contractor to make payments due to Subcontractors, material suppliers, or employees.

14.02.D.1.f. Damage to another contractor.”

Section 14.02E – INSERT the following Article 14.02.E.:

“14.02.E Other Payment to Contractor Provisions

14.02.E.1 Uncorrected Work. Should the Owner direct the Contractor to correct work that has been damaged or that was not performed in accordance with the Contract Documents, an equitable deduction from the Contract Amount shall be made to compensate the Owner for the Uncorrected work.

14.02.E.2 Payment for Removal of Rejected Work and Materials. The removal of work and materials rejected in accordance with paragraph 13.06.A of the General Conditions and the re-execution of acceptable work by the Contractor shall be at the expense of the Contractor, and he shall pay the cost of replacing the work of other contractors destroyed or damaged by the removal of the rejected work or materials and the subsequent replacement of acceptable work.

14.02.E.2.a Removal by Owner: Removal of rejected work or materials and storage of materials by the Owner, in accordance with paragraph 13.09.A of the General Conditions, shall be paid by the Contractor within 30 days after written notice to pay is given by the Owner. If the Contractor does not pay the expenses of such removal and after 10 ten days written notice being given by the Owner of his intent to sell the materials, the Owner may sell the materials at auction or at private sale and will pay the Contractor the net proceeds therefrom after deducting all the costs and expenses that should have been borne by the Contractor.

14.02.E.3 Procedure for payment associated with approved Extra Work shall be the same as that for original Contract Work.”

Section 14.04C.1 – INSERT paragraph 14.04.C.1 as follows:

“14.04C.1 When substantial Completion is agreed upon by all parties, a final list of items to be completed or corrected shall be attached to the Certificate of Substantial Completion. An amount equal to 200% of the estimated value of the remaining work will be withheld pending satisfactory completion of all remaining work and will constitute final payment for the project as described in paragraphs GC-14.07 through GC-14.08 of the General Conditions.”

Section 14.07A.4 – INSERT paragraph 14.07.A.4 as follows:

“14.07.A.4 Contractor must submit to the Engineer within 30 days of the Final Completion date, all maintenance and operating manuals, schedules, guarantees, equipment test reports and as-built drawings noting all changes during construction. Failure to submit all items listed will cause the Engineer not to approve final payment recommendation to the Owner.”

8.15. ARTICLE 15 – SUSPENSION OF WORK AND TERMINATION

No changes.

8.16. ARTICLE 16 – DISPUTE RESOLUTION

Section 16.01A – AMEND paragraph 16.01.A of the General Conditions to read:

“16.01.A Either Owner or Contractor, when mutually agreed to by both parties, may request mediation of any Claim submitted to Engineer for a decision under Paragraph 10.05 before such decision becomes final and binding. The mediation will be governed by the Construction Industry Mediation Rules of the American Arbitration Association in effect as of the Effective Date of the Agreement. The request for mediation shall be submitted in writing to the American Arbitration Association and the other party to the Contract. Timely submission of the request shall stay the effect of Paragraph 10.05.E.”

8.17. ARTICLE 17 – MISCELLANEOUS

No changes.

SECTION 9: GENERAL REQUIREMENTS

9.1 WORK UNDER THIS CONTRACT

- A. The Contract work provides for the construction of the 2015 Fall Resurfacing Project in Westfield, Indiana and other related items pertinent and incidental thereof, including the furnishing of all labor, materials, supplies, equipment, work and services, ready for satisfactory and continuous operation, in accordance with the drawings and specifications.
- B. Work to be performed shall be in accordance with specifications prepared by the Engineer.

9.2 TAX EXEMPTIONS (For Indiana Projects)

- A. Under the terms of the Sales Tax Act, the purchase or renting of all tangible personal property and certain other items, such as accommodations or utilities by the ultimate consumer, is subject to 7% sales tax unless such purchase is subject to statutory exemption.
- B. The Owner believes that this Project is a governmental function and that all purchases of tangible personal property, materials, and goods associated with this project are exempt from Indiana Sales Tax. The Contractor shall apply for an "Exemption Certificate for Construction Contractors," Form ST-134 from the Indiana Department of Revenue.

9.3 LOCAL LABOR AND MATERIALS

- A. Whenever possible, the Contractor, his subcontractors, material men, or others who employ labor, shall employ such labor locally.
- B. The Contractor shall purchase materials such as sand, cement, gravel, pipe, steel, lumber, etc., from local dealers wherever such local dealers' prices meet competitors' and where such materials meet the specifications.
- C. Successful bidders must submit a list of all subcontractors who will perform work on the project and written, signed statements from authorized agents of the labor pools which they will or may deal for employees on the work, together with supporting information to the effect that said labor pools' practices and policies are in conformity with Executive Order No. 11246 and that said labor pools will affirmatively cooperate in or offer no hindrance to the recruitment, employment, and equal treatment of employees seeking employment and performing work under the Contract, or a certification as to what efforts have been made to

secure such statements when such agents or labor pools have failed or refused to furnish prior to the award of the contract.

9.4 RIGHTS OF ACCESS

The Contractor agrees that representatives of the Engineer, Owner, Environmental Protection Agency, U. S. Corps of Engineers, and the State of Indiana will have access to the work wherever it is in preparation or progress and that the Contractor will provide facilities for such access and inspection.

9.5 SAFETY AND HEALTH REGULATIONS FOR CONSTRUCTION

The Contractor shall be solely responsible for all obligations prescribed as employer obligations under Chapter XVII of Title 29, Code of Federal Regulations, Part 1926, otherwise known as "Safety and Health Regulations for Construction" and CFR Part 1910.46 "Permit Required for Confined Space." The Contractor shall provide the Owner and Engineer the name of the Contractor's Safety Officer, plus the on-site safety representative, if other than the superintendent as indicated under Article 6.21 of the General Conditions of the Construction Contract.

9.6 DISCOVERY OF HAZARDOUS MATERIAL

Hazardous materials are not believed to be present in the work area, unless stated otherwise in the Special Provisions. However, if during the course of this work, the existence of hazardous material is observed in the work area, the Contractor shall immediately notify the Owner in writing. The Contractor shall not perform any work pertinent to the hazardous material prior to receipt of special instructions from the Owner. Should any hazardous material control measures be required, the cost thereof shall be handled by an appropriate Change Order or a separate contract or subcontract with the Owner.

9.7 EASEMENTS

- A. The Owner will obtain right-of-way easements over and through certain private lands for the construction and rehabilitation. The width or limits of such rights-of-way will be defined by the Owner before the work or construction shall begin. If the methods of construction employed by the Contractor are such as to require the use of land beyond the limits obtained, he shall make his own agreements with the property owners affected for the use of such additional land.
- B. In all such right-of-way easements, the Contractor shall be required to carefully remove the property owner's fences, or other obstacles to the construction procedure, and replace the same after the work is installed.

The backfilling shall be to the grade of the existing ground level or to the grade as established by the property owner in the event the property owner permits the deposit of excess material upon such land.

- C. The cost of all such restoration of property shall be included in other pay items, and no additional payment will be allowed for this work.

9.8 OPERATIONS WITHIN RIGHT-OF-WAY

In public thoroughfares, all operations of the Contractor, including those of temporary nature, must be confined within the applicable right-of-way limits. If the methods of the construction employed by the Contractor are such as to require the use of land beyond the public thoroughfares, he shall make his own agreements with the property owners affected for the use of such additional land. Such additional agreements will not include any liability for the Owner or Engineer and shall have no direct effect on the completion of the project or the time of completion.

9.9 PERMITS

- A. The Owner will obtain permits which relate to the completed facilities. Permits obtained by the Owner include, but are not limited to, permits from the following:

All Permits by Contractor

- B. The Contractor shall obtain permits as specified in the General Conditions.
 - 1. All necessary permits, fees, or licenses required by the Contractor from the city, state or county in connection with this project shall be obtained by and at the expense of the Contractor. The construction under or along existing roads or highways shall be performed by the Contractor in full accordance with any and all requirements of the Indiana Department of Transportation, City, or County Highway Department, including those applying to barricades, watchmen, guarding, lighting, storage of supplies, equipment and excavated materials, method of backfilling, final grading, replacement of pavement or road surface, and all other conditions or requirements which may be stipulated by the Indiana Department of Transportation, City, or County Highway Department, whether specifically shown on the drawings or mentioned in the specifications.
 - 2. The City of Westfield requires an Erosion and Sediment Control Permit (City). Application fees for this permit will be waived.

9.10 UNAVAILABILITY OF MATERIALS

- A. Bids shall be based on use of the materials specified, subject to the provisions of any addenda issued. If the Contractor is unable to furnish or use any of the materials or equipment specified because of any order by a governmental agency limiting the manufacture or use, or because of the supply in the general market for such material or equipment, the Contractor shall offer substitutes therefor. The substitutes shall be suitable for the purpose, considering the factors of quality, serviceability, appearance, and maintenance. No substitute shall be used until it has been approved by the Owner and Engineer.
- B. No consideration will be given to the use of substitutes on account of market conditions unless the Contractor demonstrates that, for the item in question, he placed his order and submitted shop drawings without delay, that he has shown due diligence in attempting to locate the item as specified, and that the unavailability is due to market conditions in general throughout the particular industry.
- C. If substitutes are used in the work, the compensation to be paid to the Contractor shall be subject to review and adjustment. As a general principle, if the Engineer shall determine that the substitute will be less satisfactory, the Contractor shall allow a credit to the Owner; only under unusual circumstances shall there be an increase in compensation to the Contractor on account of substitution. The basis upon which the amount of price and adjustments will be founded shall be the cost of the appropriate items at the time the bids were opened.

9.11 PRECONSTRUCTION CONFERENCE

A preconstruction conference will be held with the successful Contractor and all available subcontractors prior to the start of construction at which time all parties shall be apprised of their responsibilities and obligations regarding specific provisions contained in the Contract documents. The conference may also include the Water, Cable TV, Gas, Electric, Telephone and any other concerned utility representatives to discuss the protection and location of their various facilities.

9.12 CONSTRUCTION PROGRESS SCHEDULE

- A. The Contractor shall submit to the Engineer, prior to the start of construction, a detailed schedule showing the order in which he proposes to carry on the work and the estimated dates of completion for the various parts. The schedule shall be implemented upon approval of the Engineer.

- B. The construction schedule shall be revised and updated every two weeks and submitted to the Engineer and Owner. It is the Contractor's responsibility to complete the work within the allotted time as set forth in the Contract documents. Any additional work days being requested by the Contractor for the previous two week period shall be requested for review by the Owner at this time. If no schedule is provided by the Contractor, no additional work days will be added to the contract completion date.
- C. The Contractor and Engineer shall have a progress meeting every two weeks. The Contractor shall submit the updated construction schedule prior to or at the meeting.

9.13 MAINTAINING TRAFFIC

- A. Before closing any public thoroughfare, the Contractor shall notify and, if necessary, obtain required permits from the duly constituted public authority having jurisdiction over the roadway whether the state, county, city, or town.
- B. The Contractor shall notify the Owner of their intention to close a particular street 14 days in advance of the proposed closing. The Contractor shall be required to have in place all proper detour signage and barricades in accordance with all applicable MUTCD or INDOT standards 7 days in advance of the actual street closing. "On or After Date" signage will be required for any closures.
- C. The Contractor shall notify the Owner of his intention to start any work on roadways adjacent to local educational facilities by providing a minimum 14 days advance notice for coordination purposes. Contractor shall also work with the Owner and school authorities to accommodate current bus schedules and routes as deemed advantageous by school officials.
- D. During construction, the Contractor shall be responsible for maintaining and protecting the pedestrian and vehicular traffic at all times on all shared streets involved and providing access to all residential, educational, and commercial establishments adjacent to the construction area. The Contractor shall be required to furnish and maintain all necessary signage, cones, drums, barricades, flaggers, etc., in accordance with Indiana Manual on Uniform Traffic Control Devices and applicable INDOT standards. The signage, barricades, etc., must also be in good working condition and the cost thereof shall be included in their bids.
- E. The Contractor shall conduct his work in such manner as not to unduly or unnecessarily restrict or impede normal traffic flow through the streets of the community. Insofar as practical, do not place excavated material and

spoil banks in such manner as to obstruct traffic. Keep the traveled way of all streets, roads, and alleys clear and unobstructed insofar as possible. Do not use streets, roads, or alleys for the storage of construction materials, equipment supplies, or excavated earth, except when and where necessary. If required by duly constituted public authority, the Contractor shall, at his own expense, construct bridges or other temporary crossing structures over trenches so as not to unduly restrict traffic. Such structures shall be of adequate strength and proper construction and shall be maintained by the Contractor in such manner as not to constitute an undue traffic hazard. Private driveways shall not be closed, except when and where necessary, and then only upon due advance notice to the Engineer and for the shortest practicable period of time, consistent with efficient and expeditious construction. The Contractor shall provide notice to the property owner a minimum of 2 days in advance of the closure. If the estimated closure starting date or duration differs from what is communicated to the property, the Contractor shall re-engage the property owner and 2 days additional advance notice shall be provided, unless otherwise approved by the property owner. The Contractor shall be liable for any damage to persons or property resulting from his work.

- F. Streets or drives in which excavation has occurred shall be temporarily restored to receive traffic as soon as possible. Permission to close additional streets or drives shall be denied if, in the opinion of the Owner or Engineer, the restoration of other streets or drives where excavation has previously occurred has not progressed satisfactorily.

9.14 WALKS AND PASSAGEWAYS

The Contractor, when required, shall make provisions at cross streets for the free passage of vehicles and foot passengers, either by bridging or other methods. Do not obstruct the sidewalks, gutters, streets, or prevent in any manner the flow of water in streets. Use all proper and necessary means to permit the free passage of surface water along the gutters. The Contractor shall immediately cart away all offensive matter, exercising such precaution as may be directed by the Engineer. All material excavated shall be so disposed as to inconvenience the public and adjacent tenants as little as possible and to prevent injury to trees, sidewalks, fences, and adjacent property of all kinds. The Contractor will be required to erect suitable barriers to prevent such inconvenience or injury.

9.15 WARNING LIGHTS AND ARROW BOARDS

The Contractor shall place sufficient warning lights and arrow boards on or near the work and keep them illuminated during periods of construction and reduced visibility (including but limited to twilight in the evening until sunrise) and shall be held responsible for any damages that any party or the Owner may sustain in

consequences of neglecting the necessary precaution in prosecuting this work. These devices shall be deemed necessary during nighttime lane restrictions or other situations deemed appropriate by the Owner, Engineer, or applicable traffic maintenance standards.

9.16 UTILITIES

- A. Temporary Removal: All existing utility systems which conflict with the construction of the work herein which can be temporarily removed and replaced shall be accomplished at the expense of the Contractor. Work shall be performed by the utility unless the utility approves in writing that the work may be completed by the Contractor. Contractor shall coordinate with utility company if facility is within the limits of the project. Utility company will be responsible for adjustment of facility if deemed necessary. All maintenance of traffic shall be provided, per 9.13 of the General Requirements. The cost shall be included in the cost of items within the contract.
- B. Permanent Relocation of Utilities: Water mains, sanitary sewers, storm sewers, gas lines, wire lines, service connections, water and gas meter boxes, water and gas valve boxes, light and traffic standards, cable ways, signals, and other utility appurtenances located in the public right-of-way which would permanently interfere with the proposed improvements will be moved and paid for by the utility involved, except as otherwise provided for in the general requirements or as noted on the plans.
 - 1. It is understood and agreed that the Contractor has considered in his bid all of the permanent and temporary utility appurtenances shown or otherwise indicated on the plans in their present positions and that no additional compensation will be allowed for any delays, inconvenience, or damage sustained by him due to any interference from said utility appurtenances or the operation of moving them either by the utility company or by him.
 - 2. It shall also be understood that any utilities located in private easements granted by the Owner or municipality for the specific location of the utilities and utility appurtenances mentioned above which would interfere with the proposed improvements will be moved by the utility involved but shall be paid for by the Owner as part of the project.
- C. Payment for Temporary Utilities: The Contractor shall make all necessary applications and arrangements and pay all fees and charges for electrical power and light, gas service, water service, and telephone service required for the construction of this Contract during its entire progress. The

Contractor shall also provide and pay for all temporary wiring, switches, connections, and meters.

9.17 MONUMENTS AND MARKERS

- A. Monuments and markers are subject to re-setting if not already set in concrete, the existing road elevation changes after construction is complete, damage occurs during construction, or deemed necessary by the Engineer or Hamilton County Surveyors Office in accordance with HCSO Standards. The Contractor is responsible for all coordination with HCSO as well as re-setting any and all monuments and markers with the help of HCSO as deemed necessary by the Engineer or HCSO. The cost for this work shall be included in the cost of other items.

9.18 DUST, NOISE CONTROL, AND WORKING HOURS

- A. Dust shall be minimized by use of water and deliquescent salts.
- B. Noise shall be minimized by use of properly constructed and maintained equipment provided with suitable mufflers, snubbers, and other sound attenuating devices and supports. Loud noises shall be limited to working hours stated below.
- C. Working hours shall be as identified in Exhibit A of the Goods & Services agreement.

9.19 STANDARD DRAWINGS AND APPROVED EQUIPMENT

- A. The following INDOT Standard Drawings are to be used with this contract;

Not Applicable
- B. The following INDOT Approved Equipment list is to be used with this contract;

Not Applicable

9.20 FIELD OFFICE

A construction field office is not required for this project.

9.21 BI-WEEKLY STATUS UPDATE

- A. A bi-weekly (every other week) status update will be submitted.

- B. A written status report, neatly prepared, shall be presented by the Contractor. The status report shall include at least the following information: construction progress, delays, changes, problems, differing conditions, update of schedule, anticipated payment request for following month, personnel changes, and state regulations. The status report shall also include information for all subcontractors.
- C. The Contractor shall require the attendance of subcontractors' supervisory personnel, as necessary, to assist in the presentation of the status report.
- D. Five copies of the status report shall be prepared for distribution to the Owner's and Engineer's representatives.
- E. If the Contractor fails to have a supervisory representative attend the bi-weekly status meeting or if the Contractor fails to distribute a written status report as specified in paragraph B above, the Owner may withhold approval of a Request for Payment because such failure is defined as defective work in accordance with the Standard General Conditions, until such time as the monthly status meeting can be rescheduled at the convenience of the Owner and the Engineer.

9.22 WORK PROGRESS

- A. Contractor shall satisfactorily progress with planned work activities including, but not necessarily limited to removal, stone, and replacement as to minimize disruption and inconvenience to the traveling public and the Owner.
- B. Contractor shall progress with all subsequent work activities within a maximum period of 10 calendar days of satisfactory completion of prior work task or as otherwise approved by Engineer. No considerations will be made for possible additional mobilizations required to meet these project specification requirements.
- C. If the Contractor fails to satisfactorily progress with work activities as specified in paragraph B above, the Owner may withhold approval of a Request for Payment because such failure is defined as defective work in accordance with the Standard General Conditions, until such time as work progresses in an acceptable manner determined by Owner.

SECTION 10: SPECIAL PROVISIONS

10.1 SCHEDULE

The anticipated schedule for this project is as follows:

Bids Due	September 9, 2015
Contract Notice to Proceed	Within 21 days of Bids Receipt
Preconstruction Meeting	Within 5 Business Days of NTP
Project Completion	30 days after NTP

Contractor shall include any and all necessary overtime as required to complete the schedule as indicated above. All days referenced in the Special Provisions are in calendar days.

10.2 UNIT PRICES

Payments will be made to the Contractor for the actual quantities of work performed or materials furnished in accordance with the drawings and specifications. The scheduled quantities of work to be performed and materials to be furnished may each be increased or decreased by 25% without in any way invalidating the unit price bid. The unit price bid shall be valid for all minor quantity adjustments directed to be made or as otherwise provided for in the Supplementary Conditions.

10.3 REFERENCE SPECIFICATIONS

Measurement and payment of "Pay Items" shall comply with referenced sections of the 2014 Indiana Department of Transportation Standards Specifications (INDOTSS) and the City of Westfield Construction Standards and Specifications (WCSS), including current revisions thereto and as modified herein these special provisions, unless otherwise noted.

10.4 FINAL MEASUREMENTS

- A. The quantities of material complete in place and accepted will be paid for at the contract unit price. The quantities shall be determined by delivery tickets properly marked with the date, time, product, and weight. The City may require samples from the materials delivered or cores from the material in place to be analyzed by an independent testing laboratory to ensure conformance with the specifications. Costs for such tests shall be paid for by the Contractor.
- B. The method of measuring the work for payment under the various items shall be as indicated below. In any event, the unit or lump sum price for the respective items shall include the installation complete in all details and ready for use.

10.5 ADJUST CASTINGS

Description

This work shall consist of installing a 2 foot concrete collar around all manhole castings within the asphalt pavement after the casting has been adjusted to grade as identified in the plans and all incidentals associated with such work. This work will also be completed in accordance with Section 720.

Materials

Materials for the concrete collar shall be either Class A or C concrete. All other materials shall conform to Section 720.

Construction Requirements

Castings shall be installed to grade or 0.25 inches below grade per the plans to prevent snow plows from catching the edge of the casting. Concrete collars shall be flush with the surrounding asphalt pavement to prevent snow plows from catching the edge of the concrete and casting.

Method of Measurement

Adjust Castings will be measured as EACH installed complete and in place and in accordance with Section 720.

Basis of Payment

Adjust Castings pay item shall include saw cutting, excavation, backfill, reinforcing bars, structure backfill, concrete collar required for pipe connection to structures, stainless steel bolts, removal, disposal and replacement of pavement, concrete adjusting rings, concrete collars, or surface material, and necessary incidentals shall be included in the cost of the pay items to adjust casting to finished grade. Basis of Payment shall also follow Section 720. Payment shall be made according to the following units.

Pay Item	Unit
Casting, Adjust to Grade	EACH
Casting, Adjust to Grade, Undistributed	EACH

All costs to be included in the pay items shall be per Section 720.

10.6 UNDISTRIBUTED QUANTITIES

Undistributed quantities have been accounted for in the Schedule of Pay Items for the following Pay Items:

Casting, Adjust to Grade, Undistributed (EACH)
HMA Patching, Type C, Undistributed (TON)
Temporary Pavement Marking, 4 IN (LFT)

CONTRACTOR shall identify and quantify areas for approval by ENGINEER and OWNER prior to ordering materials and use of undistributed quantities.

If approved, the undistributed quantities shall be in accordance with their respective standards and specifications per the INDOT Standards and Specifications.

10.7 PROVISIONS FOR HMA MIXTURES

All HMA specifications shall comply with referenced sections of the 2012 (not 2014) Indiana Department of Transportation Standards Specifications, Section 402.

10.8 MAINTAINING TRAFFIC

Maintenance of vehicular and pedestrian traffic shall be paid for on a lump sum basis for work completed in accordance with INDOTSS Section 801 or otherwise modified herein. Construction signs, detour signs, barricades, cones, drums, pedestrian fence, flagging, and all other materials, labor, and equipment necessary to adequately maintain traffic shall not be paid for separately but shall be included in the lump sum price bid for Maintaining Traffic. One lane of travel with proper MOT shall be maintained at all times. Contractor shall fully open road at the completion of each day's work. Roadway shall not be closed without prior approval from Engineer.

All Maintenance of Traffic operations shall be conducted according to the Indiana Manual on Uniform Traffic Control Devices and applicable INDOT Standard Drawings. Also see Section 01001 - GENERAL REQUIREMENTS of WCSS for additional information and requirements pertaining to traffic maintenance.

10.9 STAGING EQUIPMENT

Contractor shall obtain approval from the Engineer as to the location of his staging area if said staging area is within the City's right-of-way.

10.10 TRAFFIC SIGNAL DETECTOR LOOPS

Contractor shall immediately communicate to the Engineer if any traffic signal detector loops are damaged during construction.

10.11 HMA PATCHING

HMA Patching Type C shall be measured and paid for by the ton of material completed in place and in accordance with INDOTSS Sections 304 and 402. Material shall be HMA Base 25.0mm mix, Type C as noted in the schedule of pay items, furnished and placed at the rate of 550 pounds per square yard for all patches, or as otherwise directed by the Engineer. The unit price bid shall include costs for furnishing, hauling, and placing of all new materials, full depth saw cutting of existing pavement, excavation and disposal of

existing material, subgrade preparation, and tack coat. Provide price in dollars per ton of material installed complete in place.

Sequence prior to patching shall be as follows: existing asphalt to be milled one and one half (1 ½) inches, possible patching locations to be swept for inspection and re-evaluation, final determination of patches to be marked by the Engineer or his representative. Cost of sweeping shall be included in the cost of milling.

10.12 TEMPORARY HMA WEDGES AT ALL TRANSITIONS

Contractor shall provide 12" wide minimum HMA transitions after milling if joints are to be exposed to traffic for 24 hours or more. Failure to complete wedges will result in a \$1,000 per day deduction from pay application as a penalty. Cost of these transitions shall be included in the cost of other items.

10.13 PAVEMENT MARKINGS

Pavement traffic markings shall be measured and paid for by the linear foot or per each for items installed and completed in accordance with INDOTSS Section 808. Pavement traffic marking installations shall comply with the Manual on Uniform Traffic Control Devices and shall be placed as shown on the plans or as otherwise directed by Engineer. White 4 inch painted lines shall include installation of all proposed edge lines and lane lines (white edge lines shall not be placed where curbs exist). Yellow 4 inch painted lines shall include installation of all proposed centerline skips and solid no passing zone limits with a 4 inch gap between double yellow centerline. Contractor shall document the location of existing no passing zone limits for accurate replacement. Thermoplastic lane indication arrows, word "PED XING", and word "ONLY" markings shall be placed to match existing locations or as otherwise detailed in the plan drawings. Thermoplastic stop bar markings, 24 inches wide, shall be placed to match existing locations where new asphalt paving construction warrants replacement or as otherwise directed. Thermoplastic crosswalk markings, 24 inches wide by 10 feet long, shall be placed to match existing locations. Paint crosswalk lines, 6 inches wide, shall be placed to match existing locations.

Temporary pavement markings shall be tape. This item includes the price of installation and removal of all temporary pavement markings placed prior to final striping. Center line temporary pavement markings shall be two (2) feet long spaced every one hundred (100) feet. Additional markings may be added by the Engineer, as determined during construction. This work shall conform to section 801.

10.14 PROJECT SUBMITTALS

- A. This section is intended to assist the Contractor in providing all required documentation as defined and required within the Contract Documents.

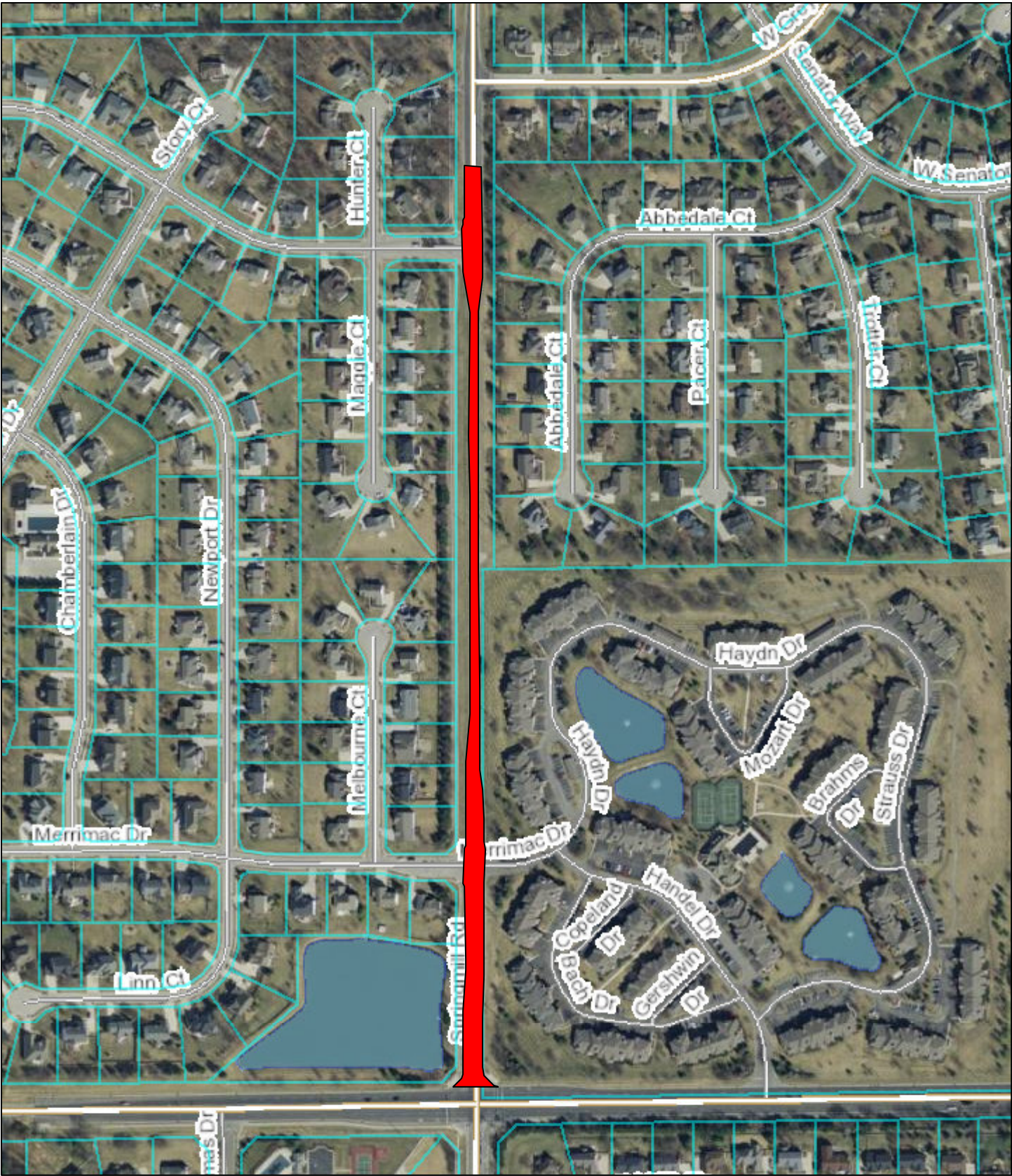
Contractor is responsible to thoroughly review and understand all Contract Documents to ensure that all submittal requirements are met as specified.

- B. Pre-Construction
 - 1. Executed Contract
 - 2. Performance and Payment Bonds
 - 3. Insurance Certificate
 - 4. List of any Sub-Contractors with contact information
- C. Daily Reports – Contractor shall provide daily reports indicating manpower onsite, work completed and any issues encountered. Reports are due to the City Project Manager by 10AM the next business day after work was performed. Failure to provide these reports may result in Contractor's pay application being held until these are properly submitted.
- D. Bi-Weekly Meetings – Provide reports as required in the General Requirements.
- E. Time and Material Extra Work Tickets and Pricing – The following shall apply if Owner elects to award additional work on a Time and Material basis:
 - 1. Contractor shall submit extra work tickets for additional work that is to be performed on a Time and Material (T&M) basis by 10AM the next business day after work was performed for verification of work completed.
 - 2. Authorized T&M work completed without submittal for verification within 15 calendar days shall signify that Contractor is not seeking reimbursement for said work completed.
 - 3. Pricing for verified T&M work shall be submitted not later than 30 calendar days from verification for review and approval regardless as to whether the work is complete or not.
 - 4. Regardless of any Contractor's language on the presented T&M tickets, signature by a City employee shall be verification of work performed only and shall not constitute a firm and final agreement that the work authorized is beyond the scope of the Contractor. Only a properly issued and fully executed Change Order to the Contract shall authorize payment for any additional work performed.
- F. Payment Applications
 - 1. All applications shall include the Westfield Standard Invoice Cover Sheet, AIA G703, Partial/Final Lien Waivers and Progress Summary. The AIA G702/CMA is also required for any project requiring architect or engineering signoff.
 - 2. The Contractor is strongly encouraged to submit its first payment application for review and approval of its schedule of values as early as possible so as to minimize delay of the initial payment.

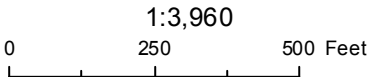
3. Payments are not released by the City until final approval is made at the monthly City Council meetings. These are typically the 2nd Tuesday of the Month. Consult the City's Website for exact dates of these meetings.
 4. To ensure payment in time for a City Council meeting, pencil and final copies are due three (3) weeks and two (2) weeks prior to the City Council meeting respectively. Additional time should be allowed for holidays. Applications not submitted or applications rejected and not cured within this timeframe are not likely to be approved at the scheduled City Council meeting.
- G. Progress Payments
1. Progress payment application (Cover, G702/703, lien waivers)
 2. Consent of Surety for retainage reduction from 10% to 5%.
- H. Final Payment
1. Complete demobilization of Contractor
 2. Verification by City that the Punchlist is complete
 3. As-builts submitted and approved, as applicable
 4. Consent of surety for performance and payment bonds
 5. Any remaining Contractor daily reports
 6. Operations and Maintenance manuals, as applicable
 7. Delivery of attic stock, as applicable
 8. Maintenance Bond with 1 year expiration date from the date of the Board of Public Works meeting at which the Performance Bond will be released and the Maintenance Bond accepted
 9. Verification of all extra work and claims are resolved
 10. Final lien waivers from subcontractors and suppliers over \$250,000
 11. Final payment application (Cover, G702/703, lien waivers, summary)
 12. Landfill receipts for hazardous waste, as applicable
 13. Soils test reports, as applicable
- I. Contractor shall provide (1) electronic copy and (1) hard copy of all submittals unless stated otherwise within the Contract Documents or City Standards.
- J. Direct all submittals to:
- Dustin Shoe, Project Engineer
City of Westfield
Department of Public Works
2706 E 171st St
Westfield, IN 46074
dshoe@westfield.in.gov
317-450-6765

END OF SECTION 10

Appendix A - Springmill from 146th to Greyhound Pass



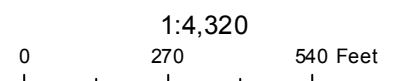
July 22, 2015



Appendix A - Springmill from Greyhound Pass to 156th



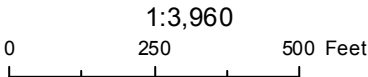
July 22, 2015



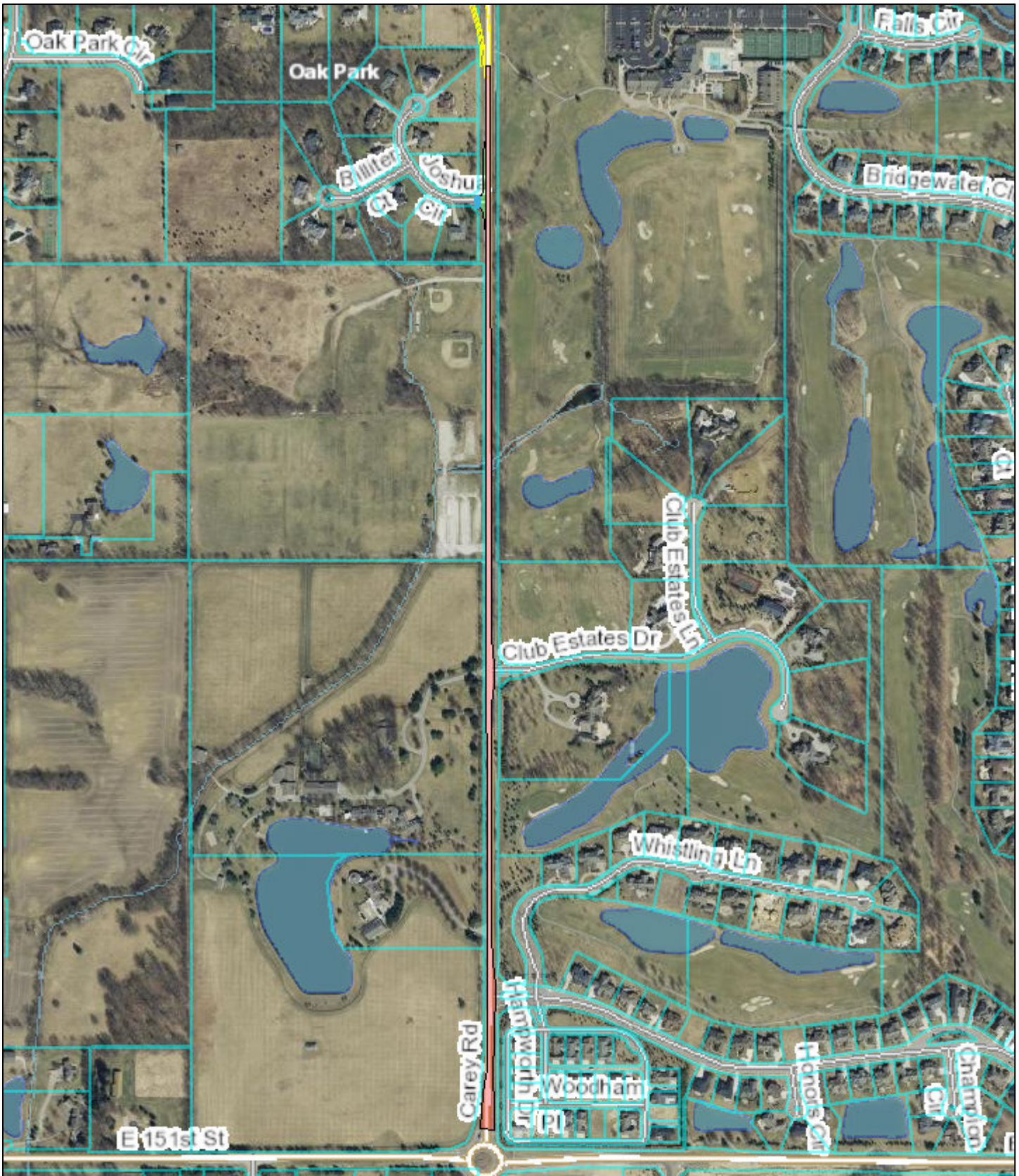
Appendix B - Carey from 146th to 151st



July 24, 2015



Appendix B - Carey from 151st to 161st



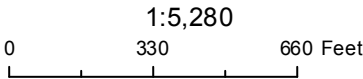
July 24, 2015

1:7,200
0 450 900 Feet

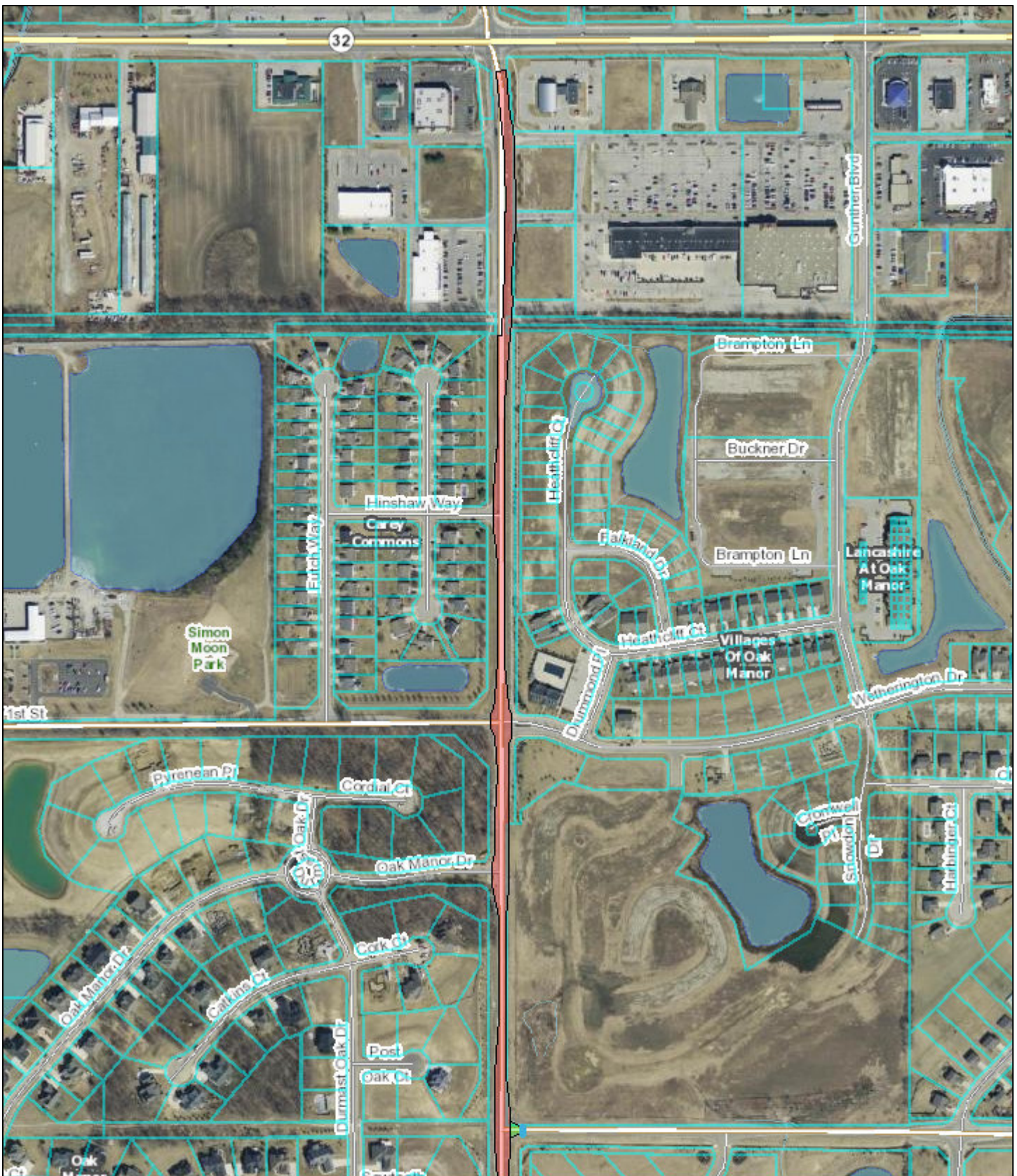
Appendix B - Carey from 161st to 169th



July 24, 2015



Appendix B - Carey from 169th to SR 32



July 24, 2015

1:5,280
0 330 660 Feet

THIS INSTRUMENT PREPARED BY:

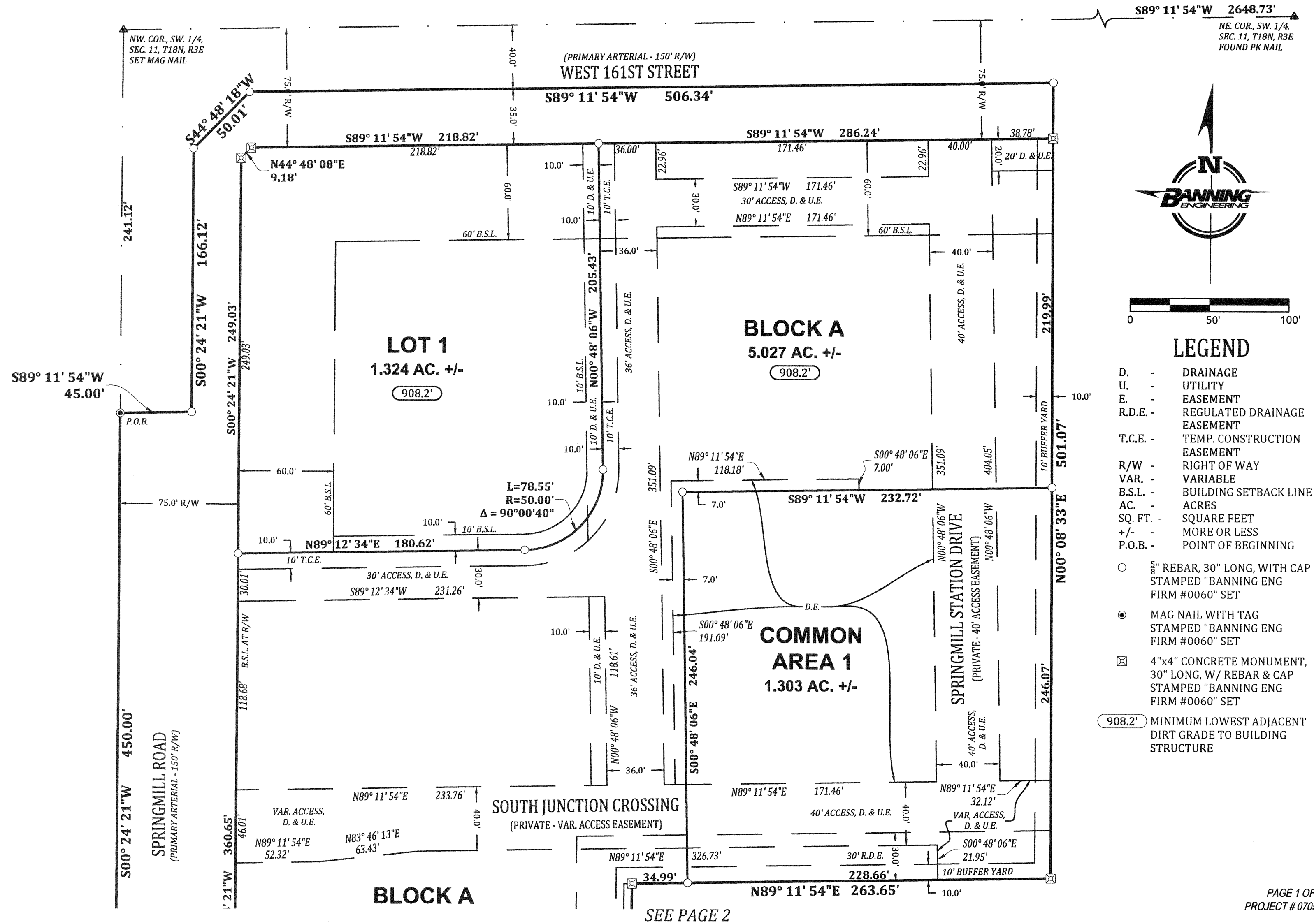


BRIAN L. HAGGARD, PS
BANNING ENGINEERING, PC
853 COLUMBIA ROAD, SUITE #101
PLAINFIELD, INDIANA 46168
PHONE: 317-707-3700
EMAIL: BANNING@BANNING-ENG.COM
WEB: WWW.BANNINGENGINEERING.COM

THIS INSTRUMENT PREPARED FOR:
COOPERSTOWN PARTNERS, LLC
C/O JIM ADAMS
836 EAST 64TH STREET
INDIANAPOLIS, INDIANA 46220
PHONE: 317-253-3447

SPRINGMILL STATION SE CORNER - SECONDARY PLAT

PART OF THE SW 1/4, SEC. 11, T18N-R3E
WESTFIELD, HAMILTON COUNTY, INDIANA



THIS INSTRUMENT PREPARED BY:

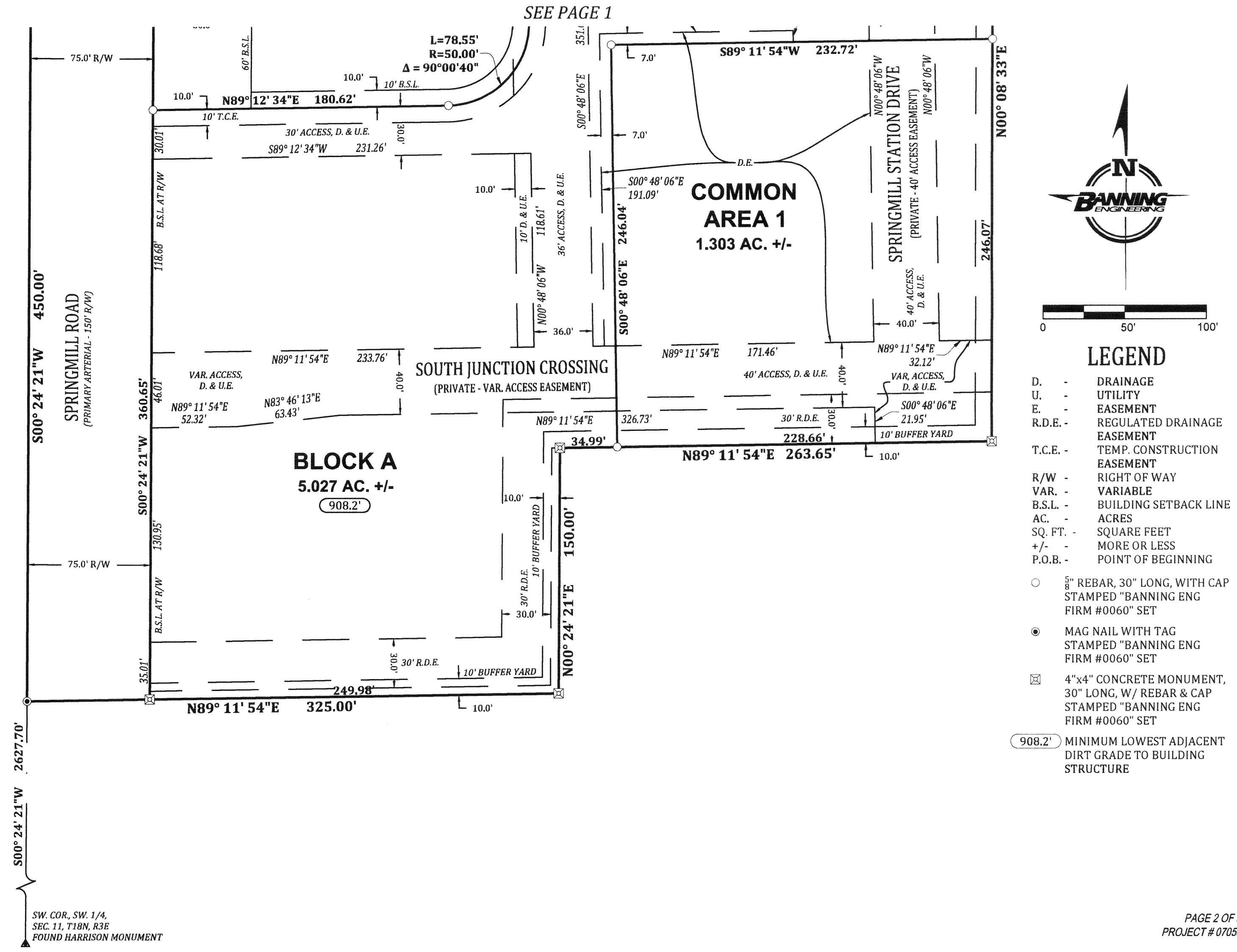


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WESTFIELD, HAMILTON COUNTY, INDIANA



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PHONE: 317-253-3447

SPRINGMILL STATION SE CORNER - SECONDARY PLAT

PART OF THE SW 1/4, SEC. 11, T18N-R3E
WESTFIELD, HAMILTON COUNTY, INDIANA

SPRINGMILL STATION SE CORNER

I, the undersigned Professional Surveyor, hereby certify that the included plat correctly represents a subdivision of part of the Southwest Quarter of Section 11, Township 18 North, Range 3 East of the Second Principal Meridian, Washington Township, Hamilton County, Indiana, described as follows:

Considering the east line of said Southwest Quarter as South 00 degrees 24 minutes 21 seconds West (assumed bearing) and all bearing contained within being relative thereto.

Commencing at a mag nail with "BANNING ENG FIRM #0060" tag set (herein referred to as "mag nail") marking the northwest corner of said Quarter Section; thence South 00 degrees 24 minutes 21 seconds West along the west line thereof 241.12 feet to the POINT OF BEGINNING, said point being the southwest corner of the land of the Board of Commissioners of Hamilton County as described in Instrument Number 9418999 in the Office of the Recorder of said county; thence continue South 00 degrees 24 minutes 21 seconds West along said west line 450.00 feet; thence North 89 degrees 11 minutes 54 seconds East parallel with the north line of said Southwest Quarter 325.00 feet; thence North 00 degrees 24 minutes 21 seconds East parallel with said west line 150.00 feet; thence North 89 degrees 11 minutes 54 seconds East parallel with said north line 263.65 feet; thence North 00 degrees 08 minutes 33 seconds East 501.07 feet to the southeast corner of the land of the Board of Commissioners of Hamilton County as described in Instrument Number 9419001 in said county records; thence along the south line thereof and the south line of said Board per Instrument Numbers 9419000 and 9418999 in said county records, being parallel with said north line of the Quarter Section South 89 degrees 11 minutes 54 seconds West 506.34 feet (the remaining courses are along the south and east lines of said land of the Board per Instrument Number 9418999); thence South 44 degrees 48 minutes 18 seconds West 50.01 feet; thence South 00 degrees 24 minutes 21 seconds West parallel with said west line 166.12 feet; thence South 89 degrees 11 minutes 54 seconds West parallel with said north line 45.00 feet to the POINT OF BEGINNING, containing 7.654 acres, more or less.

This subdivision consist of 1 Lot, numbered Lot 1; 1 Common Area, labeled C.A. # 1; and 1 Block, labeled Block A. The size of the lots, common areas, and blocks and the width of streets are shown in feet and decimal parts thereof.

Cross-Reference is hereby made to a Plat of Survey prepared by Banning Engineering, certified by Brian Haggard, PS, Project # 07053, and dated July 15, 2019 in accordance with Title 865, Article 1, Chapter 12 of the Indiana Administrative Code and recorded as Instrument Number _____ in the Office of the Recorder, Hamilton County, Indiana.

I, the undersigned, hereby certify that I am a Professional Surveyor licensed in compliance with the laws of the State of Indiana and that the within plat represents a subdivision of the lands surveyed within the cross-referenced plat of survey and that to the best of my knowledge and belief there has been no changes from the matters of survey revealed by the cross-referenced plat of survey on any lines that are common with this new subdivision. Furthermore, all the monuments shown thereon actually exist or bond has been posted to cover the later installation of these monuments, and that all other requirements specified herein, done by me, have been met.

Witness my signature this 18th day of AUGUST, 2015.

Brian L. Haggard
Professional Surveyor
PS # LS29800001



I affirm, under the penalties for perjury, that I have taken reasonable care to redact each Social Security Number in this document, unless required by law. Brian L. Haggard, PS

THIS INSTRUMENT PREPARED BY:



BRIAN L. HAGGARD, PS
BANNING ENGINEERING, PC
853 COLUMBIA ROAD, SUITE #101
PLAINFIELD, INDIANA 46168
PHONE: 317-707-3700
EMAIL: BANNING@BANNING-ENG.COM
WEB: WWW.BANNINGENGINEERING.COM

THIS INSTRUMENT PREPARED FOR:
COOPERSTOWN PARTNERS, LLC
C/O JIM ADAMS
836 EAST 64TH STREET
INDIANAPOLIS, INDIANA 46220
PHONE: 317-253-3447

SPRINGMILL STATION SE CORNER - SECONDARY PLAT

PART OF THE SW 1/4, SEC. 11, T18N-R3E
WESTFIELD, HAMILTON COUNTY, INDIANA

Deed of Dedication:

We the undersigned owners of the real estate shown and described herein, do hereby certify that we have laid off, platted and subdivided, and do hereby lay off, plat and subdivide, said real estate in accordance with the within plat.

This subdivision shall be known and designated as Springmill Station, an addition to the City of Westfield, Indiana. All rights-of-way shown and not heretofore dedicated are hereby dedicated to the public.

Front and side yard building setback lines are hereby established as shown on this plat, between which lines and the property lines of the street, there shall be erected or maintained no building or structure.

Landscaping Note: All Street Trees are to match the current City of Westfield Master Tree List. Street Trees shall not be within four (4) feet of any pavement. There shall be no trees within ten (10) feet of any storm sewer including sub-surface drains.

Common Areas are to be maintained by the Owners of the Lots and Blocks for the subdivision.

Common Areas are to be maintained by the DEVELOPER for the subdivision.

Cross reference is hereby made to the Declaration of Covenants, Conditions, and Restrictions for _____ recorded on _____ as Instrument Number _____, in the Office of the Recorder of Hamilton County, Indiana.

There are strips of ground shown on this plat and marked "easement", reserved for the use of public utilities for the installation of water and sewer mains, poles, ducts, lines and wires, subject at all times to the proper authorities and to the easement herein reserved. No permanent or other structures are to be erected or maintained upon said strips of land, but owners of lots in this subdivision shall take their titles subject to the rights of the public utilities.

Easement Certificate:

There are strips of land, the widths as shown on the plat, and labeled as Utility Easement, Drainage Easement, Regulated Drainage Easement, and Temporary Construction Easement either separately or in combination, which are reserved for use by the Developer, Public Utility Companies (not including transportation companies) and Government Agencies for the installation, operation and maintenance of improvements and facilities as follows:

- Utility Easements (U.E.) are reserved for use by the Developer, public utility companies and governmental agencies for the installation, operation and maintenance of poles, lines, wires, ducts, transformers, riser pedestals, gas mains, water mains, and appurtenances.
- Drainage easements (D.E.) and Regulated drainage easements (R.D.E.) are reserved for use by the Developer and governmental agencies, for the installation, operation and maintenance of storm water drainage pipes and structures, subsurface drainage tiles, lakes, ponds, drainage retention and/or detention areas, and surface drainage courses.
- Access easements are hereby established in favor of the adjoining property owners ('grantee'), and grant the grantee the right to enter the easement for purposes of maneuvering vehicles.
- The Temporary Construction Easement (T.C.E.) is reserved for the use of the owner of Lot 1 for construction purposes and ceases to exist upon completion of construction of the Lot 1.

The above easements prohibit the property owners or any other person from placing any obstruction within the easement. The above easements are binding on all heirs, successors, and assigns to the property on which they are located. The grantees or the City may enforce the provisions of the easements. The easements shall only be modified or vacated in the manner stipulated in the Westfield-Washington Township Unified Development Ordinance, or its successor ordinance.

The subdivider expressly covenants and warrants on behalf of itself and all future owners of lots within this subdivision that because the streets are private that all maintenance, repairs and replacement now and forever shall be undertaken at the expense of the lot owners in accordance with the terms and conditions set forth in the owners' association bylaws and articles. The subdivider, and their successors and assignees, hereby waive all rights to petition a governmental entity to be responsible for the maintenance and ownership of such private streets. No governmental entity has any duty or responsibility to maintain, repair or replace any private street.

THIS INSTRUMENT PREPARED BY:



BRIAN L. HAGGARD, PS
BANNING ENGINEERING, PC
853 COLUMBIA ROAD, SUITE #101
PLAINFIELD, INDIANA 46168
PHONE: 317-707-3700
EMAIL: BANNING@BANNING-ENG.COM
WEB: WWW.BANNINGENGINEERING.COM

THIS INSTRUMENT PREPARED FOR:
COOPERSTOWN PARTNERS, LLC
C/O JIM ADAMS
836 EAST 64TH STREET
INDIANAPOLIS, INDIANA 46220
PHONE: 317-253-3447

SPRINGMILL STATION
SE CORNER - SECONDARY PLAT
PART OF THE SW 1/4, SEC. 11, T18N-R3E
WESTFIELD, HAMILTON COUNTY, INDIANA

Source of Title: Warranty Deed - Instrument No. 9424375

Witnessed our hands and seals this _____ day of _____, ____.

_____ Fred H. Boyer 16041 Springmill Rd. Westfield, Indiana 46074	_____ Maureen A. Boyer 16041 Springmill Rd. Westfield, Indiana 46074
--	---

State of Indiana)
)
Hamilton County)

Before me the undersigned Notary Public, in and for the County and State, personally appeared Fred H. Boyer and Maureen A. Boyer and severally acknowledge the execution of the foregoing instrument as his and her voluntary act and deed, for the purposes therein expressed.

Witness my hand and notary seal this _____ day of _____, ____.

Signature: _____

Printed: _____

County of Residence: _____

My Commission Expires: _____

Source of Title: Warranty Deed - Instrument No. 200200095087

Witnessed our hands and seals this _____ day of _____, ____.

_____ Lisa K. Foreman 16025 Springmill Rd. Westfield, Indiana 46074	_____ Jeffrey W. Foreman 16025 Springmill Rd. Westfield, Indiana 46074
--	---

State of Indiana)
)
Hamilton County)

Before me the undersigned Notary Public, in and for the County and State, personally appeared Lisa K. Foreman and Jeffrey W. Foreman and severally acknowledge the execution of the foregoing instrument as his and her voluntary act and deed, for the purposes therein expressed.

Witness my hand and notary seal this _____ day of _____, ____.

Signature: _____

Printed: _____

County of Residence: _____

My Commission Expires: _____

Source of Title: Warranty Deed - Instrument No. 200300119227

Witnessed our hands and seals this _____ day of _____, ____.

_____ Herbert B. Feldmann 16001 Springmill Rd. Westfield, Indiana 46074	_____ Deborah C. Feldmann 16001 Springmill Rd. Westfield, Indiana 46074
--	--

State of Indiana)
)
Hamilton County)

Before me the undersigned Notary Public, in and for the County and State, personally appeared Herbert B. Feldmann and Deborah C. Feldmann and severally acknowledge the execution of the foregoing instrument as his and her voluntary act and deed, for the purposes therein expressed.

Witness my hand and notary seal this _____ day of _____, ____.

Signature: _____

Printed: _____

County of Residence: _____

My Commission Expires: _____

Source of Title: Warranty Deed - Instrument No. 9809821428

Witnessed our hands and seals this _____ day of _____, ____.

_____ Daniel E. Coverdale 15951 Springmill Rd. Westfield, Indiana 46074	_____ Lynne A. Coverdale 15951 Springmill Rd. Westfield, Indiana 46074
--	---

State of Indiana)
)
Hamilton County)

Before me the undersigned Notary Public, in and for the County and State, personally appeared Daniel E. Coverdale and Lynne A. Coverdale and severally acknowledge the execution of the foregoing instrument as his and her voluntary act and deed, for the purposes therein expressed.

Witness my hand and notary seal this _____ day of _____, ____.

Signature: _____

Printed: _____

County of Residence: _____

My Commission Expires: _____



Mayor
Andy Cook

City Council
Jim Ake
Steven Hoover
Robert L. Horkay
Chuck Lehman
Robert J. Smith
Cindy L. Spoljaric
Robert W. Stokes

Clerk Treasurer
Cindy J. Gossard

Customer Service

(317) 804-3150 office
(317) 804-3181 fax

2728 East 171st Street
Westfield, IN 46074
westfield.in.gov

Performance Bond Acceptance

The Westfield Public Works Department is recommending that the Board of Public Works and Safety accept the following Performance Bonds for the requested developments:

- Keeneland Park Section 4 (1501002) (Bond #60101634 & 60101636 – Streets/Curbs \$79,648.88)
- Keeneland Park Section 4 (1501002) (Bond #60101637 – Storm Sewer \$80,614.00)
- Keeneland Park Section 4 (1501002) (Bond #60101632 – Side Walk \$650.00)
- Keeneland Park Section 4 (1501002) (Bond #60101635 – Erosion Control \$14,214.34)
- Keeneland Park Section 4 (1501002) (Bond #60101633 – Monuments and Markers \$2,695.00)
- Windsor Estates (1501169) (Bond #1121636 – Streets/Curbs \$191,966.88)
- Windsor Estates (1501169) (Bond #1121637 – Storm Sewer \$244,050.90)
- Windsor Estates (1501169) (Bond #1121638 – Sidewalk \$4,480.35)
- Windsor Estates (1501169) (Bond #1121639 – Trail \$43,066.17)
- Windsor Estates (1501169) (Bond #1121640 – Erosion Control \$42,185.43)
- William Trace at Springmill Trails (1501188) (Bond #INC59985– Streets/Curbs, Storm Sewer, Sidewalk, Trail, Erosion Control \$718949.55)
- Andover North Section 2 (1501049) (Bond #800015897 – Streets/Curbs \$128,982.94)
- Andover North Section 2 (1501049) (Bond #800015898 – Storm Sewer \$73,696.00)
- Andover North Section 2 (1501049) (Bond #800015893 – Sidewalk \$15,461.60)
- Andover North Section 2 (1501049) (Bond #800015894 – Trail \$10,966.00)
- Andover North Section 2 (1501049) (Bond #800015895 – Erosion Control \$27,851.62)

Performance Bond Release

The Westfield Public Works Department is recommending that the Board of Public Works and Safety consider the following Performance Bonds for release by the requested developer:

- Westfield Trail Connection 2014 (1420005) (Bond #CE11510700372 – Trails \$246,769.00)
- Direct Enterprises (N/A) (Bond # B0296449 – Storm Sewer and Erosion Control \$9,500.00)

Maintenance Bond Acceptance

The Westfield Public Works Department is recommending that the Board of Public Works and Safety accept the following Maintenance Bonds for the requested developments:

- Direct Enterprises (N/A) (Bond #B0371013 – Storm Sewer and Erosion Control \$950.00)

Maintenance Bond Release

The Westfield Public Works Department is recommending that the Board of Public Works and Safety consider the following Maintenance Bonds for release by the requested developer:

- None

Public Affairs

(317) 804-3150 office
(317) 804-3190 fax

2728 East 171st Street
Westfield, IN 46074
westfield.in.gov



Public Works

Jeremy Lollar, Director
Angie Smitherman,
Administration
Phil Sundling, Project Mgmt.
Adam Essex, Inspections
Travis Stetnish, Streets
Chris McConnell, Parks & Trails

Board of Works

Andy Cook
Randy Graham
Kate Snedeker

Clerk Treasurer

Cindy J. Gossard

To: Westfield Board of Public Works & Safety

Date: August 26, 2015

Re: Action Item-Signing Authority

The City of Westfield Public Works Department is requesting that the Board of Public Works and Safety consider granting Jeremy Lollar, Director of Public Works, signing authority to proceed with the 2015 Fall Resurfacing Project if bids come in at or below budget (\$685,000). Bids will be opened on September 9, 2015.

Kate Snedeker

Randy Graham

Andy Cook

Public Works Department

(317) 804-3100 office
(317) 804-3190 fax

2706 East 171st Street
Westfield, IN 46074
westfield.in.gov

BASE QUOTE ITEMIZED PROPOSAL
(SEE BREAKDOWN ON NEXT PAGE FOR FURTHER INFORMATION)

ID	ITEM NO.	DESCRIPTION	UNIT	ESTIMATED QUANTITY	UNIT PRICE	AMOUNT
1	105-06845	CONSTRUCTION ENGINEERING	LS	1	N/A	\$ 3,300. -
2	110-01001	MOBILIZATION AND DEMOBILIZATION	LS	1	N/A	\$ 10,000. -
3	304-07494	WIDENING WITH HMA, TYPE C	TON	694	\$	\$ 76,340. -
4	304-07489	HMA PATCHING, TYPE C	TON	68	\$	\$ 8,500. -
5	402-07434	HMA SURFACE, TYPE C	TON	695	\$	\$ 52,125. -
6	402-05496	WEDGE AND LEVEL, TYPE C	TON	68	\$	\$ 6,120. -
7	301-07448	COMPACTED AGG. NO 53 BASE	TON	734	\$	\$ 20,919. -
8	306-08159	MILLING, SCARIFICATION	SYS	6066	\$	\$ 13,345.20
9	801-06775	MAINTAINING TRAFFIC	LS	1	N/A	\$ 8,500. -
10	808-06713	LINE, PAINT. SOLID, WHITE, 4 IN	LFT	5040	\$	\$ 2,772. -
11	808-06714	LINE, PAINT SOLID, YELLOW, 4 IN	LFT	5240	\$	\$ 2,882. -
12	808-75297	TRANSVERSE MARKING, THERMO, STOP LINE, 24 IN	LFT	96	\$	\$ 1,056. -
13	401-10259	JOINT ADHESIVE, INTERMEDIATE	LFT	4503	\$	\$ 450.30
14	605-06140	CURB AND GUTTER	LFT	191	\$	\$ 6,685. -
15	715-05118	PIPE TYPE 1, CIRCULAR, 12"	LFT	30	\$	\$ 1,800. -
16	715-01765	END SECTION, CONCRETE 12"	EA	2	\$	\$ 700. -
17	213-09270	FLOWABLE BACKFILL	CYS	6	\$	\$ 510. -
18	406-05520	ASPHALT FOR TACK COAT	TON	2.2		1,430. -
19	720-44000	CASTING, ADJUST TO GRADE, SANITARY	EA	1		250. -
20	615-06525	CASTING ADJUSTED TO GRADE, MONUMENT	EA	1		300. -
21	201-02770	CLEARING RIGHT OF WAY	LS	1		2,500. -
22	621-99999	EROSION CONTROL	LS	1		5,500. -
Total						\$ 225,984.50

- ID #9: Contractor to maintain at least one lane of traffic at all times. Flagging will be required for all lane closures. No lane closures, either full or partial, are allowable on Friday, Saturday, or Sunday due to Grand Park traffic. Jobsite to be left in safe manor at the end of each day to ensure Grand Park traffic not affected.
- ID #21: Clearing of right of way to include removal of stumps, tree limbs, brush, common excavation, under cuts and any additional related items found during construction.
- ID #22: Minor ditch grading may be required to ensure existing drainage patterns and positive drainage. Seeding and erosion control blanket will be required for all exposed dirt

Base Quote Amount in words for Wheeler Road- Widening and Intersection Improvement

Two Hundred Twenty-five Thousand Nine Hundred Eighty-four Dollars and 50 /100

This price is the sum of the quoted unit prices multiplied by the quantity for each item as shown on the above Base Quote Itemized Proposal.

Respectfully submitted,

Globe Asphalt Paving Co., Inc.

Contractor

(Individual ☐) (Partnership ☐)

or (Corporation ☒)

By

Will D. Shumme

Title

President

Dated:

Address 6445 E. 30th St, Indpls, In

August 17, 2015

NOTE: The legal status of the Bidder, whether as an individual, partnership, or corporation, must be indicated above, and all pertinent information as required by the Specifications must be furnished.



Board of Works

Andy Cook
Randy Graham
Kate Snedecker

Clerk Treasurer

Cindy J. Gossard

Public Works Department

(317) 804-3150 office
(317) 804-3181 fax

2728 East 171st Street
Westfield, IN 46074
westfield.in.gov

Memorandum

To: Westfield Board of Public Works & Safety

Date: August 26, 2015

Re: Public Works Project Report

Memo to Board Members

Dear Board Members, I would like to take this opportunity to update you regarding the current status of our Public Works Departments.

Westfield Public Works Executive Summary Progress Report

Street Maintenance

Over the past several weeks our Street Division has been focused on concrete work. This consists on sidewalk and Curb replacement as well as trip hazard removal. We also conducted our Bulk Trash Day on Saturday, August 23, 2015.

Park Maintenance

Maintenance crews continue to install stone shoulders along the Monon and Midland trails. Emergency mile markers are being created and will be installed on the new sections of the Monon.

Notable changes since 6/24/15

1. 2015 Resurfacing and Maintenance Improvement Project (MIP)
Fall Resurfacing is currently out for bid, which will be discussed as an agenda item.
2. 161st and Oakridge RAB
Utility relocates are finished and fill dirt is currently being brought in. This is still on track to begin construction with the intersection closing during the first week of September.

3. Tomlinson and 191st Roundabout
North and West legs are currently closed. Heavy construction is nearing completion and the intersection is expected to re-open in the coming weeks.
4. Grand Park Blvd Extension
Heavy Construction is nearly complete with the surface layer of asphalt expected to be installed this week.
5. Monon Phase 4
This is also known as the Bridge over the Anna Kendell. This project will connect the current Monon, north to SR32, and includes a short bridge over the Ana Kendell. Construction has begun. We anticipate this section opening in late October.
6. Monon Phase 6
This is the section of the Monon Trail that extends north from 181st to the Monon Trails Elementary School. This is an MPO funded (80/20 match) project that has been awarded to 3D Company Inc. and will start in the coming weeks.

Other Notable Projects

City Project Manager: Gary Pence

1. 161st and Oakridge RAB

This project is to build a new 2 lane Roundabout in place of the current 4-way stop intersection. This project will be let on Feb 4th through INDOT. Demolition and utilities relocations are expected to start this spring with closure and construction anticipated to begin in September. Construction and inspection is 100 percent reimbursable, by INDOT.

2. Grand Park Blvd Extension

This project is a new boulevard roadway connection and roundabout from Wheeler Road north to the existing at 186th street RAB. This project is currently in construction with mostly earthmoving and storm drains work presently. Expected open to traffic near the end of June.

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3. Ditch Road Extension

Project is currently designed and is awaiting funding.

4. Towne Road for 156th street to 166th street

This project is for sight distance improvements, roadway widening and 3 culvert replacement project on Towne Road from 156th to 166th street. This project is INDOT funded project (all phases are 70/30 split). Currently waiting for design contract to be approved by INDOT. Plan to have consultant start survey and design work this spring. Let expected July 2017.

5. Oak Trace Elementary School Safe Road to School Study

Engineering study to improve safety for Oak Trace Elementary School. Waiting for INDOT funding approval to start study. Expect start August 2015

City Project Manager: Phil Sundling

6. Wheeler Road Extension

- Design at 80%, awaiting final drainage decision
- Roadway reconstruction project that runs from SR 32 to 181st Street
- R/W – Wheeler parcel filed for condemnation last week, should have findings within the next month or two
- Utility Proceeds funding

7. Monon Phase 6

- Design at 90%
- Project runs from 181st to the Monon Elementary School north of 191st

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- Trailhead will remain as part of Phase 7 due to the latest design change – won't have time to get R/W clear and include in Phase 6
- R/W – 3 parcels left, should have all cleared in the next few weeks
- MPO funding for PE, CN, CE – match with local Utility Proceeds

8. Westfield Boulevard Extension (West Access Road)

- Design at 85%
- New roadway project that runs from 151st west of Target to new underpass that INDOT built for Union Street
- Awaiting final drainage design
- We are currently in the R/W acquisition phase
- PE funded via Village Park TIF
- RW, CN funded with Utility Proceeds

9. North Union Sidewalk Extension (CDBG)

- PCS survey finished and sent over on 11/13/14
- R/W line work sent over from Pat McCallister with PB
- \$153k reimbursement from CDBG with the City matching a portion

10. Westfield Boulevard Connector (South Poplar Extension)

- Des. No. awarded from INDOT
- Currently waiting on additional funding to be awarded by the state.
- Current plan to go from Park St. to 169th

11. 186th and Springmill RAB

- Approved by MPO
- Awaiting PE contract
- 2017/2018 construction
- \$2-mil reimbursement from MPO (CN, CE)

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- City will fund remaining portion

12. Red Line Mass Transit

- City committing \$146k match for PE and ENV services
- Multiple stops from 146th, Village Park, Downtown Westfield, Grand Park
- Construction not until 2020 at the earliest

City Project Manager: Mike Morgan

13. Mill St. Extension

- Home demolition is nearing completion
- We still have 2 properties to acquire before we proceed.
- We are currently in the process of acquiring the necessary permits to construct the bridge.

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OFFER TO PURCHASE REAL ESTATE

Maple Knoll Developer, LLC ("Maple") offers to purchase from **The City of Westfield** ("City"), the following described real estate and other property located in Hamilton County, Indiana, commonly known as the Southwest Quadrant of SR32 and Springmill Road Westfield, Indiana 46074:

County Parcel Numbers 08-09-03-00-00-012.211

State Formatted Numbers 29-09-03-000-012.211-015

See Exhibit "A" attached hereto and incorporated by reference herein

(all referred to as the "Real Estate") for **\$80,000.00** (The "Purchase Price"), subject to the following written terms and conditions:

1. **Payment.** The Purchase Price shall be paid as follows:
 - 1.1 **Payment.** On closing, Maple shall be obligated to remit the following as payment:
 - a. Twenty-Five Thousand Dollars (\$25,000.00)
 - b. Dedication of and easement for public use of Lot 409 of the Maple Village (AKA Sonoma) for credit of Forty-Five Thousand Dollars (\$45,000.00) towards purchase price.
 - c. Construction of a portion of the Midland Trail as depicted on Exhibit "B" for a credit of Ten Thousand Dollars (\$10,000.00) towards the purchase price.
2. **Conditions of Offer.** In addition to other provisions of this Contract the City's obligations hereunder are subject to satisfaction of the following conditions unless waived in whole or in part by the City:
 - 2.1 That all improvements on the Real Estate are located entirely within the bounds of the Real Estate and that there are no encroachments thereon and no existing violations of zoning ordinances or other restrictions applicable to the Real Estate.
 - 2.2 That marketable title to the Real Estate is conveyed to Maple subject only to:

- 2.21 taxes and assessments which City has agreed to pay, if any (see paragraph 4);
- 2.22 covenants, conditions, restrictions and easements, if any, set forth in a plat of record provided the same do not prohibit the use of the Real Estate for intended purposes and that violations will not result in forfeiture of title;
- 2.23 liens which City agrees to pay;
- 2.24 zoning ordinances and other governmental restrictions affecting the use of property provided that no violations now exist;
- 2.3 That possession of the Real Estate is delivered to Maple in the condition existing at the time of this offer, ordinary wear and tear excepted.

3. Survey and Title Evidence.

- 3.1 Maple shall have the option of purchasing an Owner's Policy of Title Insurance in an amount equal to the amount of the Purchase Price from a company acceptable to the City insuring marketable title subject only to such exceptions as are permitted by this Contract. If the survey made of the Real Estate does not conform to the Minimum Standards for an Indiana Land Title Survey, or if no survey is made, the policy may also except from coverage easements and liens not shown of record and matters that would be disclosed by an inspection or survey.
- 3.2 The title evidence selected and a survey, if required, shall be ordered by the Party obligated to the charges immediately after acceptance of this offer.

4. Taxes and Assessments. Maple assumes and agrees to pay all assessments for public improvements on a pro rata basis after closing and all installments of real estate taxes due and payable after the closing date, and thereafter.

5. Closing and Possession.

- 5.1 The transaction shall be closed at a mutually convenient location at a time convenient to all parties but no later than August 31, 2015
- 5.2 At closing, City shall deliver to the Maple an executed general warranty deed in recordable form conveying marketable title to the Real Estate subject only to exceptions permitted by this Contract.

5.3 Possession of the Real Estate shall be delivered to the Maple on the date of closing.

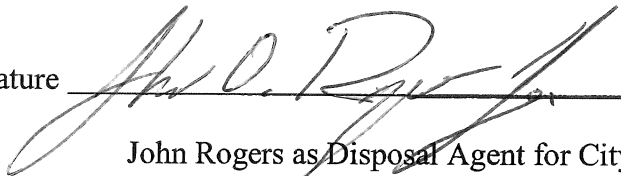
6. **Duration of Offer** This offer shall expire if written acceptance endorsed hereon is not delivered to City, its agent or left for City at 130 Penn Street Westfield, IN 46074 on or before 1:30 o'clock P.M., July 15, 2015

Signature 
Printed: STEVEN R. EDWARDS
Maple Knoll Developer, LLC

ACCEPTANCE OF OFFER AND RECEIPT FOR EARNEST MONEY

The Undersigned, representatives of the City of Westfield, hereby accepts such offer and in addition, the undersigned party acknowledges their authority to sign and commit the owner of the subject real estate to the terms and conditions contained herein.

Dated 07.22, 2015

Signature 
John Rogers as Disposal Agent for City

This instrument was prepared by Brian J. Zaiger, Attorney at Law, Krieg DeVault, LLP, 12800 North Meridian Street, Suite 300, Carmel, Indiana 46032.

I affirm, under the penalties for perjury, that I have taken reasonable care to redact each Social Security number in this document, unless required by law. *Brian J. Zaiger, Esq.*

File No.: 2050660

EXHIBIT A

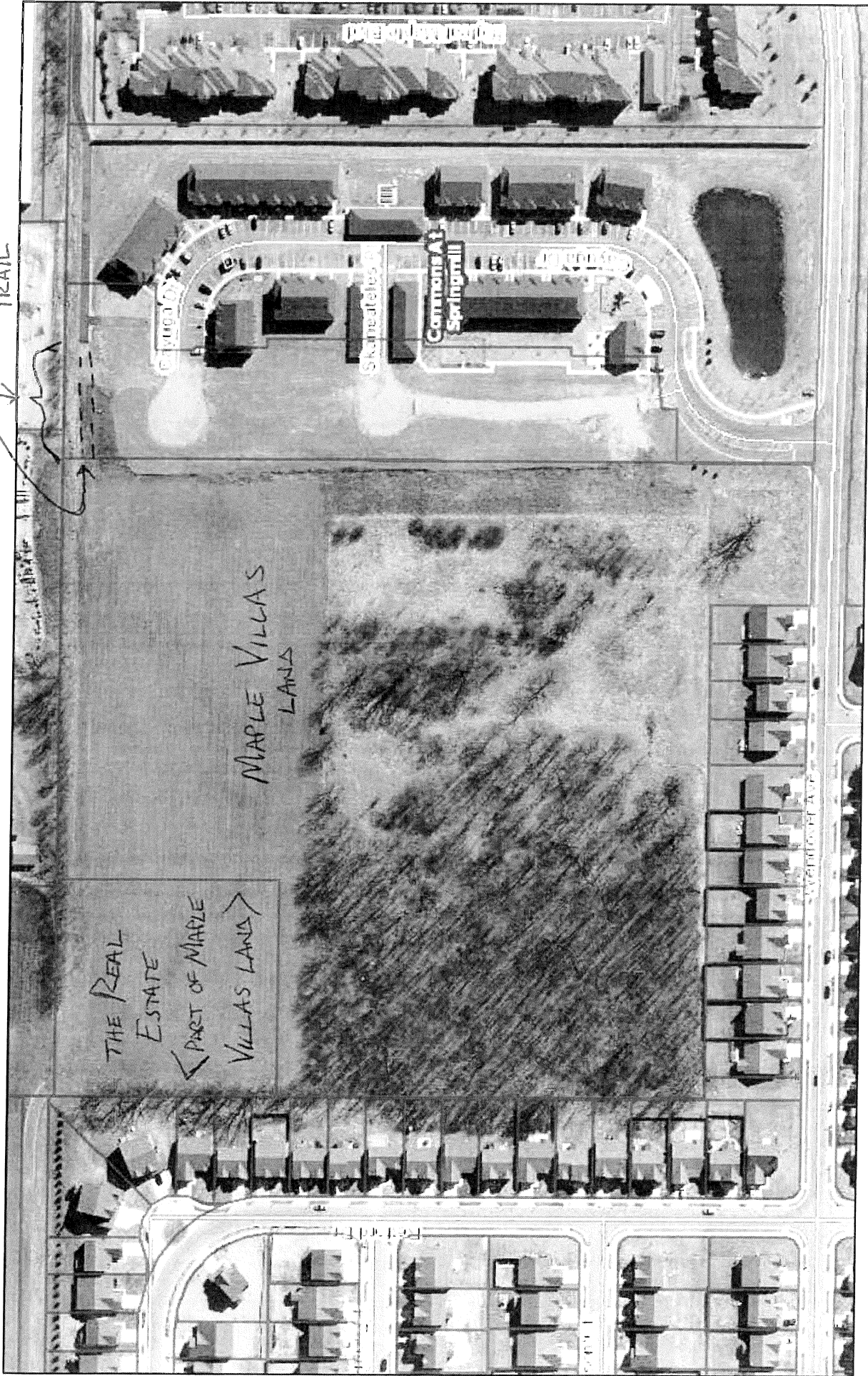
A part of the Northeast Quarter of Section 3, Township 18 North, Range 3 East, Washington Township, Hamilton County, Indiana, more particularly described as follows:

Commencing at the Southwest corner of said Quarter Section; thence North 00 degrees 32 minutes 13 seconds East along the West line of said Quarter Section 1,381.07 feet to the Point of Beginning of this description; thence continuing North 00 degrees 32 minutes 13 seconds East along said West line 295.14 feet; thence South 89 degrees 32 minutes 11 seconds East 295.14 feet; thence South 00 degrees 32 minutes 13 seconds West parallel with said West line 295.14 feet; thence North 89 degrees 32 minutes 11 seconds West 295.14 feet to the place of beginning, containing 2.000 acres, more or less.

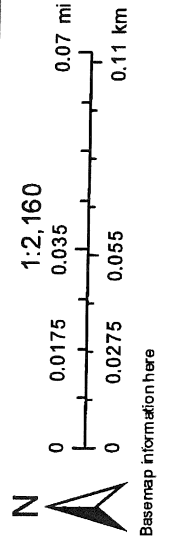
EXHIBIT B

Maple Villas Aerial

EXTENSION OF MIDLAND TRAIL



May 21, 2015



- Abandoned Force Main
- Force Main
- Abandoned Interceptor
- Low Pressure Force Main
- Main
- Outfall
- Parcels

**WESTFIELD BOARD OF PUBLIC WORKS AND SAFETY
RESOLUTION NO. 15-120**

**RESOLUTION DELEGATING CERTAIN SECONDARY PLAT APPROVALS
TO THE DIRECTOR OF WESTFIELD PUBLIC WORKS**

WHEREAS, The City of Westfield, (“City”) is a duly formed municipal corporation within the State of Indiana, governed by its duly elected Mayor and Common Council (“Council”); and,

WHEREAS, certain duties and responsibilities have been delegated by law and by practice to the Westfield Board of Public Works and Safety (“Board”); and,

WHEREAS, The Unified Development Ordinance of the City of Westfield grants the Board the duty and authority to sign off on certain secondary plat approvals that impact the expansion of rights of way within the City limits; and,

WHEREAS, it sometimes becomes necessary to adopt by resolution certain acts by the Board.

NOW, THEREFORE, BE IT RESOLVED by the Westfield Board of Public Works and Safety meeting in session finds as follows:

- Section 1.** That the current processes are not as efficient or timely as desired and may lead to delays in the orderly processing of approvals by the
- Section 2.** That the Board now finds it appropriate to delegate its duties and authority granted to it by the UDO for the approvals of certain secondary plats to the Director of Public Works.
- Section 3.** This Resolution is in full force and effect upon adoption.

ALL OF WHICH IS RESOLVED THIS _____ DAY OF _____ 2015.

WESTFIELD BOARD OF PUBLIC WORKS AND SAFETY

<u>Voting For</u>	<u>Voting Against</u>	<u>Abstain</u>
_____ J. Andrew Cook	_____ J. Andrew Cook	_____ J. Andrew Cook
_____ Randy Graham	_____ Randy Graham	_____ Randy Graham
_____ Kate Snedeker	_____ Kate Snedeker	_____ Kate Snedeker

ATTEST:

Secretary, Westfield Board of Works and Safety

Printed: _____

This document prepared by
Brian J. Zaiger, Esq.
KRIEG DEVAULT, LLP
(317) 238-6266

DEVELOPMENT AGREEMENT

THIS DEVELOPMENT AGREEMENT is entered this _____ day of _____, 2015, by and between the City of Westfield, Indiana, an incorporated municipality (“Westfield”), and Throgmartin Henke Development, LLP, an Indiana limited liability partnership (“Developer”), and sometimes referred to as the “Parties” to provide for the subdivision and development of real estate generally located on the southwest corner of 161st and Gray Road (“Development Project”).

WITNESSETH:

WHEREAS, Westfield and Developer have come together to be collaborative; and

WHEREAS, Developer has filed an application for a Minor Subdivision to subdivide the Property generally located at 15887 Gray Road North, and more particularly described in the attached **Exhibit A** (the “Real Estate”); and

WHEREAS, the Developer is required to dedicate right-of-way and install a multi-purpose path at the time of the development of the Real Estate pursuant to the Westfield-Washington Township Unified Development (the “Unified Development Ordinance”) and the Westfield Thoroughfare Plan, a part of the Westfield-Washington Township Comprehensive Plan (the “Thoroughfare Plan”); and

WHEREAS, Developer desires to not install the required multi-purpose path along the Real Estate’s Gray Road frontage (the “Path Improvement”); and

WHEREAS, Developer and Westfield agree the value of the Path Improvement to be forty thousand dollars (\$40,000) (the “Path Improvement Cost”); and

WHEREAS, Westfield desires to assist development and integrate improvements of complementary right-of-way infrastructure collaboratively with the development community; and

WHEREAS, in lieu of Developer installing the Path Improvement, the Parties desire to enter into a Development Agreement setting forth the services to be provided by each entity.

NOW THEREFORE, the Parties in consideration of the mutual covenants contained herein agree as follows:

1. Developer shall, at the Developer’s sole expense, design and construct a new asphalt trail along the north side of 151st Street between Long Cove View and the Market Center Drive roundabout, as generally depicted in **Exhibit B**. This trail shall be of equal or greater width than the trail along the Market Center Drive roundabout. This trail shall be constructed as far away from the roadway as permitted within the existing right-of-way.

2. Developer shall, at the Developer's sole expense, design and construct a new asphalt trail connection from Kampen Circle to the existing trail along the northwest corner of Market Center Drive roundabout, as generally depicted in **Exhibit C**.
3. Developer shall, at the Developer's sole expense, design and construct a new pedestrian crossing at or near Setters Road, as generally depicted in **Exhibit D**.
4. If bids for the construction of covenants 1 through 3 are provided greater than the Path Improvement Cost, then Westfield shall consider:
 - a. Reducing the limits of the improvements to equal or be less than the Path Improvement Cost; or
 - b. Issue Developer a Road Impact Fee credit in the amount equal to the difference between the lowest responsive bid and the Path Improvement Cost.
5. All improvements required herein shall be constructed in accordance with Westfield's Construction Standards, Thoroughfare Plan and be ADA compliant. Prior to construction of Improvements, Developer shall provide construction plans to Westfield for review and approval. Improvements by the Developer shall be subject to any applicable permits, financial guarantees and inspections by Westfield.
6. Developer shall dedicate all improvements herein to the Westfield after construction of the improvements at no cost to Westfield.
7. Any notice, statement, demand, or other communication required or permitted to be given, rendered or made shall be addressed as indicated below:

If to City of Westfield:
City of Westfield
Attn: Jeremy Lollar
Director of Public Works
2706 E. 171st Street
Westfield, IN 46074

With a Copy to:
Kreig DeVault
Attn: Brian J. Zaiger
12800 N. Meridian Street, Suite 300
Carmel, IN 46032

If to Developer:
Throgmartin Henke Development, LLP
Attn: David Mennel
1 S. Rangeline Road
Carmel, IN 46032

With a Copy to:
TBD

Each party represents that it has the authority to enter into this Agreement binding each party.

Executed the date and year first above written.

CITY OF WESTFIELD

THROGMARTIN HENKE DEVELOPMENT
LLP

By:_____

Printed:_____

Title:_____

Date:_____

By:_____

Printed:_____

Title:_____

Date:_____

[REMAINDER OF PAGE LEFT BLANK INTENTIONALLY]

STATE OF INDIANA)
) SS:

COUNTY OF HAMILTON)

Before me, a Notary Public in and for said County and State, personally appeared _____, on behalf of Throgmartin Henke Development, LLP, who acknowledged the LLP's authority and the execution of the foregoing Development Agreement to be its voluntary act and deed.

WITNESS, my hand and Notarial Seal this _____ day of _____, 2015.
My Commission Expires:

Printed: _____
Notary Public, A Resident of
_____ County, Indiana

STATE OF INDIANA)
) SS:

COUNTY OF HAMILTON)

Before me, a Notary Public in and for said County and State, personally appeared _____, on behalf of The City of Westfield, who acknowledged their authority and the execution of the foregoing Development Agreement to be its voluntary act and deed.

WITNESS, my hand and Notarial Seal this _____ day of _____, 2015.
My Commission Expires:

Printed: _____
Notary Public, A Resident of
_____ County, Indiana

THIS INSTRUMENT PREPARED BY: Brian J. Zaiger, Attorney at Law
KRIEG DEVAULT, LLP
12800 North Meridian, Suite 300
Carmel, IN 46032
(317) 238-6266



Public Works

Jeremy Lollar, Director
Angie Smitherman, Administration
Phil Sundling, Project Mgmt.
Adam Essex, Inspections
Travis Stetnish, Streets
Chris McConnell, Parks & Trails

Board of Works

Andy Cook
Randy Graham
Kate Snedeker

Clerk Treasurer

Cindy J. Gossard

Public Works Department

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To: Westfield Board of Public Works & Safety

Date: August 26, 2015

Re: Action Item – Signing Authority

The City of Westfield Public Works Department is requesting that the Board of Public Works and Safety consider granting Jeremy Lollar, Director of Public Works, signing authority of the Development Agreement between the City of Westfield and Throgmartin Henke Development, LLP.

Kate Snedeker

Randy Graham

Andy Cook



Public Works

Jeremy Lollar, Director
Angie Smitherman, Administration
Phil Sundling, Project Mgmt.
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